

# Fourier analysis of boolean functions in quantum computation

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 Engineering and Physical Sciences  
Research Council

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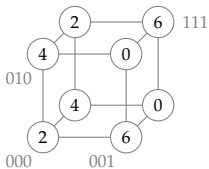
...traditionally looks like this:



- Given some (periodic) function  $f : \mathbb{R} \rightarrow \mathbb{R}$ ...
- ...we expand it in terms of **trigonometric** functions  $\sin(kx)$ ,  $\cos(kx)$ ...
- ...in an attempt to understand the **structure** of  $f$ .

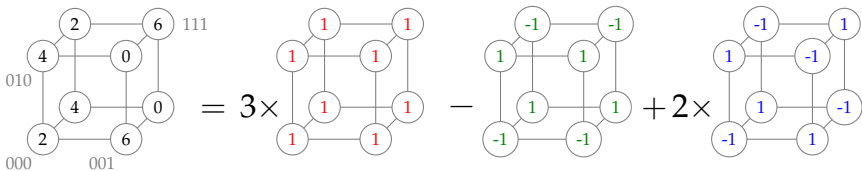
# Fourier analysis

In computer science, it's natural to consider functions on the set of  $n$ -bit strings – also known as the **boolean cube**  $\{0, 1\}^n$ :



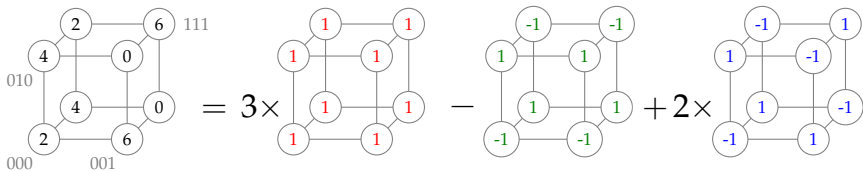
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- Given some function  $f : \{0, 1\}^n \rightarrow \mathbb{R} \dots$
- ...we expand it in terms of **parity** functions...
- ...in an attempt to understand the **structure** of  $f$ .

# Fourier analysis on the boolean cube

- We expand functions  $f : \{0, 1\}^n \rightarrow \mathbb{R}$  in terms of the parity functions

$$\chi_S(x) = (-1)^{\sum_{i \in S} x_i},$$

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- There are  $2^n$  of these functions, indexed by **subsets**  $S \subseteq \{1, \dots, n\}$ .  $\chi_S(x) = -1$  if the no. of bits of  $x$  in  $S$  set to 1 is **odd**.

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- Any  $f : \{0, 1\}^n \rightarrow \mathbb{R}$  has the expansion

$$f = \sum_{S \subseteq \{1, \dots, n\}} \hat{f}(S) \chi_S$$

for some  $\{\hat{f}(S)\}$  – the **Fourier coefficients** of  $f$ .

- The **degree** of  $f$  is  $\max\{|S| : \hat{f}(S) \neq 0\}$ , which is just the degree of  $f$  as a real  $n$ -variate polynomial.



# Applications of Fourier analysis on the boolean cube

This approach has led to new results in many areas of classical computer science, including:

- Probabilistically checkable proofs [Håstad '01; Dinur '07; ...]
- Decision tree complexity [Nisan & Szegedy '94]
- Influence of voters and fairness of elections [Kahn, Kalai, Linial '88; Kalai '02]
- Computational learning theory [Goldreich & Levin '89; Kushilevitz & Mansour '91; ...]
- Property testing [Bellare et al '95; Matulef et al '09; ...]

# This talk

This talk is about **applying** and **generalising** Fourier analysis on the boolean cube in quantum computation.

- Quantum vs. classical communication complexity
- Hypercontractivity and low-degree polynomials
- Generalising Fourier analysis to quantum computation
- Spectra of  $k$ -local operators

# One-way communication complexity

- The field of **communication complexity** studies the amount of communication between parties required for them to compute some function of their joint inputs.

