

EXPLICIT SIEGEL THEORY: AN ALGEBRAIC APPROACH

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To the memory of Martin Eichler

Let Q be a positive definite quadratic form on a \mathbb{Z} -lattice L of even rank $m \geq 6$; for convenience, assume $Q(L) \subseteq 2\mathbb{Z}$. To gain understanding of the representation numbers

$$r(L, 2n) = \#\{x \in L: Q(x) = 2n\},$$

we study the average representation numbers

$$r(\text{gen } L, 2n) = \frac{1}{\text{mass } L} \sum_{L' \in \text{gen } L} \frac{1}{o(L')} r(L', 2n),$$

since $r(L, 2n)$ is asymptotic to $r(\text{gen } L, 2n)$ as $n \rightarrow \infty$. Here L' runs over the distinct isometry classes within $\text{gen } L$, the genus of L ; $o(L')$ denotes the order of the orthogonal group of L' ; and $\text{mass } L = \sum_{L' \in \text{gen } L} (1/o(L'))$.

In the 1930s Siegel used analytic methods to show that $r(\text{gen } L, 2n)$ is a product of “ p -adic densities” (see [5]; cf. [2]):

$$r(\text{gen } L, 2n) = c \prod_q \frac{A_q(L, 2n)}{q^{m-1}},$$

where c is an easily computed constant, the product is over all $q = p^a$ with p prime and a sufficiently large, and $A_q(L, 2n)$ is the number of solutions to $Q(x) \equiv 2n \pmod{q}$, $x \in L/qL$. (Siegel actually shows that the average number of times a definite or indefinite quadratic form of arbitrary level and rank at least 4 represents another quadratic form is the product of p -adic densities.) One could use Hensel’s lemma to compute the p -adic densities $((A_q(L, 2n))/(q^{m-1}))$, but this gets extremely tedious when L is of arbitrary level.

We use algebraic considerations to obtain a new derivation of Siegel’s formula, obtaining a more explicit formula for average representation numbers. We first consider lattices K whose associated theta series $\theta(K; \tau)$ have square-free, odd-level N , and quadratic character χ . Using local considerations, we design

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operators on modular forms for which $\theta(\text{gen } K; \tau)$ is an eigenform. We then consider the action of these operators on Eisenstein series, constructing the 1-dimensional simultaneous eigenspace for these operators. Since $\theta(\text{gen } K; \tau)$ is known to lie in the space of Eisenstein series (see [5]; cf. [7]), this allows us to write $\theta(\text{gen } K; \tau)$ as an explicit linear combination of Eisenstein series, giving us our initial formula for average representation numbers (Corollaries 2.6 and 2.7):

$$r(\text{gen } K, 2n) = \frac{\chi(2)}{a_0} \sum_{\substack{D|N \\ d|n}} \frac{\chi_{N/D}(d)\chi_D(n/d)}{c(D)} d^{m/2-1} = \rho_{K,\infty} \prod_{p \text{ prime}} \rho_{K,p}(n),$$

where $\chi_D, \chi_{N/D}$ are the unique quadratic characters modulo $D, N/D$ (respectively) so that $\chi_D\chi_{N/D} = \chi$, a_0 and $\rho_{K,\infty}$ are explicit constants, the $c(D)$ are given by simple formulas in terms of the genus invariants of K , and with N' the conductor of χ ,

$$\rho_{K,p}(n) = \begin{cases} \frac{1 - (\chi(p)p^{m/2-1})^{e+1}}{1 - \chi(p)p^{m/2-1}} (1 - \chi(p)p^{-m/2}) & \text{if } p \nmid N, \\ (c_K(p) + \chi_p(n/p^e)\chi_{N/p}(p^e)p^{e(m/2-1)}) \frac{p^{m/2-2} - 1}{p^{m/2-1} - 1} & \text{if } p|N/N', \\ (c_K(p) + \chi_p(n/p^e)\chi_{N/p}(p^e)p^{e(m/2-1)})p^{-1/2} & \text{if } p|N'. \end{cases}$$

We also show that the average theta series attached to the genera within fam K are linearly independent (Corollary 2.8).

Next, given a lattice L whose theta series has arbitrary (odd) level N' , we use lattice constructions and combinatorial arguments to obtain a description of $\theta(\text{gen } L; \tau)$ in terms of partial sums of $\theta(\text{gen } K; \tau)$ where K has square-free level. Using the formulas for $r(\text{gen } K, 2n)$, we prove that $r(\text{gen } L, 2n) = \rho_{L,\infty} \prod_q \rho_{L,q}(n)$ where, for each prime q with $e = \text{ord}_q(n)$ and $\varepsilon = ((n/q^e)/q)$,

$$\rho_{L,q}(n) = v_\varepsilon(\varepsilon; L, q) + \sum_{0 \leq \ell < e} q^{(m/2-1)(e-\ell)} v_\ell(0; L, q);$$

here the quantities v_ℓ are given by simple formulas in terms of the genus invariants of L at q (see Theorem 3.7).

Since it can be shown that the average theta series of a genus is also that of a spinor genus, these formulas describe the average representation numbers of the spinor genus of L .

The lattice techniques used herein are local; thus we can extend these results to lattices of arbitrary rank over totally real number fields (work in progress) and possibly to Siegel modular forms.

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§1. Preliminaries. We review some standard notation and terminology and state some basic results. The reader is referred to [4], [1], and [3].

Let V be an m -dimensional vector space over \mathbb{Q} ; assume m is even. Let Q be a positive definite quadratic form on V with associated symmetric bilinear form B (so $Q(x) = B(x, x)$). Take L to be a lattice on V (i.e., a rank- m \mathbb{Z} -submodule of V); for convenience, assume L is even integral, that is, $Q(L) \subseteq 2\mathbb{Z}$. Define the discriminant of L to be $dL = \det(B(x_i, x_j))$, where $\{x_1, \dots, x_m\}$ is a \mathbb{Z} -basis for L . Let $O(V)$ denote the orthogonal group of V (i.e., the collection of all (global) isometries of V) and $O(L)$ that of L . Since Q is positive definite, $O(L)$ is finite. We say a lattice K is isometric to L , written $K \simeq L$, if there is an isometry $\sigma \in O(V)$ so that $\sigma K = L$. For any prime q , let $\mathbb{Z}_{(q)}$ denote the q -adic integers and $L_{(q)} = L \otimes \mathbb{Z}_{(q)}$. Q extends naturally to a quadratic form on $L_{(q)}$.

We say a lattice K is in the genus of L , $\text{gen } L$, if $K_{(q)} \simeq L_{(q)}$ at each prime q (i.e., there is a local isometry at each prime q taking $K_{(q)}$ onto $L_{(q)}$). There are a finite number of (global) isometry classes within $\text{gen } L$. A lattice K is in the family of L , $\text{fam } L$, if K is a lattice on V^α for some odd $\alpha \in \mathbb{Z}_+$, and for every prime q there is a q -adic unit u so that $K_{(q)} \simeq L_{(q)}^u$ (see [7]). Here, V^α denotes the vector space V scaled by α , that is, V equipped with the quadratic form αQ , and $L_{(q)}^u$ denotes $L_{(q)}$ scaled by u . As shown in Lemma 3.1 of [7], there are 2^r genera in $\text{fam } L$ for some $r \in \mathbb{Z}_+$; in Lemma 1.3 below, we give a more precise count.

