

# The species-area relationship and evolution

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## Abstract

Models relating to the Species-Area curve usually assume the existence of species, and are concerned mainly with ecological timescales. We examine an individual-based model of co-evolution on a spatial lattice based on the Tangled Nature model in which species are emergent structures, and show that reproduction, mutation and dispersion by diffusion, with interaction via genotype space, produces power-law Species-Area Relations as observed in ecological measurements at medium scales. We find that long-lasting co-evolutionary habitats form, allowing high diversity levels in a spatially homogenous system.

*Key words:* Evolution, Ecology, Interaction, Species-Area Relation, Co-evolution, Individual-based model

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## <sup>1</sup> 1 Introduction

- <sup>2</sup> The number of species in a given region can be seen as a product of the  
<sup>3</sup> evolutionary history of speciation, extinction and migration to that region.  
<sup>4</sup> Time variations in an ecology, whether induced by population dynamics or  
<sup>5</sup> evolutionary dynamics, are caused by processes operating at the level of indi-  
<sup>6</sup> viduals; taxonomic structures, like species and genera, are emergent entities  
<sup>7</sup> produced by the unceasing action of reproduction, mutation and annihilation  
<sup>8</sup> of individuals. Hence it should be possible to derive the stability properties,  
<sup>9</sup> abundance and distribution of species from a ‘microscopic’ description in terms  
<sup>10</sup> of dynamics at the level of individual organisms. Such a framework must be  
<sup>11</sup> able to act as a unified explanation of ecological structures such as the Species  
<sup>12</sup> Area Relation (SAR) and the Species Abundance Distribution (SAD) together

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13 with evolutionary aspects such as the temporal variation of the macroscopic  
14 averaged extinction rate and intermittency in the extinction events.

15 The relationship between the number of species observed in an area and the  
16 area's size is one of the most basic questions in ecology but it is still the  
17 subject of much debate. The number of species found in an area could increase  
18 with area size simply because more individuals are counted, and the form of  
19 this relation may be very different depending on the counting method used  
20 and details of the area [1][2]. For most measurement scales on non-island  
21 systems it seems that a power-law - (*diversity*)  $\propto$  (*area*)<sup>*z*</sup> - may be an accurate  
22 description, for the majority of fauna and flora types. However, for other scales  
23 and for some data, other forms have been successfully fit [3]. Here we consider  
24 those systems for which a power law provides a good fit - we will comment  
25 below on possible effects not included in our model which may be responsible  
26 for observed non-power law forms.

27 Dynamical models typically *assume* the *existence* of a set of species as given  
28 structures classifying individuals. The dynamics at the individual level then  
29 determines how the assumed species are, say, populated and spatially dis-  
30 tributed. Particularly impressive examples of this type of models are Hubbell's  
31 [4] 2001 'Unified Neutral Theory' and Durrett and Levin's [5] 1996 spatial voter  
32 model. In the neutral models [4][5][6][7] all individuals have the same birth,  
33 death and migration rate independent of which species they belong to. Solé,  
34 Alonso and McKane [8] have introduced a more general set of models in which  
35 an interaction matrix allow the assumed set of species to vary in their proper-  
36 ties. Choosing specific forms for the interaction matrix reduces this model to  
37 a number of previously considered models - among these is Hubbell's neutral  
38 model. Realistic SAD and SAR are obtained from these models even in the  
39 case of neutrality between species. The SAD and the SAR has in addition  
40 been explained by an attractive geometric approach by Harte and co-workers  
41 [9], who replaced dynamics by the assumption that the spatial distribution of  
42 species is self-similar and fractal; a prediction which has been confirmed from  
43 field data on birds in the Czech Republic [10]. They concluded that a power  
44 law SAR was equivalent to a community level fractal distribution of species.

45 The Tangled Nature model (TaNa for short) is an attempt of developing a log-  
46 ically simple approach to evolutionary ecology. From a few fundamental and  
47 generally accepted microscopic assumptions, macroscopic phenomenon such  
48 as macroevolution and ecological structures emerge. The model is individual  
49 based with fluctuating population size, and the mutation prone reproduction  
50 occurs with probabilities determined by the interaction between co-evolving  
51 organisms. The long time macroevolution in the model is consistent with ob-  
52 served temporal characteristics [11], the SAD compares well with observation  
53 [12] and most recently the model has been used to understand microbiological  
54 experiments on the relation between diversification and interaction [13]. In the

55 present paper we demonstrate how the Tangled Nature approach can be used  
56 to understand the SAR from an evolutionary individual based view point.

57 We will be introducing spatial aspects into the non-spatial TaNa model, in  
58 order to measure the SAR. Essentially all good dispersion models produce  
59 reasonable fit with data (usually a power law) - e.g. the spatial models dis-  
60 cussed above. Power-laws are often observed in field data, but not universally  
61 [14], and we hope to eliminate two of the possible causes of the deviation -  
62 interactions and localisation (i.e. deme structure). The interaction permitted  
63 in our model provides approximate power-law SAR regardless of strength, so  
64 inhomogeneity in migration or resource is a more likely source of observed  
65 deviations from power law in real systems, as such inhomogeneities are not  
66 considered here.

67 Here we consider species as dynamical quantities that emerge in genotype  
68 space. We allow for spatial extension in a homogeneous physical environment,  
69 breaking the population into a number of spatial locations (with each species  
70 type forming separate demes) which in our model permits the construction  
71 of co-evolutionary habitats<sup>1</sup> of interacting species within each lattice point.  
72 Individuals move by random dispersion as in the models mentioned in the  
73 previous paragraph. The co-evolutionary habitats survive for very long time  
74 periods, during which local species abundances fluctuate around some average  
75 level. Inside these habitats equivalence of individuals is observed, as a result of  
76 adaptation. The offspring probability of an individual depends on its genotype  
77 and on the composition of the local community in the local genotype space.  
78 All individuals are subject to the same annihilation rate and only individuals  
79 that have evolved genotypes with an offspring probability that matches the  
80 killing probability are able to constitute species with a degree of temporal  
81 stability. This leads to a certain degree of equivalence or neutrality to emerge  
82 amongst the dynamically generated species. Since the offspring probability of  
83 an individual depends on the local occupation of genotype space, when indi-  
84 viduals disperse to other habitats they usually do not have the same offspring  
85 probability as the members of the habitat they enter. If species composition  
86 begins to change locally, then the entire habitat is usually affected, disrupting  
87 the local species composition.