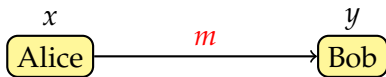
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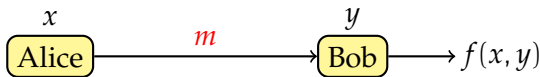
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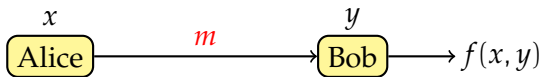
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- The classical **one-way communication complexity** (1WCC) of a boolean function  $f$  is the length of the shortest message  $m$  sent from Alice to Bob that allows Bob to compute  $f(x, y)$  with constant probability of success  $> 1/2$ .

# One-way quantum communication complexity

Can we do better by sending a **quantum** message?

$x$   
Alice

$y$   
Bob



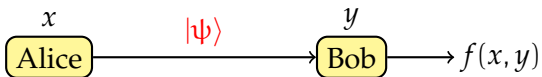
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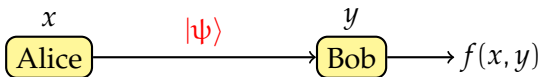
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- The quantum 1WCC of  $f$  is the smallest number of qubits sent from Alice to Bob that allows Bob to compute  $f(x, y)$  with constant probability of success  $> 1/2$ .
- We don't allow Alice and Bob to share any prior entanglement or randomness.

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- If  $f(x, y)$  is a **total** function, the best separation we have is a factor of 2 for equality testing [Winter '04].

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Today: I'll talk about a (slight) improvement on the separation of [Gavinsky et al '08], based on Fourier-analytic techniques.



# The problem

## Perm-Invariance

- Alice gets an  $n$ -bit string  $x$ .
- Bob gets an  $n \times n$  permutation matrix  $M$ .
- Bob has to output 
$$\begin{cases} 1 & \text{if } Mx = x \\ 0 & \text{if } d(Mx, x) \geq \beta|x| \\ \text{anything} & \text{otherwise,} \end{cases}$$

where  $\beta$  is a constant,  $|x|$  is the Hamming weight of  $x$  and  $d(x, y)$  is the Hamming distance between  $x$  and  $y$ .

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This is a natural (?) generalisation of the SUBGROUP MEMBERSHIP problem where Alice gets a subgroup  $H \leq G$ , Bob gets a group element  $g \in G$ , and they have to determine if  $g \in H$ .

# Main result

## Theorem

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Therefore, there is an **exponential separation** between quantum and classical one-way communication complexity for this problem.

The lower bound has since been improved to  $\Omega(n^{1/2})$  by [\[Verbin and Yu '11\]](#).

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- By the promise that either  $|\psi_{Mx}\rangle = |\psi_x\rangle$ , or  $\langle \psi_{Mx} | \psi_x \rangle \leq 1/8$ , these two cases can be distinguished with a constant number of repetitions.

# The classical lower bound

We prove a lower bound for a special case of  
PERM-INVARIANCE.

## PM-Invariance

- Alice gets a  $2n$ -bit string  $x$  such that  $|x| = n$ .
- Bob gets a  $2n \times 2n$  permutation matrix  $M$ , where the permutation entirely consists of disjoint transpositions (i.e. corresponds to a perfect matching on the complete graph on  $2n$  vertices).

- Bob has to output 
$$\begin{cases} 1 & \text{if } Mx = x \\ 0 & \text{if } d(Mx, x) \geq n/8 \\ \text{anything} & \text{otherwise.} \end{cases}$$

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- Show that the induced distributions on Bob's inputs are **close to uniform** whenever Alice's subset is large.
- This means they're hard for Bob to distinguish.

## Proof idea: one-valued inputs

We want to show that Bob's induced distribution on inputs such that  $Mx = x$  is close to uniform (the argument for zero-valued inputs is similar but easier).



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- Let  $N_{2n}$  be the number of partitions of  $\{1, \dots, 2n\}$  into pairs. Then

$$p_M = \frac{\binom{2n}{n}}{N_{2n} \binom{n}{n/2}} \Pr_{x \in A} [Mx = x].$$

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- We can now calculate

$$N_{2n} \sum_M p_M^2 = \frac{\binom{2n}{n}^2}{N_{2n} \binom{n}{n/2}^2 |A|^2} \left( \sum_{x,y \in A} \sum_M [Mx = x, My = y] \right).$$

## Proof idea

- It turns out that the sum over  $M$  only depends on the Hamming distance  $d(x, y)$ :

$$\sum_M [Mx = x, My = y] = h(x + y)$$

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where  $f$  is the characteristic function of  $A$ .