Say q is an odd prime. Then $L_{(q)}$ can be diagonalized. That is, there is a $\mathbb{Z}_{(q)}$ -basis $\{x_1, \dots, x_m\}$ for $L_{(q)}$ so that $(B(x_i, x_j)) = \text{diag}\{Q(x_1), \dots, Q(x_m)\}$; we write $L_{(q)} \simeq \langle \alpha_1, \dots, \alpha_m \rangle$ where $\alpha_i = Q(x_i)$. In fact, $L_{(q)} = J_0 \perp \dots \perp J_s$ where each J_i is q^i -modular; that is, $J_i \simeq q^i \langle 1, \dots, 1, \eta_i \rangle$, $\eta_i \in \mathbb{Z}_{(q)}^\times$. The J_i are called Jordan components of $L_{(q)}$. These are not uniquely determined by L , but their $\mathbb{Z}_{(q)}$ -isometry classes are. Note that the $\mathbb{Z}_{(q)}$ -isometry class of a q^i -modular lattice is determined by its rank and its discriminant (up to squares of q -adic units). Thus we have

$$L_{(q)} \simeq \langle 1, \dots, 1, \eta_0 \rangle \perp q \langle 1, \dots, 1, \eta_1 \rangle \perp \dots \perp q^s \langle 1, \dots, 1, \eta_s \rangle, \text{ and so}$$

$$L \simeq \langle 1, \dots, 1, \eta_0 \rangle \perp q \langle 1, \dots, 1, \eta_1 \rangle \perp \dots \perp q^s \langle 1, \dots, 1, \eta_s \rangle \pmod{q^t}$$

for any $t \in \mathbb{Z}_+$; that is, relative to some \mathbb{Z} -basis $\{x_1, \dots, x_m\}$ for L , $(B(x_i, x_j)) \equiv \langle 1, \dots, 1, \eta_0 \rangle \perp \dots \perp q^s \langle 1, \dots, 1, \eta_s \rangle \pmod{q^t}$. From this we obtain the following technical lemma.

LEMMA 1.1. *Let L, L' be even integral \mathbb{Z} -lattices and q an odd prime. Write $L_{(q)} \simeq \langle 1, \dots, 1, \eta_0 \rangle \perp \dots \perp q^s \langle 1, \dots, 1, \eta_s \rangle$, $L'_{(q)} \simeq \langle 1, \dots, 1, \eta'_0 \rangle \perp \dots \perp q^{s'} \langle 1, \dots, 1, \eta'_{s'} \rangle$.*

- (1) *Suppose $L \simeq L' \pmod{q^t}$ where $t > s, s'$. Then $L_{(q)} \simeq L'_{(q)}$.*
- (2) *Suppose $L_{(q)} \simeq L'_{(q)}$. Then $L \simeq L' \pmod{q^t}$ for any $t \in \mathbb{Z}_+$.*

Assume we have scaled L so that $Q(L) \subseteq 2\mathbb{Z}$, $Q(L) \not\subseteq 2n\mathbb{Z}$ for any $n > 1$. Then with notation as above, L/qL is a $\mathbb{Z}/q\mathbb{Z}$ -vector space. Here we use Q and B to

denote the quadratic and bilinear forms naturally induced on L/qL ; the induced forms take values in $\mathbb{Z}/q\mathbb{Z}$. We call a nonzero vector $\bar{x} \in L/qL$ isotropic if $Q(\bar{x}) = 0$; we call \bar{x} anisotropic if $Q(\bar{x}) \neq 0$. (Note: When it will not cause confusion, we use \bar{x} freely to denote the image of x in various reduced lattices L'/qL' .) A subspace of L/qL is called totally isotropic if all its nonzero vectors are isotropic, and it is called anisotropic if it contains no (nonzero) isotropic vectors. We define the radical to be

$$\text{rad } L/qL = \{\bar{x} \in L/qL: B(\bar{x}, \bar{y}) = 0 \text{ for all } \bar{y} \in L/qL\}.$$

If $\text{rad } L/qL = \{0\}$, then we say L/qL is regular, and we have $L/qL = H_1 \perp \cdots \perp H_k \perp A$, where A is anisotropic of dimension 0, 1, or 2, and each H_i is a hyperbolic plane; that is, $H_i \simeq \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \simeq \langle 1, -1 \rangle$. Here k is called the Witt index of L/qL . When L/qL is not regular, $L/qL = \bar{U} \perp \text{rad } L/qL$ for some regular subspace \bar{U} whose isometry class is uniquely determined by L/qL . We say the Witt index of L/qL is that of \bar{U} . More generally, we say a space of type $(r; d, \mu)$ is a $\mathbb{Z}/q\mathbb{Z}$ -quadratic space $\bar{W} = \bar{U} \perp \text{rad } \bar{W}$ such that $\dim \bar{W} = r$, $\dim \bar{U} = d$, and $((-1)^\ell d\bar{U}/q) = \mu$, where $\ell = [d/2]$, $d\bar{U}$ = the discriminant of \bar{U} , and $(*/*)$ denotes the Legendre symbol. When $r = d$, we simply say the space is type (d, μ) . (For instance, a hyperbolic plane is type $(2, 1)$.)

One easily verifies the next result.

PROPOSITION 1.2. *Let L be an even integral lattice and q an odd prime with*

$$L_{(q)} = J_0 \perp \cdots \perp J_s \quad \text{and} \quad J_i \simeq q^i \langle 1, \dots, 1, \eta_i \rangle$$

for some $\eta_i \in \mathbb{Z}_{(q)}^\times$.

(1) *Say \bar{C} is a d -dimensional totally isotropic subspace of L/qL such that $\bar{C} \cap \text{rad } L/qL = \{0\}$. Thus $L/qL = (\bar{C} \oplus \bar{D}) \perp \bar{U} \perp \text{rad } L/qL$, where $\bar{C} \oplus \bar{D}$ is hyperbolic (i.e., an orthogonal sum of hyperbolic planes) and $\bar{U} \simeq \langle 1, \dots, 1, \eta' \rangle$ is an $(r - d, \mu)$ space. Let M = preimage in L of $\bar{C} \oplus \text{rad } L/qL$, and M' = preimage in L of \bar{C}^\perp , where $\bar{C}^\perp = \{\bar{x}: B(\bar{x}, \bar{C}) = 0\}$. Then $M_{(q')} = M'_{(q')} = L_{(q')}$ for every prime $q' \neq q$, and*

$$M_{(q)} \simeq q \langle 1, -1, \dots, 1, -1 \rangle \perp J_1 \perp q^2 \langle 1, \dots, 1, \eta' \rangle \perp J_2 \perp \cdots \perp J_s,$$

$$M'_{(q)} \simeq \langle 1, \dots, 1, \eta' \rangle \perp q \langle 1, -1, \dots, 1, -1 \rangle \perp J_1 \perp J_2 \perp \cdots \perp J_s,$$

where $\langle 1, -1, \dots, 1, -1 \rangle$ has rank $2d$, $\langle 1, \dots, 1, \eta' \rangle$ has rank $-2d + \text{rank } J_0$, $\eta' \in \mathbb{Z}_{(q)}^\times$, and $(\eta'/q) = ((-1)^d \eta_0/q)$.

(2) *Say $J_0 = C_0 \perp D_0$, $J_1 = C_1 \perp D_1$ (so C_i, D_i are necessarily q^i -modular). Let M = preimage in L of $\bar{C}_0 \perp \bar{C}_1 \subseteq L/qL$. Then $M_{(q')} = M'_{(q')} = L_{(q')}$ for every prime $q' \neq q$, and*

$$M_{(q)} = C_0 \perp C_1 \perp qD_0 \perp J_2 \perp qD_1 \perp J_3 \perp \cdots \perp J_s.$$

(3) When $R = \text{preimage in } L \text{ of } \text{rad } L/qL$, we have $R_{(q)} = J_1 \perp qJ_0 \perp J_2 \perp \cdots \perp J_s$.

We define the theta series attached to L to be $\theta(L; \tau) = \sum_{x \in L} e^{\pi i Q(x)\tau}$ where $\tau \in \mathcal{H} = \{\tau' \in \mathbf{C} : \Im \tau' > 0\}$. Since Q is positive definite, $\theta(L; \tau)$ is a modular form of weight $m/2$, some level N , and character χ_L , where $\chi_L(d) = (\text{sgn } d)^{m/2}((-1)^{m/2} dL/|d|)$, where $(*/*)$ is the Kronecker symbol. We refer to the level of $\theta(L; \tau)$ as the level of L . For odd primes q , $\text{ord}_q N = s$, where s is as above in the Jordan decomposition of $L_{(q)}$. χ_L is a quadratic character modulo N , and for odd primes p not dividing N , $\chi_L(p) = 1$ if and only if L/pL is hyperbolic. We will be assuming $N = \text{level of } L$ is odd, so (cf. [6]) we necessarily have

$$L_{(2)} \simeq \begin{pmatrix} 2a_1 & 1 \\ 1 & 2c_1 \end{pmatrix} \perp \cdots \perp \begin{pmatrix} 2a_{m/2} & 1 \\ 1 & 2c_{m/2} \end{pmatrix}$$

and $(-1)^{m/2} dL \equiv 1 \pmod{4}$.