88 Interaction allows for the extinction of well-established species on ecological  
89 timescales in the right invasion circumstances, giving realistic Species Abun-  
90 dence Curves (approximately log-normal [11]). Although species in the Tan-  
91 gled Nature model are dynamical and emergent, properties associated with

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<sup>1</sup> We use the term co-evolution in the weak sense of species that have adapted due to interactions with other species. We will also refer to these ‘co-evolutionary habitats’ as simply ‘habitats’ for brevity, as they are the only kind of habitat possible in our model.

92 random dispersal such as power-law SAR are observed. The interaction allows  
93 distinct species to be separated in genotype space, in contrast with neutral  
94 models. In hypercubic genotype space and in the absence of interaction species  
95 are clustered around a mean with separation occurring only by fluctuation and  
96 persisting for short timescales [15] (this also tested for the non-interacting ver-  
97 sion of our model, where the population essentially moves stochastically as one  
98 coherent cluster through genotype space).

99 The original Tangled Nature model defined by Christensen et al. [11] has no  
100 spatial component, which we introduce by running copies of the model con-  
101 currently on a square lattice, allowing for interaction between lattice-points by  
102 migration. The interaction between individuals at adjacent sites is therefore  
103 indirect, acting through genotype space only via the distribution of migrants,  
104 and the spatial aspect is discrete. However, we can easily compare our results  
105 to that of the original model which has stability properties known to be close  
106 to observed systems [16][17][12]. The motivation for our approach is that gen-  
107 era that can move (animals and bacteria), or whose offspring can compete  
108 over distance for space (most plants) are modelled as locally well mixed, with  
109 spatial aspects considered on larger scales.

110 We begin with a recap on the non-spatial Tangled Nature model and its major  
111 features. Then we detail our simple extension to the model introducing spatial  
112 dimensions using a square lattice of models.

## 113 2 Definition of the Model

114 We now define the Tangled Nature model. We will be constructing the model  
115 on a periodic square lattice of length  $X$ . Specific points on the lattice are  
116 referred to by their co-ordinates  $(x, y)$ . Each point on the lattice may contain  
117 any number of individuals who, on any given time step, may migrate with  
118 probability  $p_{move}$  to a neighbouring lattice point (our neighbourhood includes  
119 diagonals, and therefore is 8 lattice-points). On each lattice point we run a  
120 TaNa summarised below and described in [11][17], with interaction between  
121 lattice points via migration. Each lattice point contains a number of species,  
122 made up of explicitly modelled individuals. Similar approaches have been  
123 used many times, e.g. with each lattice point containing a local food web [18],  
124 or being used as the basic unit instead of individuals for models in which the  
125 two scales can be well separated (Gavrilets book [19] considers this and many  
126 other situations). Such separation of scales is not possible in our model, as  
127 the specifics of individuals control the invadability and stability of the local  
128 population.

129 The Tangled Nature model represents individuals as a vector  $\mathbf{S}^\alpha = (S_1^\alpha, S_2^\alpha, \dots, S_L^\alpha)$

130 in genotype space. The  $S_i^\alpha$  take the values  $\pm 1$ , and we use  $L = 20$  throughout.  
 131 Each  $\mathbf{S}^\alpha$  string represents an entire species with unique, uncorrelated interactions  
 132 i.e. genotype space is coarse-grained. The small value of  $L$  is necessary  
 133 for computational reasons as all genotypes exist *in potentia* and have a de-  
 134 signated interaction with all other possible organisms. It is also possible to  
 135 define the model slightly differently in terms of smooth traits, and correlate  
 136 interactions over the trait space [20].

137 We refer to individuals by Greek letters  $\alpha, \beta, \dots = 1, 2, \dots, N(t)$  for a specific  
 138 lattice point  $(x, y)$ . Points in genotype space are referred to as  $\mathbf{S}^a, \mathbf{S}^b, \dots$ , and  
 139 many individuals (from any real-space location) may belong to a point in  
 140 genotype space  $\mathbf{S}^a$ .

141 In the TaNa model, all individuals are considered to die with equal probability  
 142  $p_{kill}$ , so it is most appropriate to systems where competition is for offspring  
 143 space or resources (plants or bacteria, for example). Only the probability to  
 144 produce offspring is controlled by their interactions; however, the model is  
 145 qualitatively the same regardless of whether varying killing or reproduction  
 146 rates are used[11]. Reproduction occurs asexually, and on a successful repro-  
 147 duction attempt a daughter organism is produced which will be mutated with  
 148 probability  $p_{mut}$ . When an individual  $\alpha$  is chosen for processing, it will repro-  
 149 duce with probability:

$$p_{off}(\mathbf{S}^\alpha, t) = \frac{\exp[H(\mathbf{S}^\alpha, t)]}{1 + \exp[H(\mathbf{S}^\alpha, t)]} \in (0, 1) \quad (1)$$

150  $p_{off}$  is defined in this way as it is the simplest way to translate  $H(\mathbf{S}^\alpha, t)$  into  
 151 a reproduction probability.  $H(\mathbf{S}^\alpha, t)$  is defined in Equation 2 and contains  
 152 the bulk of the model, consisting of interaction and competition. It is the  
 153 average interaction (first term) and resource competition (second term) with  
 154 all other individuals in the same spatial location. Interactions are considered  
 155 as an average (hence dividing by the population size  $N(t)$ ) and we write it  
 156 as a sum over all species rather than individuals, as individuals of the same  
 157 species are identical.

$$H(\mathbf{S}^\alpha, t) = \frac{1}{cN(t)} \cdot \sum_{\mathbf{S} \in S} J(\mathbf{S}^\alpha, \mathbf{S})n(\mathbf{S}, t) - \mu N(t) \quad (2)$$

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159  $c$  is a parameter controlling the interaction strength,  $N(t)$  is the total num-  
 160 ber of individuals at time  $t$  and  $n(\mathbf{S}, t)$  is the number of individuals with  
 161 genotype  $\mathbf{S}$  at that point.  $\mu$  controls the carrying capacity of the system, pre-  
 162 venting population growth when  $N$  is of the order  $1/\mu$ . The *interaction matrix*  
 163  $J(\mathbf{S}^\alpha, \mathbf{S})$  represents all possible couplings between all genotypes, each gener-

164   ated randomly in the range  $(-1, 1)$ , being non-zero with probability  $\Theta$ . Since  
165   the functional form of  $J(\mathbf{S}^a, \mathbf{S}^b)$  does not affect the dynamics, provided that  
166   it is non-symmetric with mean 0, we choose a form of the interaction matrix  
167   that speeds computation [11]. In the spatial version, we use the same  $\mathbf{S}$  but  
168   allow the individuals to be located at a point in space, such that  $\alpha = \alpha(x, y)$ ,  
169    $N = N(x, y, t)$  and  $n = n(x, y, \mathbf{S}, t)$ .