- This means that it's convenient to upper bound  $N_{2n} \sum_M p_M^2$  using **Fourier analysis** over the group  $\mathbb{Z}_2^{2n}$ .

# Fourier analysis to the rescue

- For any functions  $f, g : \{0, 1\}^n \rightarrow \mathbb{R}$ ,

$$\sum_{x, y \in \{0, 1\}^n} f(x)f(y)g(x + y) = 2^{2n} \sum_{S \subseteq [n]} \hat{g}(S)\hat{f}(S)^2.$$

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- This allows us to write

$$N_{2n} \sum_M p_M^2 = \frac{\binom{2n}{n}^2 2^{4n}}{N_{2n} \binom{n}{n/2}^2 |A|^2} \sum_{S \subseteq [n]} \hat{h}(S)\hat{f}(S)^2,$$

where  $f$  is the characteristic function of  $A$ , and  $h$  is as on the previous slide.

## Upper bounding this sum

We can upper bound this sum using the following crucial inequality.

### Lemma

Let  $A$  be a subset of  $\{0, 1\}^n$ , let  $f$  be the characteristic function of  $A$ , and set  $2^{-\alpha} = |A|/2^n$ . Then, for any  $1 \leq k \leq (\ln 2)\alpha$ ,

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- This inequality is based on a result of Kahn, Kalai and Linial (the **KKL Lemma**), which in turn is based on a “hypercontractive” inequality of Bonami, Gross and Beckner.
- Here  $\alpha$  ends up (approximately) measuring the length of Alice’s message in bits.

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- Combining the two upper bounds, we end up with something that's smaller than a constant unless  $|A| \leq 2^{2n - \Omega(n^{7/16})}$ .
- Thus, unless Alice sends at least  $\Omega(n^{7/16})$  bits to Bob, he can't distinguish his induced distribution from uniform with probability better than a fixed constant.
- So the classical 1WCC of PM-INVARIANCE is  $\Omega(n^{7/16})$ .

# Hypercontractivity and noise: an interlude

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- In other words, each bit of  $x$  is flipped with probability  $1/2 - \rho/2$ .

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- We now define the **noise operator**  $\mathcal{D}_\rho$  with noise rate  $\rho$ . For a given string  $x \in \{0, 1\}^n$ , define the distribution  $y \sim_\rho x$  as follows. Each coordinate  $y_i = x_i$  with probability  $1/2 + \rho/2$ , and  $y_i = 1 - x_i$  with probability  $1/2 - \rho/2$ .
- In other words, each bit of  $x$  is flipped with probability  $1/2 - \rho/2$ .
- Then write

$$(\mathcal{D}_\rho f)(x) = \mathbb{E}_{y \sim_\rho x}[f(y)].$$

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- Then write

$$(\mathcal{D}_\rho f)(x) = \mathbb{E}_{y \sim_\rho x} [f(y)].$$

- Crucially, noise “smooths out” high-order Fourier coefficients:

$$\widehat{\mathcal{D}_\rho f}(S) = \rho^{|S|} \hat{f}(S).$$



# Hypercontractivity of the noise operator

Define the **normalised**  $p$ -norm of  $f$  by

$$\|f\|_p = \left( \frac{1}{2^n} \sum_{x \in \{0,1\}^n} |f(x)|^p \right)^{1/p}.$$

This family of norms is **non-decreasing** with  $p$ .

However, we have the following (non-trivial!) inequality.

## Bonami-Gross-Beckner hypercontractive inequality

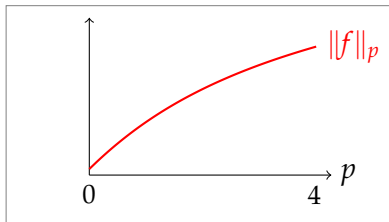
Let  $f : \{0, 1\}^n \rightarrow \mathbb{R}$  be a function on the boolean cube. Then, for any  $1 \leq p \leq q$ , provided that  $\rho \leq \sqrt{\frac{p-1}{q-1}}$ , we have

$$\|\mathcal{D}_\rho f\|_q \leq \|f\|_p.$$

In other words, noise **smoothes**  $f$  out in a formal sense: note that if  $f$  is constant,  $\|f\|_p$  is constant wrt  $p$ .