We say a lattice K has minimal level and discriminant at an odd prime q if, for some $\eta, \eta' \in \mathbf{Z} - q\mathbf{Z}$,

$$K_{(q)} \simeq \begin{cases} \langle 1, \dots, 1, \eta \rangle & \text{or} \\ \langle 1, \dots, 1, \eta \rangle \perp q\langle \eta' \rangle & \text{or} \\ \langle 1, \dots, 1, \eta \rangle \perp q\langle 1, \eta' \rangle & \text{where } \left(\frac{(-1)^{m/2-1} \eta}{q} \right) = -1 = \left(\frac{-\eta'}{q} \right). \end{cases}$$

In the last case, the condition on Legendre symbols means that neither Jordan component of K is hyperbolic modulo q (where we consider the second Jordan component scaled by $1/q$). When K has minimal (odd) level and discriminant at all odd primes, we simply say K has minimal level and discriminant.

LEMMA 1.3. *Suppose K has minimal, odd level N and minimal discriminant dK ; let q_1, \dots, q_h be the primes exactly dividing N . If $h = 0$, then $\text{fam } K = \text{gen } K$; if $h > 0$, then there are 2^{h-1} genera in $\text{fam } K$.*

Proof. As the case $h = 0$ is trivial, we suppose $h > 0$; take $K' \in \text{fam } K$, and define $\chi(d) = (\text{sgn } d)^{m/2}((-1)^{m/2} dK/|d|)_K$, where $(*)_K$ denotes the Kronecker symbol. (So $(*/d)_K$ is the Jacobi symbol $(*/d)$ when d is positive and odd.) Note that our assumptions on K imply $dK = q_1 \cdots q_h \ell^2$ for some $\ell \in \mathbf{Z}$, and $(-1)^{m/2} q_1 \cdots q_h \equiv 1 \pmod{4}$ (cf. [6]). Then as described in the proof of Lemma 3.1 of [7], $K' = J^{1/\alpha}$, where J is “connected to K by a prime-sublattice chain.” That is, $\alpha = p_1 \cdots p_r p_{r+1}^2 \cdots p_{r+s}^2$ where the p_j are odd primes (not necessarily distinct) with $\chi(p_j) = 1$ if $j \leq r$, and there exist lattices $J_0 = K, J_1, \dots, J_{r+s-1}, J_{r+s} = J$ such that J_j is a p_j -sublattice of J_{j-1} if $j \leq r$, and J_j is a p_j^2 -sublattice of J_{j-1} if $j > r$. (A p -sublattice J' of J is the preimage in J of a maximal totally isotropic subspace of the quadratic space J/pJ . A p^2 -sublattice J' of J is a

p -sublattice of a p -sublattice of J with $\dim J'/(J' \cap pJ)$ maximal; cf. [6].) Hence $\chi(\alpha)$ must equal 1. Also (cf. [6] and [7]), $K'_{(q)} \simeq K_{(q)}$ for all primes $q \nmid q_1 \cdots q_h$ (for $q = 2$, refer to §82E and 93:16 of [4]) and $K'_{(q_i)} \simeq K_{(q_i)}$ if and only if $(\alpha/q_i) = 1$. Thus we identify $\text{gen } K'$ with the vector $((\alpha/q_1), \dots, (\alpha/q_h))$. As $1 = \chi(\alpha) = (\alpha/N) = (\alpha/q_1 \cdots q_h)$, the value of (α/q_h) is determined by the values of (α/q_j) for $j < h$. Hence there can be at most 2^{h-1} genera within $\text{fam } K$.

On the other hand, choose $\varepsilon_j = \pm 1$ for $1 \leq j < h$ and set $\varepsilon_h = \varepsilon_1 \cdots \varepsilon_{h-1}$. Using the Chinese Remainder theorem, we can find an odd prime p such that $(p/q_j) = \varepsilon_j$ for $1 \leq j \leq h$; notice that quadratic reciprocity implies that $\chi(p) = 1$. Let J be a p -sublattice of K and set $K' = J^{1/p}$; then $K' \in \text{fam } K$ and $\text{gen } K'$ corresponds to $(\varepsilon_1, \dots, \varepsilon_h)$. Hence $\text{fam } K$ contains 2^{h-1} genera. \square

Remark. Consider the group $S = \{(\varepsilon_1, \dots, \varepsilon_h) : \varepsilon_i = \pm 1, \varepsilon_1 \cdots \varepsilon_h = 1\}$; as in [8], let $\{v_1, \dots, v_{h-1}\}$ be a set of generators of this group. For each j , we can find an odd prime p_j such that $v_j = ((p_j/q_1), \dots, (p_j/q_h))$. Notice that we necessarily have $1 = (p_j/q_1 \cdots q_h) = \chi(p_j)$. Let $A = p_1 \cdots p_{h-1}$. Then each divisor α of A corresponds to $((\alpha/q_1), \dots, (\alpha/q_h)) \in \mathcal{S}$. Thus we may index the genera in $\text{fam } K$ by the divisors of A .

PROPOSITION 1.4. *Let K be a lattice of level N , and let q be an odd prime such that K has minimal level and discriminant at q . Set $R = \text{preimage in } K \text{ of } \text{rad}(K/qK)$. Then $O(R) = O(K)$ (where $O(K)$ denotes the orthogonal group of K).*

Proof. Take $\sigma \in O(K)$. Then for $x \in R$, we have

$$B(K, \sigma x) = B(\sigma K, \sigma x) = B(K, x) \equiv 0 \pmod{q}.$$

Hence $\bar{\sigma}x \in \text{rad } K/qK$, so $\sigma x \in R$. Thus $O(K) \subseteq O(R)$. Since $qK = \text{preimage in } R \text{ of } \text{rad } R/qR$ (where R is scaled by $1/q$), we also have $O(R) \subseteq O(qK) = O(K)$. \square

PROPOSITION 1.5. *Let K, R be as in the preceding proposition. As K' varies over the isometry classes in $\text{gen } K$, the corresponding R' varies over the classes in $\text{gen } R$.*

Proof. Suppose $R' \in \text{gen } R$ with $qK' = \text{preimage in } R' \text{ of } \text{rad } R'/qR'$ (where R' is scaled by $1/q$). Thus $R' = \text{preimage in } K' \text{ of } \text{rad } K'/qK'$. One easily verifies that whenever a (local or global) isometry σ carries R to R' , then σ also carries qK to qK' and hence K to K' . \square

Given any lattice L , the Fourier coefficients of $\theta(L; \tau)$ are the representation numbers of L :

$$\theta(L; \tau) = \sum_{n \geq 0} r(L, 2n) e^{2\pi i n \tau}, \quad \text{where } r(L, 2n) = \#\{x \in L : Q(x) = 2n\}.$$

We define the average theta series to be $\theta(\text{gen } L; \tau) = 1/\text{mass } L \sum_{L' \in \text{gen } L} \times (1/o(L'))\theta(L'; \tau)$, where L' runs over the isometry classes in $\text{gen } L$, $o(L') = \#O(L')$ = the order of the orthogonal group of L' , and $\text{mass } L = \sum_{L' \in \text{gen } L} (1/o(L'))$. Thus

$$\theta(\text{gen } L; \tau) = \sum_{n \geq 0} r(\text{gen } L, n) e^{2\pi i n \tau},$$

where

$$r(\text{gen } L, n) = \frac{1}{\text{mass } L} \sum_{L' \in \text{gen } L} \frac{1}{o(L')} r(L', 2n).$$

In [7] we showed $\theta(\text{gen } L; \tau)$ lies in the space of Eisenstein series by examining the action of Hecke operators T_p , $p \nmid 2N$, on $\theta(L; \tau)$. Let q_1, \dots, q_h , A be as in our discussion of fam L following Lemma 1.3. Given $\alpha|A$ with corresponding genus K_α and prime p such that $\chi(p) = 1$, we have

$$\theta(\text{gen } K_\alpha; \tau) | T_p = (p^{m/2-1} + 1) \theta(\text{gen } K_\beta; \tau),$$

where $\beta|A$, $\beta \equiv p\alpha \pmod{q_1 \cdots q_h}$ (see Lemma 3.3 of [7]).