170   Since the elements of  $J$  are generated randomly, the pairwise interactions  
171   can be of the following types: mutualism (both positive), competition (both  
172   negative) and predator/prey (or parasitic) relations (one positive and one  
173   negative). We do not allow for one-way interactions such as amensalism, apart  
174   from in the case where one interaction is randomly generated to be very small.  
175   Also note that even in the case of extreme mutualism, resource is limited and  
176   competition will occur as the population increases, and so the negative term  
177    $\mu N(t)$  in Equation 2 is large.

178   The interactions modelled here are very general, though must occur through  
179   some medium which is not modelled explicitly. For bacterial systems, this  
180   would be in the form of chemicals, meaning that the resource is modelled  
181   to some degree, but for plants it is more likely to be direct competition for  
182   offspring space. The limiting resource, controlled by  $\mu$  is different to any inter-  
183   action facilitating resource, and might be space or a food source depending on  
184   the system under comparison. There is only one ‘type’ of resource, however,  
185   and as such we are only really modelling within a single trophic level, amongst  
186   individuals concerned with the same basic resource. Thus, our model can be  
187   compared with data for herbivorous birds, or bacteria, or crop plants, but  
188   only for a predator-prey system when individuals on different trophic levels  
189   still compete for space. This is not a problem for this papers purposes as most  
190   SAR data is drawn from a single family of species. We are trying to model  
191   both the obvious food-web interactions as well as the multitude of perhaps  
192   weaker, hidden interactions. It would be simple to add a number of additional  
193   resource types, with species drawing variously from different resources, but  
194   this adds a level of complexity unnecessary for the current questions. This is  
195   instead considered as an extension to the model [20].

196   In an offspring individual, each  $\mathbf{S}_i^\alpha$  is mutated (flipped from 1 to -1, or from -1  
197   to 1) with probability  $p_{mut}$  from the parental  $\mathbf{S}_i^\alpha$ . Thus mutations are equiva-  
198   lent to moving to an adjacent corner of the L-dimensional hypercube in geno-  
199   type space, as discussed in [11].

200   A time-step consists of choosing a spatial lattice point with probability pro-  
201   portional to the population of the lattice point  $N(x, y, t)$ . Then an individual<sup>2</sup>

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<sup>2</sup> In previous versions a different individual was chosen for reproduction and killing actions. Here we select only one individual and process it for reproduction, killing and movement for code efficiency reasons - above the level of fluctuations the two

202  $\alpha$  is chosen randomly from that lattice point.

- 203 •  $\alpha$  is allowed to reproduce with probability  $p_{off}$ .  
204 •  $\alpha$  is killed with probability  $p_{kill}$ .  
205 • If the killing attempt was unsuccessful,  $\alpha$  is moved to an adjacent lattice  
206 point with probability  $p_{move}$ . Thus the effective  $p_{move}^{eff} = (1 - p_{kill})p_{move}$ .

207 We define a generation as the amount of time for all individuals to have been  
208 killed, on average, once. For a stable population size, this is also the time  
209 for all individuals to have reproduced once, on average. Generations therefore  
210 are overlapping, and individuals have an exponential lifetime. The choice of  
211 constant  $p_{kill}$  does not appear to affect the general results - if we reversed  
212 the situation and allowed constant  $p_{off}$  whilst varying fitness via  $p_{kill}$ , the  
213 same behaviour is observed (as the equilibria has  $p_{off} \approx p_{kill}$  for all species).  
214 We should therefore not observe results that are specific to either high infant  
215 mortality or high adult competition mortality, but we should observe features  
216 common to both competition types.

217 Although our model is asexual, we are operating on a sufficiently course-  
218 grained level that sexual reproduction can be considered as only possible be-  
219 tween two individuals of the same genotype, and therefore is identical to the  
220 asexual case in our model, apart from when the abundance of a species is so  
221 low it would not be able to find a mate. Whilst this permits comparison with  
222 data from both sexually and asexually reproducing species, this approxima-  
223 tion will be invalid for many cases; we do not consider cross-over effects, for  
224 example. One can think of our genotype space as modelling the genes that  
225 effect fitness, with ‘neutral’ variation permitted in a type without being ex-  
226 plicitly modelled. Some of the effects of sex could be incorporated into the  
227 mutation probability - others must simply be ignored. We have not yet found  
228 any population level data that significantly contradicts our model, although  
229 clearly we miss a lot of the fine detail. A discrepancy between our model and  
230 observed data which is only present for sexual species could shed light on the  
231 population level effects of sexual reproduction.

### 232 3 Behaviour of the model

233 We will first review the behaviour of an isolated system, and then use this to  
234 help interpret the results on an  $X$  by  $X$  square lattice with periodic boundary  
235 conditions.

236 Unless otherwise stated, the parameters used will be:  $\Theta = 0.25$ ,  $c = 0.05$ ,  $\mu =$   
237 methods are equivalent.

237 0.05,  $p_{mut} = 0.01$  and  $p_{kill} = 0.1$ ; see [11] for more details. These are chosen to  
238 keep the population of the entire system from exceeding about 30000, keeping  
239 computation to reasonable levels and allowing for averaging. The population  
240 of a specific lattice point is low compared with previous studies (around 300  
241 in this study), increasing the strength of stochastic effects - hence the other  
242 parameters are chosen to cancel out this effect to some degree. Although the  
243 mutation rate is unrealistically high, it still reproduces the correct qualitative  
244 effects found in real systems [17], and simply gives a higher turnover of quasi-  
245 evolutionary stable strategies as defined in Section 3.1. It should be stressed  
246 that the qualitative behaviour observed here is seen at mutation rates down  
247 to  $10^{-8}$ .