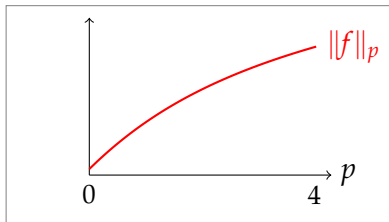
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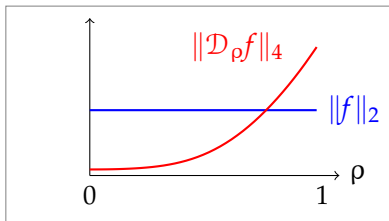


# Hypercontractivity of the noise operator

$p$ -norms of a random function  $f$  increase with  $p$ :



Applying noise **smooths**  $f$  by reducing its higher norms:



## Why should we care?

Applications! For example, the KKL Lemma follows from:

### Different norms of low-degree polynomials are close

Let  $f : \{0, 1\}^n \rightarrow \mathbb{R}$  be a function on the boolean cube with degree at most  $d$ . Then, for any  $q \geq 2$ ,  $\|f\|_q \leq (q - 1)^{d/2} \|f\|_2$ .

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Armed with the hypercontractive inequality, the proof is simple. Writing  $f^{=k} = \sum_{S, |S|=k} \hat{f}(S) \chi_S$ ,

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- We would like to generalise these classical results to a “truly quantum” (noncommutative) setting.
- Our generalisation (others are possible): instead of decomposing functions  $f : \{0, 1\}^n \rightarrow \mathbb{R}$ , we decompose **Hermitian operators** on the space of  $n$  qubits.
- It turns out that a natural analogue of the characters of  $\mathbb{Z}_2$  are the **Pauli matrices**.

## “Fourier analysis” for qubits

Write

$$\sigma^0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \text{and } \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

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We write a tensor product of Paulis as

$$\chi_{\mathbf{s}} := \sigma^{s_1} \otimes \sigma^{s_2} \otimes \cdots \otimes \sigma^{s_n}, \quad \text{where } s_j \in \{0, 1, 2, 3\}.$$

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Any  $n$  qubit Hermitian operator  $f$  has an expansion

$$f = \sum_{\mathbf{s} \in \{0,1,2,3\}^n} \hat{f}_{\mathbf{s}} \chi_{\mathbf{s}}.$$

for some real  $\{\hat{f}_{\mathbf{s}}\}$  – the **Pauli coefficients** of  $f$ . This is our analogue of the Fourier expansion of a function  $f : \{0, 1\}^n \rightarrow \mathbb{R}$ .

Note that  $f$  is a  **$k$ -local** operator if  $\max\{|\mathbf{s}| : \hat{f}_{\mathbf{s}} \neq 0\} \leq k$ .

## A quantum noise operator

The right quantum generalisation of the noise operator turns out to be the qubit **depolarising channel**!



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$$\mathcal{D}_\epsilon^{\otimes n}(\rho) = \sum_{\mathbf{s} \in \{0,1,2,3\}^n} \epsilon^{|\mathbf{s}|} \hat{\rho}_{\mathbf{s}} \chi_{\mathbf{s}}.$$

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Can we prove an equivalent hypercontractive result for this channel?

# Quantum hypercontractivity

## Theorem

Let  $H$  be a Hermitian operator on  $n$  qubits and assume that  $1 \leq p \leq 2 \leq q$ . Then, provided that  $\epsilon \leq \sqrt{\frac{p-1}{q-1}}$ , we have

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- The proof relies on the Pauli expansion and a non-commutative generalisation of Hanner's inequality by King.
- It isn't a simple generalisation of the classical proof, but would be if the **maximum output  $p \rightarrow q$  norm** were multiplicative!

## “Application”: Spectra of $k$ -local operators

The proof of the classical corollary of the hypercontractive inequality goes through without change.