In this paper we make use of some other standard operators on weight $m/2$ modular forms. For q an odd prime dividing N , we let

$$B_q = q^{-m/4} \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix}, \quad U_q = q^{m/4-1} \sum_{b=1}^q \begin{pmatrix} 1 & b \\ 0 & q \end{pmatrix}, \quad R_q = \frac{1}{g_q} \sum_{a=1}^q \begin{pmatrix} a \\ \frac{a}{q} \end{pmatrix} \begin{pmatrix} 1 & a/q \\ 0 & 1 \end{pmatrix}$$

where $g_q = \sum_{b \pmod q} (b/q) e^{2\pi i b/q}$. So for a modular form $f(\tau) = \sum_{n \geq 0} a(n) e^{2\pi i n \tau}$, we have

$$f(\tau) | B_q = \sum_{n \geq 0} a(n) e^{2\pi i q n \tau}, \quad f(\tau) | U_q = \sum_{n \geq 0} a(qn) e^{2\pi i n \tau},$$

and

$$f(\tau) | R_q = \sum_{n \geq 0} \left(\frac{n}{q} \right) a(n) e^{2\pi i n \tau}.$$

Notice that for any lattice L , $\theta(L; \tau) | B_q^2 = \theta(qL; \tau)$.

Let $G(\tau; c, d; N)$ denote the Eisenstein series of weight $m/2$, odd, square-free level N , and quadratic character χ , as defined in Chapter IV of [3]. As usual, we assume $(-1)^{m/2} = \chi(-1)$. For $D|N$, set

$$E_D(\tau) = \frac{D^{m/2-1} \Gamma(m/2)}{2(-2\pi i)^{m/2}} \sum_{\substack{a \pmod N \\ b \pmod{N/D} \\ c \pmod D}} \chi_{N/D}(b) \chi_D(c) e^{-2\pi i a c/D} G(\tau; bD, a; N).$$

Then by Theorem 15 in Chapter IV of [3], we find that each E_D is a simultaneous eigenform for the Hecke operators T_p , $p \nmid 2N$, and $\{E_D: D|N\}$ is a basis for the space of Eisenstein series of weight $m/2$, level N , and character χ . From Proposition 17 in Chapter IV of [3], we see that $E_D(\tau) = \sum_{n \geq 0} a_D(n) e^{2\pi i n \tau}$, where

$$a_D(0) = \begin{cases} 0 & \text{if } D \neq N, \\ \frac{N^{m/2-1} \Gamma(m/2)}{2(2\pi i)^{m/2}} \sum_{\substack{n \geq 1 \\ b \bmod N}} \chi(b) e^{2\pi i n b / N} n^{-m/2} & \text{if } D = N, \end{cases}$$

and for $n \geq 1$,

$$a_D(n) = \sum_{\substack{d|n \\ d > 0}} \chi_{N/D}(n/d) \chi_D(d) d^{m/2-1}.$$

Standard techniques for evaluating Gauss sums show that

$$a_N(0) = \frac{N^{m/2-1} \Gamma(m/2)}{(2\pi i)^{m/2}} G(\chi_{N'}, 1) \mu(N/N') L(\chi, m/2) \prod_{\substack{q|N/N' \\ q \text{ prime}}} \frac{1 - \chi_{N'}(q) q^{1-m/2}}{1 - \chi_{N'}(q) q^{-m/2}},$$

where $N' = \text{cond } \chi$, $G(\chi_{N'}, 1)$ is the standard Gauss sum (modulo N') and $L(\chi, s) = \sum_{n \geq 1} \chi(n) n^{-s}$, the standard Dirichlet series for χ (modulo N).

§2. Lattices of minimal level and discriminant. Throughout this section, let K be a lattice of odd level N and discriminant dK . We derive formulas for the average representation numbers of gen K when K has minimal level and discriminant.

Convention. When a lattice J has the property that the first Jordan component of $J_{(q)}$ is q^k -modular, we use the quadratic form $q^{-k}Q$ on the $\mathbb{Z}/q\mathbb{Z}$ -space J/qJ .

The proofs of the following two propositions illustrate the main techniques used throughout the paper.

PROPOSITION 2.1. *Suppose $N \neq 1$, where N denotes the level of K ; let q be an odd prime dividing N . Suppose K has minimal level and discriminant at q . Thus for any $t \in \mathbb{Z}_+$,*

$$K \simeq \begin{cases} \langle 1, \dots, 1, \eta \rangle \perp q \langle \eta' \rangle \pmod{q^t} & \text{if } q \nmid dK, \\ \langle 1, \dots, 1, \eta \rangle \perp q \langle 1, \eta' \rangle \pmod{q^t} & \text{if } q^2 | dK; \end{cases}$$

recall that in the latter case our hypotheses on K imply $((-1)^{m/2-1}\eta/q) = -1 = (-\eta'/q)$. Let

$$R = \text{preimage in } K \text{ of } \text{rad } K/qK,$$

and let dK denote the discriminant of K . Let p be an odd prime not dividing the level of K such that

$$\chi_q(p) = \chi_q((-1)^{m/2-1}\eta\eta'), \quad \left(\frac{p}{q'}\right) = \left(\frac{q}{q'}\right)$$

for all primes $q'|N$, $q' \neq q$. We refer to p as a prime associated to q . Then $\chi_K(p) = 1$ and

$$\begin{aligned} \theta(\text{gen } R; \tau) &= \theta(\text{gen } K; \tau)|_{T_{K/R}(q)} \\ &= \theta(\text{gen } K; \tau) \left| \left[\frac{q^{m/2-1} + 1}{q^{m/2-1}(p^{m/2-1} + 1)} B_q T_p - \frac{1}{q^{m/2-1}} U_q B_q \right] \right|. \end{aligned}$$

Remark. When $q \nmid dK$, $R = qK$, and so $\theta(\text{gen } R; \tau) = \theta(\text{gen } K; \tau)|_{B_q^2}$. Also, this proposition extends easily to the case dK by imposing the extra condition $p \equiv q \pmod{8}$.

Proof. Let \bar{C} be a maximal totally isotropic subspace of K/qK (so $\text{rad } K/qK \subseteq \bar{C}$), and let

$$K' = \text{preimage in } K \text{ of } \bar{C}.$$

By Proposition 1.2,

$$K' \simeq \begin{cases} q\langle 1, \dots, 1, (-1)^{m/2-1}\eta' \rangle \perp q^2\langle (-1)^{m/2-1}\eta \rangle \pmod{q^t} & \text{if } q \parallel dK, \\ q\langle 1, \dots, 1, \eta \rangle \perp q^2\langle 1, \eta' \rangle \pmod{q^t} & \text{if } q^2 \mid dK \end{cases}$$

for any $t \in \mathbb{Z}_+$. Also, for any prime $q' \neq q$, $K'_{(q')} = K_{(q')}$.

Clearly these sublattices K' are in one-to-one correspondence with these subspaces \bar{C} . Using the formulas from [1, p. 146] (cf. Proposition 7.2 of [6]), we find there are

$$(q^{m/2-1} + 1)\beta = \begin{cases} (q^{m/2-1} + 1)(q^{m/2-2} + 1) \cdots (q + 1) & \text{if } q \parallel dK, \\ (q^{m/2-1} + 1)(q^{m/2-2} + 1) \cdots (q^2 + 1) & \text{if } q^2 \mid dK \end{cases}$$

ways to choose \bar{C} , and exactly β of these contain a given vector $x \in K - R$ provided $q \mid Q(x)$. When $q \nmid Q(x)$, $x \notin K'$ for every K' , and when $x \in R$, we have

$x \in K'$ for each choice of K' . Thus, we find that

$$\theta(K; \tau)|U_q B_q + q^{m/2-1}\theta(R; \tau) = \frac{1}{\beta} \sum_{K'} \theta(K'; \tau),$$

where K' varies over all the sublattices constructed as above.