248 3.1 *The isolated TaNa model*

249 We briefly review the behaviour of a single TaNa model as given by [11][16].  
250 The model exhibits a number of quasi-evolutionary stable strategies (q-ESSs)  
251 in which the frequency distribution in genotype space remains constant (with  
252 some small fluctuations); these q-ESSs are also observed in differential equa-  
253 tion style models [21][22]. The q-ESSs are named after the Evolutionary Stable  
254 Strategies [23], or ESSs, found in game theory. If we think of competing in-  
255 dividuals which may adopt a strategy for survival, then an ESS is a strategy  
256 which, if adopted by the entire population, will not be invadable by any other  
257 strategy. The strategy of an individual defines its actions in all circumstances;  
258 in our model the strategy is the list of interactions with all other types. It  
259 is the strategy of the population as a whole that is important here, given by  
260 the proportion of individuals having each individual strategy. A stable strat-  
261 egy is thus a set of individuals who cannot be invaded by an increase in any  
262 of the other types (that is, if one type gains population, it loses interactions  
263 and therefore will lose population). However, because we include mutation,  
264 the strategy must also be stable to an influx of all local types. This list of  
265 local types is only a *subset* of all possible invader strategies, and so a popu-  
266 lation may be *quasi*-stable; that is, stable to all local mutations but not to  
267 distant genotypes which can only be reached by stochastic fluctuations (as  
268 they are separated from the population by a fitness minima). These distant  
269 genotypes can do well in the q-ESS population, and therefore destabilise it as  
270 their population grows.

271 We operate with parameters that give a reasonable number of q-ESS switches  
272 within the first 50000 generations - most of the work analysing this region  
273 was done in [17]. During these q-ESSs (shown in Figure 1 (b)), a number of  
274 genotypes (the ‘wildtypes’) are highly occupied - other genotypes are only  
275 present due to mutation from the wildtypes, and are frequently eliminated by  
276 stochastic events (see Figure 1 (a)). As our genotype space is coarse-grained,

277 these ‘sub-species’ do not inherit interaction properties from a wildtype - de-  
 278 spite this, a natural species-concept emerges as a simple result of interaction  
 279 in a genotype space. Thus our diversity measure is the ‘wildtype diversity’:   
 280 simply the number of wildtypes in the system. Wildtypes are defined as geno-  
 281 types with occupancy of eight or greater (a definition which is valid only for  
 282 these parameter ranges). We have tested other diversity measures such as the  
 283 Shannon-Wiener Index and our results are qualitatively the same regardless  
 284 of measure used, but these are primarily designed to avoid sampling problems  
 285 [24] and so are less relevant to computer simulations.

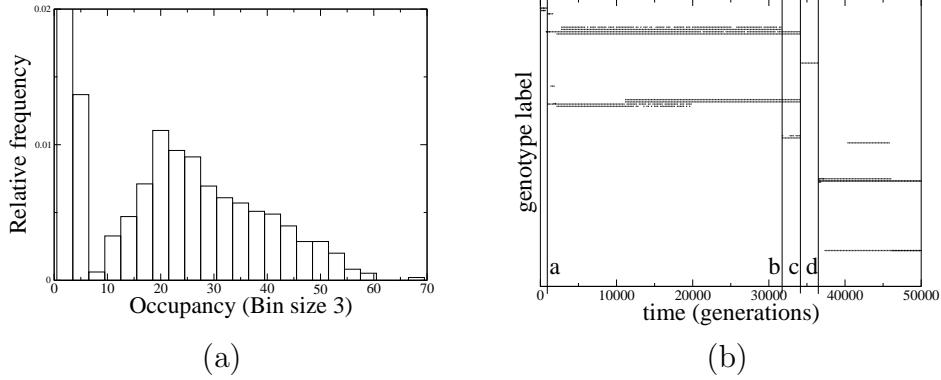


Fig. 1. (a) Species abundance, or relative occupancy of points in genotype space, averaged over all 50000 generations and 380 runs. There is a distinct difference between those genotypes with occupancy less than about 6 and those with an occupancy greater than 16, with only a very small amount in between, most of which come from transition period species. (b) An example occupancy plot showing all species with occupancy  $n_a > 8$  at time  $t$  as a dot. Species are not meaningfully ordered. q-ESS periods are shown as horizontal lines, with most transition periods (apart from the very slow one from around time 11000 to 20000) too short to see on this scale.

286 In [16], it is shown that the average q-ESS length increases with time, due to  
 287 increasing stability in the network of active interactions, increased population  
 288 size and hence increased diversity (as larger populations are more likely to be  
 289 stable to stochastic fluctuations, and q-ESS interactions tend to be positive).  
 290 Note that these effects occur only *on average* - it is possible for the system to  
 291 move to a less stable, smaller population after a disordered phase, and it is  
 292 also not always true that higher populations are more stable (or more diverse),  
 293 just that they are on average.

294 During the q-ESS, wildtype occupation fluctuates around some constant level,  
 295 and sub-species appear and disappear by mutation, without affecting the  
 296 stability of the q-ESS state. Biologically, a q-ESS has all species in a q-ESS  
 297 occupying a fitness maxima (that is, all mutations have lower fitness - fitness  
 298 meaning offspring probability in this case), which the system has found dur-  
 299 ing a transition. Each species in the q-ESS must have reached a population  
 300 equilibrium, so that  $p_{off} \approx p_{kill}$ , and all mutants from each species must have  
 301  $p_{off} < p_{kill}$  when their own population is low. This is easier to achieve for a  
 302 low diversity, but when a stable state is found at higher diversities, the chance

303 that an invader will destabilise the q-ESS is lower as invaders will be at signif-  
304 icantly lower fitness on average (due to the increase in the average population  
305  $N$  from those positive interactions). It is therefore of interest to analyse the  
306 transition more closely, in order to understand why the q-ESS forms in the  
307 way it does.

308 Transitions appear in many forms, depending on the configuration of the geno-  
309 type space surrounding the wildtypes. There are two events that can force a  
310 q-ESS to end:

- 311 • If a genotype with  $p_{off} > p_{kill}$  can be reached, then there will be a period  
312 where the mutant population is still vulnerable to accidental extinction,  
313 followed by an exponential growth period if the mutant population grows  
314 large enough. This will usually quickly upset the configuration of the local  
315 population, leading to transition.
- 316 • If one of the wildtype species had low average population then it can become  
317 accidentally extinct. In some cases other species will not depend on this  
318 species and the system enters a similar q-ESS with reduced diversity; in  
319 other cases, the stability of the q-ESS is upset and a transition occurs.

320 Once the system enters a transition, one of the following may happen:

- 321 • The disruption is minor and the system remains stable with a new q-ESS  
322 configuration. The transition period is not well defined in this case.
- 323 • Wildtype species no longer all have  $p_{off} = p_{kill}$ . The populations will change  
324 in order to regain this relation. It is possible that a species may become  
325 extinct, leading to stage 2 above.
- 326 • One of the low population mutant species in the system will gain  $p_{off} > p_{kill}$   
327 and so will enter phase 1 above.