### Different norms of $k$ -local operators are close

Let  $H$  be a  $k$ -local Hermitian operator on  $n$  qubits. Then, for any  $q \geq 2$ ,  $\|H\|_q \leq (q - 1)^{k/2} \|H\|_2$ .

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This easily implies the following bound.

### Spectral concentration for $k$ -local operators

Let  $H$  be a  $k$ -local Hermitian operator on  $n$  qubits with eigenvalues  $(\lambda_i)$  and  $\|H\|_2 = 1$ . Then, for any  $t \geq (2e)^{k/2}$ ,

$$\Pr[|\lambda_i| \geq t] \leq \exp(-kt^{2/k}/(2e)).$$

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Note that we have **not constrained** the topology of  $H$ 's  $k$ -locality at all. Stronger results can be proven (e.g. [Hartmann et al '04]'s “central limit theorem”) with additional constraints.



# Conclusions

- Fourier analysis on the boolean cube is a powerful technique in classical computer science which is now finding applications in quantum computation. Fourier analysis can be generalised to the quantum regime.

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- Fourier analysis on the boolean cube is a powerful technique in classical computer science which is now finding applications in quantum computation. Fourier analysis can be generalised to the quantum regime.
- Can there be any asymptotic separation between quantum and classical 1WCC for a total function?
- Can we find any (real!) applications of quantum hypercontractivity? e.g. quantum  $k$ -SAT, fault tolerance, ...
- There are many results in the classical theory of boolean functions which might be generalisable to the quantum regime.

# Thanks!

[arXiv:1007.3587v3](#)

[arXiv:0810.2435](#) (joint work with Tobias Osborne)

## More formally

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### Lemma (e.g. [Gavinsky et al '08])

- Let  $f : \{0, 1\}^m \times \{0, 1\}^n \rightarrow \{0, 1\}$  be a function of Alice and Bob's distributed inputs.

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- Assume there is a one-way classical protocol that computes  $f$  with success probability  $1 - \epsilon$ , for some  $\epsilon < 1/3$ , and uses  $c$  bits of communication.
- Then there exists  $S \subseteq \{0, 1\}^m$  such that  $|S| \geq \epsilon 2^{m-c}$ , and  $\|\mathcal{D}_0^S - \mathcal{D}_1^S\|_1 \geq 2(1 - 3\epsilon)$ .

## Relation to previous work

This is equivalent to the following problem.

### PM-Invariance

- Alice gets a  $2n$ -bit string  $x$ .
- Bob gets an  $n \times 2n$  matrix  $M$  over  $\mathbb{F}_2$ , where each row contains exactly two 1s, and each column contains at most one 1.

- Bob has to output 
$$\begin{cases} 0 & \text{if } Mx = 0 \\ 1 & \text{if } |Mx| \geq n/16 \\ \text{anything} & \text{otherwise.} \end{cases}$$



## Relation to previous work

A similar problem was used by [Gavinsky et al '08] to separate quantum and classical 1WCC.

### $\alpha$ -Partial Matching

- Alice gets an  $n$ -bit string  $x$ .
- Bob gets an  $\alpha n \times n$  matrix  $M$  over  $\mathbb{F}_2$ , where each row contains exactly two 1s, and each column contains at most one 1, and a string  $w \in \{0, 1\}^{\alpha n}$ .

- Bob has to output 
$$\begin{cases} 0 & \text{if } Mx = w \\ 1 & \text{if } Mx = \bar{w} \\ \text{anything} & \text{otherwise.} \end{cases}$$

So the main difference is the **relaxation of the promise** by removing this second string from Bob's input.

## Proof sketch

- The proof is by induction on  $n$ . The case  $n = 1$  follows immediately from the classical proof.

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- Using a non-commutative Hanner's inequality for block matrices<sup>1</sup>, can bound  $\|\mathcal{D}_\epsilon^{\otimes n}(\rho)\|_q$  in terms of the norm of a  $2 \times 2$  matrix whose entries are the norms of the blocks of  $\mathcal{D}_\epsilon^{\otimes n}(\rho)$ .

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- Bound the norms of these blocks using the inductive hypothesis.
- The hypercontractive inequality for the base case  $n = 1$  then gives an upper bound for this  $2 \times 2$  matrix norm.

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