For J, J' lattices on V , let $f(J, J') = \#\{\sigma \in O(V) : qJ \subset \sigma J' \subset J\}$. So

$$\theta(K; \tau)|U_q B_q + q^{m/2-1}\theta(R; \tau) = \frac{1}{\beta} \sum_{M' \in \text{gen } K'} \frac{f(K, M')}{o(M')} \theta(M'; \tau),$$

where K' is any sublattice constructed above, and M' runs over the isometry classes in $\text{gen } K'$. Averaging over the isometry classes in $\text{gen } K$ (and thus the corresponding isometry classes in $\text{gen } R$; see Propositions 1.4 and 1.5), we get

$$\begin{aligned} & \theta(\text{gen } K; \tau)|U_q B_q + q^{m/2-1}\theta(\text{gen } R; \tau) \\ &= \frac{1}{\beta \cdot \text{mass } K} \sum_{M' \in \text{gen } K'} \left(\sum_{M \in \text{gen } K} \frac{f(M, M')}{o(M)} \right) \frac{1}{o(M')} \theta(M'; \tau) \\ &= \frac{1}{\beta \cdot \text{mass } K} \sum_{M' \in \text{gen } K'} \left(\sum_{M \in \text{gen } K} \frac{f(M', qM)}{o(qM)} \right) \frac{1}{o(M')} \theta(M'; \tau). \end{aligned}$$

Now, $\sum_{M \in \text{gen } K} f(M', qM)/o(qM) = (q^{m/2-1} + 1)\beta$, the number of maximal totally isotropic subspaces of M'/qM' , so

$$\theta(\text{gen } K; \tau)|U_q B_q + q^{m/2-1}\theta(\text{gen } R; \tau) = (q^{m/2-1} + 1) \frac{\text{mass } K'}{\text{mass } K} \theta(\text{gen } K'; \tau).$$

Comparing zeroth Fourier coefficients, we find that

$$\theta(\text{gen } K; \tau)|U_q B_q + q^{m/2-1}\theta(\text{gen } R; \tau) = (q^{m/2-1} + 1)\theta(\text{gen } K'; \tau).$$

If $q' \nmid \text{cond } \chi$, then $K_{(q')}^q \simeq K_{(q')} \simeq K'_{(q')}$, but if $q' | \text{cond } \chi$, then $K_{(q')}^q \simeq K'_{(q')}$ only if $(q/q') = 1$. Thus, we do not necessarily have $\theta(\text{gen } K'; \tau) = \theta(\text{gen } K; \tau)|B_q$. However, we claim that

$$\theta(\text{gen } K'; \tau) = \frac{1}{p^{m/2-1} + 1} \theta(\text{gen } K; \tau)|B_q T_p = \frac{1}{p^{m/2-1} + 1} \theta(\text{gen } K; \tau)|T_p B_q.$$

To verify this claim, first note that

$$\chi(p) = \left(\frac{(-1)^{m/2} dK}{p} \right) = \left(\frac{(-1)^{m/2} q_1 \cdots q_h N_0^2}{p} \right),$$

where q_1, \dots, q_h are distinct primes and $N_0 \in \mathbb{Z}_+$. Since by assumption, χ is a character of odd level N , we must have $(-1)^{m/2} q_1 \cdots q_h \equiv 1 \pmod{4}$, and $\text{cond } \chi = q_1 \cdots q_h$. Hence our constraints on p and quadratic reciprocity imply that $\chi(p) = 1$. Thus by Lemmas 5.2 of [6] and 3.3 of [7], we have

$$\theta(\text{gen } K; \tau)|_{T_p} = (p^{m/2-1} + 1) \frac{\text{mass } M}{\text{mass } K} \theta(\text{gen } M; \tau) = (p^{m/2-1} + 1) \theta(\text{gen } M; \tau),$$

where M is a lattice on $V^{1/p}$, $M_{(p)} \simeq K_{(p)}$, for all primes $q' \neq p$, $M_{(q')} \simeq K_{(q')}^p$, and the last equality follows from comparing zeroth Fourier coefficients. So for $q' \neq p$, our constraints on p imply that

$$M_{(q')}^q \simeq K_{(q')}^{pq} \simeq K_{(q')} \simeq K'_{(q')}.$$

Also, since $p \nmid dK$, $M_{(p)}^q \simeq K_{(p)}^q \simeq K_{(p)} \simeq K'_{(p)}$. Hence, $M^q \in \text{gen } K'$, and

$$\theta(\text{gen } K; \tau)|_{T_p B_q} = (p^{m/2-1} + 1) \theta(\text{gen } K'; \tau).$$

The proposition now follows by solving our earlier equation for $\theta(\text{gen } R; \tau)$. \square

Assume still that q is an odd prime dividing N ; let p be a prime associated to q as in Proposition 2.1. Define

$$T_K(q) = \begin{cases} U_q^2 - (q^{m/2} + q^{m/2-1})U_q B_q + \frac{q^{m-2} + q^{m/2-1}}{p^{m/2-1} + 1} B_q T_p & \text{if } q^2 | dK, \\ U_q^2 - q^{m/2-1} U_q B_q + \frac{q^{m-2} + q^{m/2-1}}{p^{m/2-1} + 1} B_q T_p + \left(\frac{(-1)^{m/2-1} 2\eta}{q} \right) q^{m/2-1} R_q & \text{if } q \parallel dK, \end{cases}$$

$$\text{and set } \lambda_K(q) = \begin{cases} q^{m-2} - q^{m/2} + 1 & \text{if } q^2 | dK, \\ q^{m-2} + 1 & \text{if } q \parallel dK. \end{cases}$$

PROPOSITION 2.2. *Suppose K has minimal level and discriminant at the odd prime q dividing N . With notation as above, $\theta(\text{gen } K; \tau)|_{T_K(q)} = \lambda_K(q) \theta(\text{gen } K; \tau)$.*

Proof.

Case 1. Suppose $q^2 | dK$. We perform lattice constructions quite similar to those of the preceding proposition. This time, let $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ be a 3-dimensional totally isotropic subspace of K/qK , and let

$$K' = \text{preimage in } K \text{ of } \langle \bar{w} \rangle \oplus \text{rad } K/qK$$

$$\simeq q \langle 1, 1, 1, -\eta' \rangle \perp q^2 \langle 1, \dots, 1, -\eta \rangle \pmod{q^t}$$

for arbitrary $t \in \mathbb{Z}_+$ (see Lemma 1.1). Let $\langle \bar{y} \rangle \oplus \text{rad } K'/qK'$ be an $(m-3)$ -

dimensional totally isotropic subspace of K'/qK' , and set

$$\begin{aligned} K'' &= \text{preimage in } K' \text{ of } \langle \bar{y} \rangle \oplus \text{rad } K'/qK' \\ &\simeq q^2 \langle 1, \dots, 1, \eta \rangle \perp q^3 \langle 1, \eta' \rangle \pmod{q^4} \end{aligned}$$

for arbitrary $t \in \mathbb{Z}_+$. Clearly, K' and K'' are in one-to-one correspondence with the subgroups $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ and $\langle \bar{y} \rangle \oplus \text{rad } K'/qK'$ (respectively). Using the formulas of [1], we see there are $((q^{m/2-1} + 1)(q^{m/2-2} - 1))/(q - 1)$ choices for $\langle \bar{w} \rangle \oplus \text{rad } K/qK$, and $q^2 + 1$ choices for $\langle \bar{y} \rangle \oplus \text{rad } K'/qK'$ in each K' . Note that $\bar{w} \notin \text{rad } K'/qK'$.

Say $x \in K - R$ with $q^2 | Q(x)$; then $x \in K''$ if and only if $\langle \bar{w} \rangle \oplus \text{rad } K/qK = \langle \bar{x} \rangle \oplus \text{rad } K/qK$ and $\langle \bar{y} \rangle \oplus \text{rad } K'/qK' = \langle \bar{x} \rangle \oplus \text{rad } K'/qK'$.