328 Clearly, this is an iterative process and can last for a very long time - forever if  
329  $c$  or  $p_{mut}$  are very large, so pushing the system past the ‘error threshold’ [17].  
330 It is additionally complicated because these processes are all really running  
331 simultaneously, and responding to each other. What is clear, though, is that  
332 there is always favoured species in the system, and from simulations we see  
333 that the number of favoured species does not change significantly from q-  
334 ESS periods. In [11] it is shown that transition periods retain the distinction  
335 between (short lived in this case) wildtypes and mutants, resulting in a very  
336 similar (possibly identical) SAD. Since the transition periods are very short,  
337 any deviation from the q-ESS SAD is negligible and for an instantaneous  
338 observation they are indistinguishable (as stochastic noise is high). Transitions  
339 also provide a way for a species to mutate to a distantly related genotype  
340 quickly. Because there is a high interaction between all types, and the number  
341 of types is often quite high, most configurations are not q-ESS. It is therefore  
342 unlikely that the initial invaders of a q-ESS will be successful in the long

343 term - they instead will be in turn invaded by a second set of mutants. This  
344 process continues until a q-ESS is found, and so there is an effective selection  
345 gradient away from the wildtypes during this time, leading to very large and  
346 fast changes in genotype acting for short periods of time.

347 The species abundances are of log-normal form as observed in many real sys-  
348 tems [12] provided that the interaction probability  $\Theta$  is high, as in the cases  
349 we consider, and the lifetime distribution for species is wide-tailed as in real  
350 data [11] (following a power-law). More details on the network properties of  
351 the Tangled Nature model is available from [12], and an in-depth analysis  
352 of the time dependence of many of the observables such as diversity and to-  
353 tal population is presented in [16]. Similar work by Zia and Rikvold [25][26]  
354 deals with a simplification of the non-spatial case. In both models the q-ESS  
355 wildtypes are characterised as different to transition period wildtypes because  
356 their mutants do not interact favourably with the q-ESS population, and so  
357 are suppressed.

358 *3.2 The Tangled Nature Model on a spatial lattice*

359 We now introduce a square spatial grid of length  $X$ , each containing a TaNa  
360 model, and allow the lattice-points to interact by migration; migration proba-  
361 bility refers to the chance of moving to *any* neighbouring site, chosen randomly  
362 from the 8 nearest neighbours, and we assume a periodic boundary. Just this  
363 simple addition to the basic TaNa model gives rise to naturally occurring  
364 Species-Area Relations, or SARs.

365 Unlike the non-spatial version of the model, initial conditions are relevant.  
366 All possible starting configurations reduce to one of the following two initial  
367 conditions:

- 368 (1) Individuals are generated with a random genotype and placed on a ran-  
369 dom lattice point until the total starting population is reached.
- 370 (2) A single lattice point is allowed to evolve as a separate system until a  
371 q-ESS is formed. This q-ESS is copied to all other lattice points to give  
372 a quasi-stable, identical initial starting condition at all points.

373 Procedure 2 represents the biological case where a small species set is exposed  
374 to a larger spatial range, and so colonises it. The initial q-ESS used in pro-  
375 cedure 2 has stability properties that can differ greatly - see Figure 2. It can  
376 vary in absolute stability (how long it will last for), but spatial duplication  
377 means that the number of stable q-ESSs that can be found from the initial  
378 transition is relevant, as this controls how quickly diversity will increase when  
379 a transition does occur in the system. Procedure 2 therefore introduces a high  
380 stochastic variation resulting in a (sometimes sharp, sometimes smooth) di-

381 versity increase after an initial (possibly very long) wait.

382 Procedure 1 bears some resemblance to the colonisation of a new area of land  
383 by many species simultaneously. It results in an initially high diversity as  
384 different q-ESS states form at all points. This decreases quickly to an similar  
385 level found from procedure 2. However, after this time, the two procedures are  
386 equivalent; hence in our analysis we shall consider only initial random seeding,  
387 i.e. procedure 1, in order to standarize the initial diversity level. We then allow  
388 the system to evolve for a long time (40000 generations) before observation to  
389 allow an ecology to form.

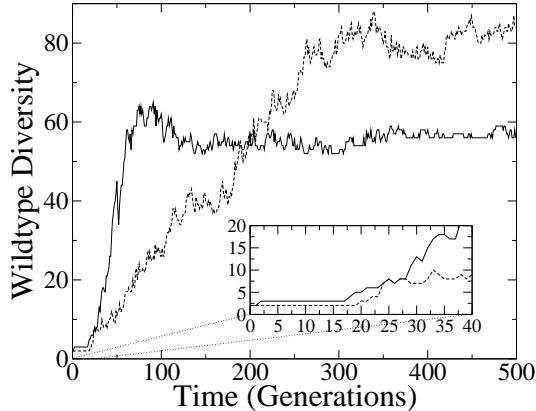


Fig. 2. Wildtype diversity against time for 2 initial systems consisting of the same stable q-ESS at all lattice points (initial condition type 2). Diversity remains constant for around 20 generations, after which an increase is seen. In one run (solid line), the increase occurs very rapidly but in the other (dashed line) the increase is more gradual yet reaches higher levels. Once a stable level (on ecological timescales) of high diversity is found, the evolutionary dynamics occurs in the same manner as initial condition type 2, random seeding.

390 The introduction of space has many implications for the model. In the non-  
391 spatial case, there were two timescales: the average lifetime of an individual,  
392 and the average lifetime of a q-ESS, which increased slowly with time. In the  
393 spatial case, we have a third timescale: the time taken for information of a  
394 transition to be transmitted to the other side of the system. As this occurs  
395 only through transitions at all intermediate lattice points, this can be very  
396 long, much longer than the simulation time. Another complication is that  
397 average q-ESS lifetime now depends strongly on the state of neighbouring  
398 lattice points, as migrants from different q-ESSs are disruptive but migrants  
399 from similar q-ESSs can actually stabilise a possible transition. Thus, time  
400 averaging is not possible in large systems, and collecting data on the SAD  
401 becomes very difficult. We therefore focus on calculating the SAR: that is,  
402 the relationship between the number of species found in an area and the size  
403 of the area. We distinguish between the two size measures: the *scale* as the  
404 sub-area measurement of a system with *size X*.

405 SARs come in many forms, depending on the measuring system used. Specif-

406 ically, quoting [1], there are 3 main properties : “(1) the pattern of quadrats  
407 or areas sampled (nested, contiguous, noncontiguous, or island); (2) whether  
408 successively larger areas are constructed in a spatially explicit fashion or not;  
409 and (3) whether the curve is constructed from single values or mean values”.  
410 We obtain nested, successive, mean value data. Thus, for all scales, measure-  
411 ment squares are contained within a larger scales’ measurement square, no  
412 shapes other than square are considered and we are averaging over all possible  
413 measuring squares from a specific scale. [1] and [2] discuss the implications for  
414 this.

415 Approximate SAR power-laws are often encountered in real systems at ‘medium’  
416 scales: that is, for areas that are smaller than the continent/land-mass that  
417 they are found on, but large enough to obtain a reasonable sample. Good  
418 examples are plant species in Surrey, UK, ([3], page 9) or bird species in the  
419 Czech Republic [10]. When looking at other scales different SARs can be ob-  
420 tained; the distinction between scales is one that varies with environment and  
421 habitat types, and many functional forms of SAR can be found somewhere.  
422 A general rule (p277 of [3]) is that inter-provincial relations follow power-law  
423 SARs with exponent larger than intra-provincially; islands inside a province  
424 will also have a larger exponent than the whole province itself (thus having  
425 smaller diversities). A single run in our model corresponds to a single isolated  
426 province as it is spatially homogenous and self-contained.

427 A specific instance of our model will not have any real world equivalent, as  
428 we have selected genotype space interactions and our initial position in it  
429 randomly. However, averages over our model should correspond to (large and  
430 thus self averaging) real systems for which our assumptions are approximately  
431 valid, as we are effectively averaging over the possible realisations of genotype  
432 space. Any real world system that does not conform to this average will be  
433 affected by an effect not modelled here - for example, the geography or resource  
434 distribution may be an important factor.

435 Real systems have  $z$ -values between 0.15 and 0.4 depending on the details of  
436 the system [3]. Figure 3 illustrates real SAR data from Hertfordshire plants  
437 and shows a sample simulation SAR. Both describe a power-law as are they  
438 are linear in log-log space,  $\log S = z \log A + \log \alpha$ , hence the slope of this line  
439 (the  $z$ -value) is the major controlling factor in how quickly diversity grows  
440 with area. For example purposes, we have chosen the area of a lattice-point  
441 arbitrarily as 0.4ha. However the true size of a lattice-point in our model is  
442 not well defined as the TaNa model implicitly assumes all species are of equal  
443 spatial extension. Hence we are now concerned only with the scaling relation:  
444 the form of the SAR being close to a power-law and the value of the exponent  
445 in that power-law.

446 As each run is a separate instance with its own evolutionary history, the diver-

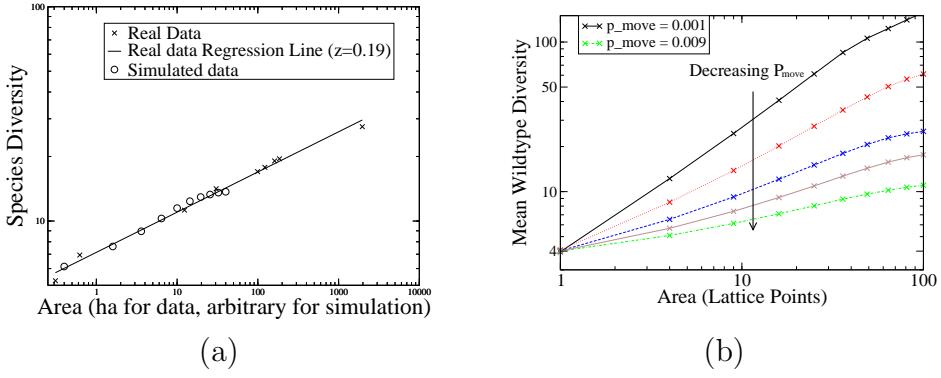


Fig. 3. (a) SAR Data for Hertfordshire plants taken from [3](Fig 2.2) plotted with simulated data, assuming 1 lattice-point is a 0.4ha plot ( $p_{move} = 0.025$ ) evolved for 40000 generations. (b) Simulated, evolved SAR plotted for varying  $p_{move}$  from 0.001 to 0.009 (in steps of 0.002); the shape and start point remains the same, with only the exponent changing.

447 sity and  $z$ -value variation between runs is high unless the size is much larger  
448 than the species range; however, the power-law rule holds for all instances.

449 The simulated data in Figure 3 has a slightly reduced tail from the expected  
450 power-law values, due to the finite area of the simulation. By holding a fixed  
451 system size ( $X = 10$  is chosen as be the maximum we can simulate with  
452 sufficient averaging ability) and varying  $p_{move}$  (Figure 4 (a)) we can understand  
453 these cutoffs more fully.

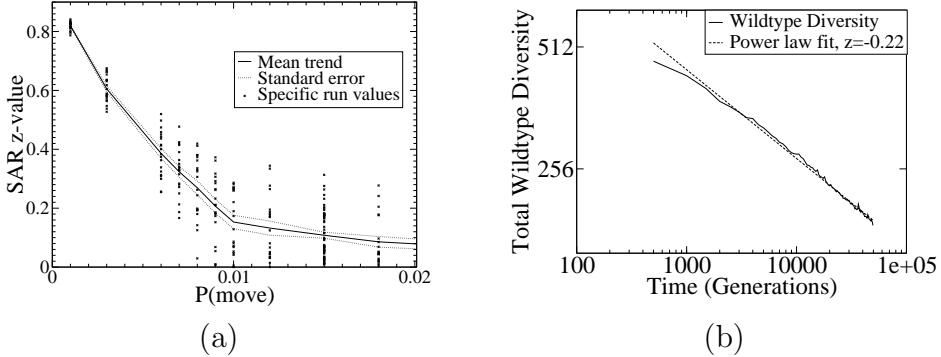


Fig. 4. (a)  $z$ -value calculated from the wildtype diversity evaluated between 40000 and 50000 generations, showing individual  $z$ -values from runs (on a  $10 \times 10$  lattice). Note the two distinct regions -  $p_{move} < 0.01$  where species do not spread large enough distances for finite size effects to matter, and  $p_{move} > 0.01$  where in some runs, species can span the entire system. (b) log-log plot of diversity as a function of time for a  $20 \times 20$  system with  $p_{move} = 0.005$ .

454 Figure 4(a) shows the individual values of  $z$  for varying values of  $p_{move}$  together  
455 with the average. The values are distributed about some mean, which decreases  
456 approximately linearly with increasing  $p_{move}$  for  $p_{move} < 0.01$ . however, above  
457  $p_{move} = 0.01$  we observe that some of the runs give a near-zero  $z$ -value, i.e.  
458 a constant SAR curve, meaning that species are spanning the system. The  
459 correlation length of the system has reached the system size and boundary  
460 affects will irrevocably effect the results. With increasing  $p_{move}$  the average

461 patch size of each q-ESS increases, and thus the probability of finding a patch  
462 the size of the system increases. In non-evolutionary models, one can avoid this  
463 problem by considering migration from a ‘pool’ of constant species makeup  
464 [27] but in evolving systems the pool must be modelled explicitly.