If $x \in R - qK$, then $q^2 \nmid Q(x)$ so x is never in K'' . However, qR is in K'' for all pairs (K', K'') .

Say $x \in K - R$; then $\bar{q}\bar{x} \in \text{rad } K'/qK'$ if and only if $\bar{w} \in \langle \bar{x} \rangle^\perp$ in K/qK . If $\bar{q}\bar{x} \in \text{rad } K'/qK'$, then $qx \in K''$ for each K'' constructed from K' ; if $\bar{q}\bar{x} \notin \text{rad } K'/qK'$, then $qx \in K''$ only when $\langle \bar{y} \rangle \oplus \text{rad } K'/qK' = \langle \bar{q}\bar{x} \rangle \oplus \text{rad } K'/qK'$. When $q | Q(x)$, $\langle \bar{x} \rangle^\perp$ has dimension $m - 1$, radical $\langle \bar{x} \rangle \oplus \text{rad } K/qK$, and Witt index $m/2 - 3$. When $q \nmid Q(x)$, $K/qK \simeq \langle \bar{x} \rangle \oplus \langle \bar{x} \rangle^\perp$, and so by Witt cancellation, $\langle \bar{x} \rangle^\perp$ has dimension $m - 1$ and radical $\text{rad } K/qK$. Thus, using the formulas from [1], the number of 3-dimensional totally isotropic subspaces $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ with $\bar{w} \in \langle \bar{x} \rangle^\perp$ is

$$\begin{cases} \frac{q^{m-4} - 1}{q - 1} & \text{if } q \nmid Q(x), \\ \frac{q^{m-4} - q^{m/2-1} + q^{m/2-2} - 1}{q - 1} & \text{if } q | Q(x). \end{cases}$$

Note that if $\bar{v} \in \text{rad } K'/qK'$, then $v \in K''$ for all K'' constructed from K' , and otherwise $v \in K''$ only when $K'' = \text{preimage } \langle \bar{v} \rangle \oplus \text{rad } K'/qK'$. Hence, for $x \in K - R$, the number of pairs (K', K'') with $qx \in K''$ is

$$\begin{cases} \frac{(q^2 + 1)(q^{m-4} - q^{m/2-1} + q^{m/2-2} - 1)}{q - 1} + q^{m-4} & \text{if } q | Q(x), \\ \frac{(q^2 + 1)(q^{m-4} - 1)}{q - 1} + q^{m-4} - q^{m/2-2} & \text{if } q \nmid Q(x). \end{cases}$$

Thus we have

$$\begin{aligned} \theta(K; \tau) &\left[U_q^2 B_q^2 - q^{m/2} U_q B_q^3 + \frac{q^{m-2} + q^{m-3} - q^{m/2-1} + q^{m/2-2} - q^2 - q}{q - 1} B_q^2 \right] \\ &+ \theta(R; \tau) |q^{m-2} B_q^2 = \sum_{(K', K'')} \theta(K''; \tau), \end{aligned}$$

where (K', K'') varies over all the pairs constructed as above. Averaging over $\text{gen } K$ and using Proposition 2.1, we get

$$\theta(\text{gen } K; \tau) |T_K(q)B_q^2 = \lambda_K(q)\theta(\text{gen } qK; \tau) = \lambda_K(q)\theta(\text{gen } K; \tau) |B_q^2.$$

Case 2. Now suppose $q^2 \nmid dK$. Similar to case (1), let $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ be a 2-dimensional totally isotropic subspace of K/qK , and let

$$\begin{aligned} K' &= \text{preimage in } K \text{ of } \langle \bar{w} \rangle \oplus \text{rad } K/qK \\ &\simeq q\langle 1, 1, -\eta' \rangle \perp q^2\langle 1, \dots, 1, -\eta \rangle \pmod{q^t} \end{aligned}$$

for arbitrary $t \in \mathbb{Z}_+$. Let $\langle \bar{y} \rangle \oplus \text{rad } K'/qK'$ be an $(m-2)$ -dimensional totally isotropic subspace of K'/qK' (scaled by $1/q$), and set

$$\begin{aligned} K'' &= \text{preimage in } K' \text{ of } \langle \bar{y} \rangle \oplus \text{rad } K'/qK' \\ &\simeq q^2\langle 1, \dots, 1, \eta \rangle \perp q^3\langle \eta' \rangle \pmod{q^t}. \end{aligned}$$

Using Artin's formulas, we see there are $(q^{m-2} - 1)/(q - 1)$ choices for K' , and $q + 1$ choices for K'' in each K' .

If $x \in K - R$ with $q^2 \mid Q(x)$, then $x \in K''$ for exactly one pair (K', K'') .

If $x \in R - qK$, then $q^2 \nmid Q(x)$, so x is never in K'' but $qx \in K''$ for all K'' .

Now suppose $x \in K - R$. Again, $\bar{q}\bar{x} \in \text{rad } K'/qK'$ if and only if $\bar{w} \in \langle \bar{x} \rangle^\perp$ in K/qK . When $q \mid Q(x)$, $\langle \bar{x} \rangle^\perp$ has dimension $m - 1$, radical $\langle \bar{x} \rangle \oplus \text{rad } K/qK$, and Witt index $m/2 - 2$; hence there are $(q^{m-3} - 1)/(q - 1)$ ways to choose $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ with $\bar{w} \in \langle \bar{x} \rangle^\perp$. Say $q \nmid Q(x)$; then $\langle \bar{x} \rangle^\perp \simeq \langle 1, \dots, 1, Q(x)\eta \rangle \perp \langle 0 \rangle$ with Witt index $m/2 - 1$ if $(Q(x)/q) = ((-1)^{m/2-1}\eta/q)$, and $m/2 - 2$ otherwise. Thus the number of 2-dimensional totally isotropic subspaces $\langle \bar{w} \rangle \oplus \text{rad } K/qK$ with $\bar{w} \in \langle \bar{x} \rangle^\perp$ is

$$\begin{cases} \frac{(q^{m/2-1} - 1)(q^{m/2-2} + 1)}{q - 1} & \text{if } \left(\frac{Q(x)}{q}\right) = \left(\frac{(-1)^{m/2-1}\eta}{q}\right), \\ \frac{(q^{m/2-1} + 1)(q^{m/2-2} - 1)}{q - 1} & \text{if } \left(\frac{Q(x)}{q}\right) \neq \left(\frac{(-1)^{m/2-1}\eta}{q}\right). \end{cases}$$

Hence the number of pairs (K', K'') with $qx \in K''$ is

$$\begin{cases} \frac{2q^{m-2} - q - 1}{q - 1} & \text{if } q|Q(x), \\ \frac{2q^{m-2} - q - 1}{q - 1} + q^{m/2-1} & \text{if } \left(\frac{Q(x)}{q}\right) = \left(\frac{(-1)^{m/2-1}\eta}{q}\right), \\ \frac{2q^{m-2} - q - 1}{q - 1} - q^{m/2-1} & \text{if } \left(\frac{Q(x)}{q}\right) \neq \left(\frac{(-1)^{m/2-1}\eta}{q}\right). \end{cases}$$

Recall that the n th coefficient of $\theta(K; \tau)$ is $r(K, 2n)$, so

$$\theta(K; \tau)|R_q = \sum_{n \geq 0} \binom{n}{q} r(K, 2n) e\{2n\tau\} = \sum_{x \in K} \left(\frac{2Q(x)}{q}\right) e\{Q(x)\tau\}.$$

Thus

$$\begin{aligned} \theta(K; \tau) & \left[U_q^2 + \left(\frac{(-1)^{m/2-1}2\eta}{q}\right) q^{m/2-1} R_q + \frac{2q^{m-2} - 2q}{q - 1} B_q^2 + \theta(R; \tau) | q^{m-2} B_q^2 \right] \\ & = \sum_{(K', K'')} \theta(K''; \tau), \end{aligned}$$

where the sum is over all pairs (K', K'') . Averaging over $\text{gen } K$ and applying Proposition 2.1 yields the desired formula. \square

For q an odd prime dividing N , the level of K , let $\mathcal{C}_K(q)$ denote the subspace of Eisenstein series E of level N , weight $m/2$, character χ , such that $E|T_K(q) = \lambda_K(q)E$.