465 Figure 4(b) shows the time dependence of diversity. Although new species  
466 are produced at all times, and new q-ESS states can be formed, they do not  
467 seem to do so at a rate that matches diversity loss. The time taken to reach a  
468 single q-ESS state diverges with area, taking of the order  $10^{12}$  generations for  
469 a single q-ESS to be reached for a 20x20 system, or  $10^9$  generations for a 10x10  
470 system. As diversity can increase drastically at any time if a single species can  
471 destabilise the dominant q-ESS, it is unlikely this would not continue forever.  
472 Instead, we would effectively be restarting the system with a procedure 2 initial  
473 condition; however, the stability of this highly evolved q-ESS is much higher  
474 than a random q-ESS taken from initial conditions, and so the time taken  
475 to see a restarted system may be very long (as q-ESS lengths are power-law  
476 distributed, this time has mean infinity - however, it does occur eventually, as  
477 there is no truly stable state in this model).

478 In the Spatial TaNa model, illustrated in Figure 5, the spatial distribution of  
479 species is confined to a contiguous patch. Non-contiguous patches seem to be  
480 rare as patches are more easily invaded at patch corners due to the positive  
481 self-reinforcement of a q-ESS type in the centre. Species will generally coexist  
482 with a specific set of other species, forming fairly distinct q-ESS states of 3-8  
483 species (shaded regions). However, there are many cases where the majority of  
484 q-ESS members remain constant but one species is swapped out for another.  
485 Thus in some cases there is a smooth transition spatially between one q-ESS  
486 type and a completely different q-ESS type, with many transients along the  
487 way containing subsets of each (e.g. dense forest fading to woodlands then to  
488 grassland). In other cases the coexistence is more essential and there will be  
489 a distinct line between one species set and another.

490 In toroid geometry, any observations of greater than half the total size are af-  
491 fected unaccountably by the periodic boundary so we restrict conclusions from  
492 scales less than  $X/2$ , which do appear to be truly power law related (tested for  
493 up to  $X = 20$ ). Unfortunately, this size restriction does not permit the testing  
494 of self-similarity by any other means than the power-law relation, and we can-  
495 not tell if non-contiguous patches patches might form in larger simulations. It  
496 is possible that species distribution is truly self-similar in our model, whether  
497 the patches are or not, as species may survive in several different patches.

498 We can also consider this system in the absence of mutation, so considering a  
499 ‘population dynamics’ version of the model. Here, initial conditions are very  
500 important as no new species can ever be added. The quasi-stability observed  
501 previously will also change nature as the only possible disturbance is migrant

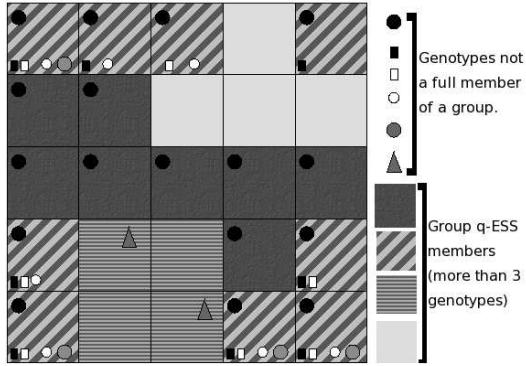


Fig. 5. Spatial distribution of species on a small (5x5) periodic lattice after 50000 generations, with background shading for each point representing the basic q-ESS members and symbols representing all genotypes that do not completely fit into a q-ESS category. Some of these genotypes are active in more than one q-ESS state (e.g. black circle) and others operate in subsets of a specific q-ESS state (e.g. grey triangle). All species are located in contiguous lattice-points, and it is possible for some patches to span the entire area.

species. If we for the moment consider a single lattice site with randomly chosen species, the behaviour is similar to the usual case with mutation in that the number of species condenses down to a small number which are mutually stable. As there can be no invasion, the only pressure is accidental death. This occurs with very low probability for moderate population numbers as the form of  $p_{off}$  ensures that there is a restoring pressure to the equilibrium. The system will always find a steady state (which, rarely, may have only one species in if the species that survived the low population stage happen to all have non-mutualistic interactions).

However, on a spatial lattice things are different. If we choose to evolve a q-ESS to copy to all points then clearly the system will contain only this q-ESS forever, as there is no source of change. If we start the system with random individuals, however, then the initial states found in each lattice point will be very different and so migrants may have significant impact. In this case, we see a relaxation in diversity of similar form (power law) to the mutation case. However, the rate of decay (the exponent for the decrease of diversity with time) is smaller compared to the evolving case. A species area relation of the same form as in the evolving case is still seen, complete with slight S shape form. If we start with an evolved system with a reasonable SAR, and then turn off evolution, we see that the decay with time of the diversity decreases drastically, as the system almost ‘freezes’ (Figure 6). The SAR form will not change drastically, but the exponent will continue to decrease very slowly as the number of species, and the number of distinct q-ESS decreases.

This behaviour shows that it is population dynamics that give the SAR power law form, and that our formalism does not permit mutations to spread through the system with sufficient speed to offset extinctions. Instead, evolution permits the generation of ‘better’ q-ESS that can spread through the system

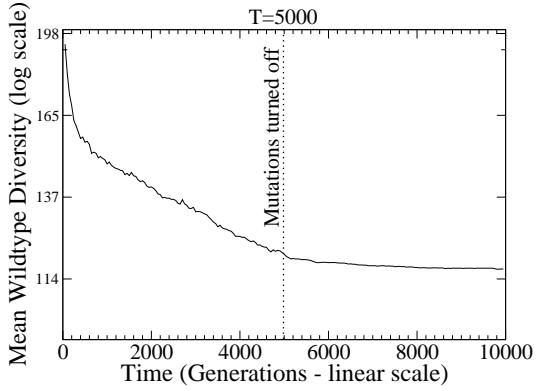


Fig. 6. Time dependence of diversity: for the first 5000 generations, mutations are permitted ( $p_{mut} = 0.001$ ), and are then stopped (averaged over 20 runs). The system decay rate decreases markedly, but still follows a power law.

more quickly, accelerating the rate of species loss. However, evolution is required to produce diversity in the first place, and allows it to spread very quickly throughout the system as seen in Figure 2. In our model, environmental factors (changing in space and/or evolutionary time) are necessary for preventing the collapse of the SAR once it is formed.