LEMMA 2.3. *For any prime $q|N$, $\text{span}\{E_D : D|N/q\} \cap \mathcal{C}_K(q) = \{0\}$, where the E_D are the Eisenstein series with character χ , level N , and weight $m/2$ (as defined in §1).*

Proof. We simply examine the action of $T_K(q)$ on the Fourier coefficients of E_D , $D|N/q$. Let $b_D(n)$ denote the n th coefficient of $E_D|T_K(q)$. Thus

$$b_D(n) = \begin{cases} \lambda_K(q) a_D(n) & \text{if } q|n, \\ q^{m-2} a_D(n) & \text{if } q \nmid n, q^2 | dK, \\ \left(q^{m-2} + \left(\frac{(-1)^{m/2-1}\eta}{q}\right) \left(\frac{2n}{q}\right) q^{m/2-1} \right) a_D(n) & \text{if } q \nmid n, q || dK. \end{cases}$$

Notice that $q^{m-2} \pm q^{m/2-1}$ is never $\lambda_K(q)$. Thus for each $n|N/q$,

$$0 = \sum_{D|N/q} \alpha_D a_D(n^2) = \sum_{D|N/q} \alpha_{N/qD}(n, D)^{m-2}.$$

We represent these equations with matrices as follows. Let q_1, \dots, q_h be the primes dividing N/q ; order the divisors of N/q according to their order in the tensor product

$$(1 \ q_1) \otimes (1 \ q_2) \otimes \cdots \otimes (1 \ q_h).$$

Let $\bar{\alpha}$ be the vector whose entries are indexed by the divisors D of N/q and whose D -entry is $\alpha_{N/qD}$. Then the above equation implies

$$\bar{\alpha}A = 0,$$

where

$$A = \begin{pmatrix} 1 & 1 \\ 1 & q_1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 1 \\ 1 & q_2 \end{pmatrix} \otimes \cdots \otimes \begin{pmatrix} 1 & 1 \\ 1 & q_h \end{pmatrix}.$$

Since each matrix in the tensor product defining A is invertible, A is invertible as well. Hence, $\bar{\alpha} = 0$ and $\sum_{D|N/q} \alpha_D E_D = 0$. \square

Set

$$c_K(q) = \begin{cases} \frac{q^{m/2} - 1}{q^{m-2} - q^{m/2}} & \text{if } q^2 | dK, \\ \left(\frac{(-1)^{m/2-1} \eta}{q} \right) q^{1-m/2} & \text{if } q || dK, \end{cases}$$

and extend $c_K(*)$ multiplicatively.

PROPOSITION 2.4. *Suppose $q || N$. Then $\mathcal{C}_K(q) = \text{span}\{E_D + \chi_q(2)c_K(q)E_{Dq} : D|N/q\}$.*

Proof. By looking at Fourier coefficients, one easily verifies that $E_D + \chi_q(2)c_K(q)E_{Dq} \in \mathcal{C}_K(q)$ for all $D|N/q$. The proposition now follows from the preceding lemma. \square

THEOREM 2.5. *Suppose N is square-free and odd. Let $E = \sum_{D|N} \chi_D(2)c_K(D)E_D$. Then $\cap_{q|N} \mathcal{C}_K(q) = \mathbb{C}E$.*

Proof. Write $N = q_1 \cdots q_\ell$. Using induction on $r \leq \ell$, we argue that

$$\cap_{1 \leq i \leq r} \mathcal{C}_K(q_i) = \text{span} \left\{ \sum_{d|q_1 \cdots q_r} \chi_d(2)c_K(d)E_{Dd} : D|N/q_1 \cdots q_r \right\}.$$

This is clearly true for $r = 0$. Take $r \geq 0$ and $f \in \cap_{1 \leq i \leq r+1} \mathcal{C}_K(q_i)$. The induction hypothesis tells us that

$$\begin{aligned} f &= \sum_{D|N/q_1 \cdots q_r} \alpha_D \left(\sum_{d|q_1 \cdots q_r} \chi_d(2) c_K(d) E_{Dd} \right) \\ &= \sum_{\substack{D|N/q_1 \cdots q_{r+1} \\ d|q_1 \cdots q_r}} \chi_d(2) c_K(d) (\alpha_D E_{Dd} + \alpha_{Dq_{r+1}} E_{Ddq_{r+1}}). \end{aligned}$$

Since $f \in \mathcal{C}_K(q_{r+1})$, Proposition 2.4 implies that $\alpha_{Dq_{r+1}} = \chi_{q_{r+1}}(2) c_K(q_{r+1}) \alpha_D$. Hence

$$f = \sum_{D|N/q_1 \cdots q_{r+1}} \alpha_D \left(\sum_{d|q_1 \cdots q_{r+1}} \chi_d(2) c_K(d) E_{Dd} \right). \quad \square$$

Since the zeroth Fourier coefficient of $\theta(\text{gen } K; \tau)$ is 1, and the zeroth coefficient of E is $\chi(2) c_K(N) a_N(0)$ (where $a_N(0)$ is defined in §1), Proposition 2.2 and Theorem 2.5 immediately give us the following result.

COROLLARY 2.6. *Suppose K has minimal level and discriminant. Then $\theta(\text{gen } K; \tau) = (1/c_K(N) a_N(0)) \cdot E$. Thus for $n \in \mathbb{Z}_+$*

$$r(\text{gen } K, n) = \frac{\chi(2)}{c_K(N) a_N(0)} \sum_{\substack{D|N \\ d|n}} c_K(D) \chi_D(d) \chi_{N/D}(2n/d) d^{m/2-1},$$

where $\chi_D, \chi_{N/D}$ are the unique characters modulo $D, N/D$ (respectively) so that $\chi_D \chi_{N/D} = \chi$. As in §1,

$$a_N(0) = \frac{N^{m/2-1} \Gamma(m/2)}{(2\pi i)^m / 2} G(\chi_{N'}, 1) \mu(N/N') L\left(\chi, \frac{m}{2}\right) \prod_{\substack{q|N/N' \\ q \text{ prime}}} \frac{1 - \chi_{N'}(q) q^{1-m/2}}{1 - \chi_{N'} q^{2-m/2}},$$

with $N' = \text{cond } \chi$.

Suppose K has minimal level and discriminant. Say $q|N/N'$; then $K_{(q)} \simeq \langle 1, \dots, 1, \eta \rangle \perp q \langle 1, \eta' \rangle$, where $((-1)^{m/2-1} \eta/q) = (-\eta'/q) = -1$. Thus

$$\chi_{N'}(q) = \left(\frac{(-1)^{m/2} N'}{q} \right) = \left(\frac{(-1)^{m/2} dK/q^2}{q} \right) = \left(\frac{(-1)^{m/2} \eta \eta'}{q} \right) = 1.$$

Also the first Fourier coefficient of $\theta(\text{gen } K; \tau)$ is nonnegative, and (from Corol-

lary 2.6) it is equal to

$$\frac{\chi(2)}{c_K(N)a_N(0)} \prod_{q|N} (1 + c_K(q)).$$

Since $|c_K(q)| < 1$ for all q , we must have $c_K(N)a_N(0) > 0$. Thus

$$\frac{\chi(2)}{c_K(N)a_N(0)} = \frac{(2\pi)^{m/2}}{\Gamma(m/2)} \prod_{p, \text{prime}} f(p),$$

where

$$f(p) = \begin{cases} 1 - \chi(p)p^{-m/2} & \text{if } p \nmid N, \\ \frac{p^{m/2-2} - 1}{p^{m/2-1} - 1} & \text{if } p|N/N', \\ p^{-1/2} & \text{if } p|N'. \end{cases}$$

To write $r(\text{gen } K, 2n)$ as a product, we set

$$\rho_{K,\infty} = \frac{(2\pi)^{m/2}}{\Gamma(m/2)},$$

and for $n \in \mathbb{Z}_+$ with $e = \text{ord}_p(n)$,

$$\rho_{K,p}(n) = \begin{cases} \frac{p^{(m/2-1)(e+1)} - \chi(p^{e+1})}{p^{m/2-1} - \chi(p)} f(p) & \text{if } p \nmid N, \\ (p^{(m/2-1)e} + \chi_p(2n/p^e)\chi_{N/p}(p^e)c_K(p))f(p) & \text{if } p|N. \end{cases}$$

Then one easily verifies the next result.