#### 4 Discussion

Our SAR results bear striking similarity with those of a neutral ‘voting’ model of Durrett and Levin [5]. The form of the SAR in both is almost power-law, with a slight s-shape produced by boundary effects. They find that the z-value decreases with decreasing speciation rate (which is equivalent to immigration rate, if new species are introduced from another land mass, for example). In our model with interactions and explicit genotype space, we find that z-value decreases with increasing migration rate inside the system. Mutation occurs at constant speed, so increasing migration rate, e.g. Figure 4(a), *decreases* the relative spread of a new species, instead causing transitions to an already existing q-ESS and so reinforcing currently existing species.

Essentially, internal migration rate reduces the relative effect of mutations, and so produces the inverse effect of the immigration rate of new species from outside the system (which is equivalent to mutation in a point-mutation representation without consideration of genetics). High mobility (i.e. migration and immigration rates) for a family of species mean better mixing and so less chance for spatial segregation of species within a single family - the standard explanation for why birds generally have lower z-values than land species. Conversely, e.g. on islands, it allows species from elsewhere to arrive, so possibly increasing diversity (as argued in [5]). Which effect dominates will depend on the geography in question - i.e. the size of the local groups of individuals,

555 and the separation between them. A more detailed model is required to probe  
556 this more fully.

557 Magurran and Henderson [28], noted that permanent fish species have log-  
558 normal SAD whilst transient species have a log-series distribution. Our local  
559 q-ESS has the same distribution, with a log-normal like distribution for the  
560 wildtypes and a log-series like for mutants and migrants. For low mutation  
561 rates and high migration rates, clearly migrants will outnumber local mutants  
562 and we will observe the exact same distribution near the q-ESS patch borders.  
563 Here, the distinction between the two types is of fitness - the wildtypes with  
564 a log-normal like SAD are all equally fit in that they have a reproduction rate  
565 exactly balancing the death rate; the migrants with a log-series like SAD are  
566 all less fit and rely on repopulation from an external pool.

567 The Tangled Nature model on a spatial lattice reproduces many of the ob-  
568 served features in real systems without making any *a-priori* assumptions about  
569 the existence of species. Instead, species and their spatial distributions are al-  
570 lowed to form naturally by co-evolution from simple rules applied only to  
571 individuals. Unfortunately, the model is currently too computer intensive to  
572 allow simulation of the very large scales (and higher migration rates) expected  
573 in real systems. However, a near power law is clearly produced as a simple  
574 result of species forming patches of many sizes, themselves the product of  
575 diffusive dispersion with reproduction and mutation when local interaction is  
576 permitted. Mutation is necessary to give ‘raw material’ for new species to be  
577 formed.

578 Co-evolutionary forces are sufficient to allow (co-evolutionary) habitat differ-  
579 entiation (as shown in the co-habitation of competing E.coli strains in [29]),  
580 and the number of different habitats increases with area as a power-law. Thus  
581 power-law SARs are observed, as the number of habitats can drive the diver-  
582 sity increase with area [3], and these persist over long timescales and in the  
583 absence of geographical differences. The evolutionary history therefore relates  
584 to the production, and  $z$ -value, of power-law like SARs and may be important  
585 in many cases [3].

586 The habitat differentiation produced by co-evolution allows species to be lo-  
587 cally equivalent whilst interacting strongly, and maintains differences in off-  
588 spring probabilities when removed from its favoured habitat. Thus we find  
589 equivalence whenever individuals have had time to adapt to the homoge-  
590 neous killing probability, which corresponds to a situation where individuals  
591 die mainly due to some more or less species independent stochastic killing  
592 mechanism. An example of such a system might be ‘climax’ stage of forest  
593 succession [30][31], where species makeup is approximately constant (over a  
594 sufficiently large area and time average) and the ratio of births to deaths are  
595 close to unity for all species. Species measured in the field that were found

596 to be non-equivalent [7] may be considered in the context of Tangled Nature  
597 to be transitionary, or may simply be out of the habitat they were originally  
598 adapted to - the equivalence predicted in our system is very local, but can be  
599 formed over distances by the correct migration composition of species.

600 Individuals from species not found locally are generally poorly adapted to  
601 the local environment and go quickly extinct. Rarely, however, species with  
602  $p_{off} > p_{kill}$  can invade and their increased chance of survival over the general  
603 population allows the species to flourish initially - providing a method for fast  
604 speciation from an initial mutant. In addition, during transitions, intermediate  
605 genotypes are successful which may be replaced by other genotypes before a  
606 q-ESS is established, overcoming the ‘fitness barrier’ to distant genotypes,  
607 with *all intermediates occupying fitness maxima*. Thus, speciation can occur  
608 quickly, and to species distantly related. This contrasts the ‘fitness landscape’  
609 viewpoint (For a review, see e.g. [32]), in which speciation requires passing  
610 through a fitness minima. It also solves a problem seen in neutral theories,  
611 which require external pressure such as allopatric speciation (i.e. isolating a  
612 whole community for mutation by “random fission” [33][34], instead of using  
613 the traditional point mutation used here and in much of the literature) if  
614 realistically fast speciation and extinctions are to occur [7].

615 We have identified the stability of species, fast extinctions and separation in  
616 genotype space as the main differences between our interacting model and  
617 neutral models. The wildtypes in our system are locally equivalent, and it  
618 is the patches of these wildtypes that are producing the power-law SARs  
619 observed. Wildtypes are thus equivalent most of the time but not when found  
620 outside their own habitat, where they suffer a reproductive disadvantage. This  
621 is consistent with the non-neutrality observed in nature and may explain why  
622 neutral dynamics do so well at predicting SARs and SADs. The non-neutrality  
623 is only important during transitions (which, in the spatial model are usually  
624 local events), but the number and distribution of species does not change, only  
625 the specific type of species. These effects cannot be observed in instantaneous  
626 measures, or in time averages.

627 The spatial Tangled Nature model provides a simple general framework con-  
628 taining the basic properties of diffusive dispersion, reproduction and mutation  
629 on the level of individuals, it allows taxonomic structures to emerge and pro-  
630 duces a large number of observed macroscopic ecological phenomenon - species  
631 abundance, long-lived species, fast extinctions, power-law lifetimes, intermit-  
632 tent dynamics, and, as demonstrated in the present paper, species-area rela-  
633 tions.

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