COROLLARY 2.7. *Suppose K has minimal level and discriminant. With $\rho_{K,p}(n)$ as above,*

$$r(\text{gen } K, 2n) = \rho_{K,\infty} \prod_{p \text{ prime}} \rho_{K,p}(n).$$

COROLLARY 2.8. *The average theta series attached to the genera within fam K are linearly independent.*

Proof. Let $\{K_\alpha: \alpha|A\}$ be a set of representatives for the genera in fam K

where A is as in the remark following Lemma 1.3. First notice that for $\alpha|A$, $D|N$, $c_{K_\alpha}(D) = (\alpha/D)c_K(D)$. Take $d|N$; then

$$\begin{aligned} \sum_{\alpha|A} \left(\frac{\alpha}{d}\right) \theta(\text{gen } K_\alpha; \tau) &= \frac{1}{a_N(0)c_K(N)} \sum_{D|N} \left(\sum_{\alpha|A} \left(\frac{\alpha}{Dd}\right) \right) c_K(D) E_D \\ &= 2^h \frac{1}{a_N(0)c_K(N)} (c_K(d)E_d + c_K(N/d)E_{N/d}). \end{aligned}$$

Note that $\{E_d: d|N, 0 < d < N\}$ is a linearly independent set. \square

§3. Lattices of descent. Now we fix an integral \mathbb{Z} -lattice L of even rank m and odd level N' . For convenience, assume L is scaled so that $Q(L) \subseteq 2\mathbb{Z}$, $Q(L) \not\subseteq 2n\mathbb{Z}$ for any $n > 1$. We show that L descends from a lattice of minimal level and discriminant, then we construct chains of lattices from the minimal lattice to lattices K_0 in gen L ; by counting how often an element of the minimal lattice lies in these lattices K_0 , we obtain formulas for $r(\text{gen } L, 2n)$.

Notation. Fix a prime $q|N'$ and set $s = s(L, q) = [\text{ord}_q N'/2]$. Fix $t > 2s + 1$; then by Lemma 1.2,

$$L = L_0 \oplus \cdots \oplus L_{2s+1} \simeq \langle 1, \dots, 1, \varepsilon_0 \rangle \perp \cdots \perp q^{2s+1} \langle 1, \dots, 1, \varepsilon_{2s+1} \rangle \pmod{q^t},$$

where the $\varepsilon_i \in \mathbb{Z} - q\mathbb{Z}$, and the i th component, $L_i \simeq q^i \langle 1, \dots, 1, \varepsilon_i \rangle$, has rank $m_i \geq 0$. Let $H_{2i} = q^{-i}L_{2i}$, $H_{2i+1} = q^{-i}L_{2i+1}$. Thus

$$L = H_0 \oplus H_1 \oplus qH_2 \oplus qH_3 \oplus \cdots \oplus q^s H_{2s} \oplus q^s H_{2s+1},$$

where the H_{2i} are unimodular $(\text{mod } q^t)$, and the H_{2i+1} are q -modular $(\text{mod } q^t)$. Let

$$\begin{aligned} \mathcal{H}_i &= \bigoplus_{\substack{0 \leq \ell \leq i \\ \ell \equiv i \pmod{2}}} H_\ell, & r_i &= r_i(L, q) = \text{rank } \mathcal{H}_i, & \eta_{2i} &= \eta_{2i}(L, q) = \text{disc } \mathcal{H}_{2i}, \\ q^{r_{2i+1}} \eta_{2i+1} &= q^{r_{2i+1}} \eta_{2i+1}(L, q) = \text{disc } \mathcal{H}_{2i+1}, & \mu_i &= \mu_i(L, q) = \left(\frac{(-1)^{\ell_i} \eta_i}{q} \right), \end{aligned}$$

where $\ell_i = [r_i/2]$. (When $r_i = 0$, set $\mu_i = 1$.) Note that s, r_i, μ_i are invariants of gen L , and when r_i is even, $\mu_i = 1$ exactly when \mathcal{H}_i is hyperbolic modulo q . (Here \mathcal{H}_i is scaled by $1/q$ when i is odd.)

LEMMA 3.1. Fix a prime q dividing the level of L and let μ_j, r_j be as above.

(a) If r_{2s} is odd or $\mu_{r_s} = -1$, then there is a lattice K on V with $q^{s+1}K \subseteq L \subseteq K$, $K_{(p)} \simeq L_{(p)}$ for all primes $p \neq q$, and K has minimal level and discriminant at q .

(b) If r_{2s} is even and $\mu_{2s} = 1$, then there is a lattice K^q on V so that $q^{s+1}K^q \subseteq L \subseteq K^q$, $K_{(p)} \simeq L_{(p)}^q$ for all primes $p \neq q$, and K^q has minimal level and discriminant at q .

Furthermore, if r_{2s} is even, $\mu_{2s} = -1$ and $\mu_{2s+1} = 1$, then

$$K_{(q)} \simeq \langle 1, \dots, 1, \varepsilon_K \rangle, \quad \left(\frac{(-1)^{m/2} \varepsilon_K}{q} \right) = -1.$$

If r_{2s} is even, $\mu_{2s} = -1 = \mu_{2s+1}$, then

$$K_{(q)} \simeq \langle 1, \dots, 1, \varepsilon_K \rangle \perp q \langle 1, \varepsilon'_K \rangle, \quad \left(\frac{(-1)^{m/2-1} \varepsilon_K}{q} \right) = -1 = \left(\frac{-\varepsilon'_K}{q} \right).$$

If r_{2s} is even and $\mu_{2s} = 1$, then

$$K_{(q)}^q \simeq \langle 1, \dots, 1, \varepsilon_K \rangle, \quad \left(\frac{(-1)^{m/2} \varepsilon_K}{q} \right) = \mu_{2s+1}.$$

Note that $\text{gen } K$ is determined by $\text{gen } L$.

Remark. Since dL , dK , and dK^q differ by squares, their theta series are associated with the same character χ (although the modulus may differ between the theta series).

Proof. Set $L_0 = L$. For $0 \leq i \leq s$, set

$$L_{2i+1} = \text{preimage in } L_{2i} \text{ of rad } L_{2i}/qL_{2i}, \text{ and}$$

$$qL_{2i+2} = \text{preimage in } L_{2i} \text{ of rad } L_{2i+1}/qL_{2i+1}.$$

One easily verifies that L_i is a \mathbb{Z} -lattice with $q^s L_{2s} \subseteq L$ and that

$$L_{2i} = \mathcal{H}_{2i} \oplus \mathcal{H}_{2i+1} \oplus \sum_{k=2}^{2s+1-2i} q^{[k/2]} H_{2i+k},$$

$$L_{2i+1} = \mathcal{H}_{2i+1} \oplus q\mathcal{H}_{2i+2} \oplus \sum_{k=3}^{2s+1-2i} q^{[k/2]} H_{2i+k}.$$

Notice that $L_{2s} = \mathcal{H}_{2s} \oplus \mathcal{H}_{2s+1} \simeq \langle 1, \dots, 1, \eta_{2s} \rangle \perp q \langle 1, \dots, 1, \eta_{2s+1} \rangle \pmod{q^t}$.

Constructing the lattice K is a bit more complicated.

Case (a). Say r_{2s} is odd or $\mu_{2s} = -1$. So

$$L_{2s+1} = \mathcal{H}_{2s+1} \oplus q\mathcal{H}_{2s},$$

where \mathcal{H}_{2s} is unimodular (but not hyperbolic) modulo q^t , and \mathcal{H}_{2s+1} is q -modular modulo q^t . Let \bar{C} be a maximal totally isotropic subspace of the space L_{2s+1}/qL_{2s+1} , and set

$$qK = \text{preimage in } L_{2s+1} \text{ of } \bar{C}.$$

Then K is as described in the lemma.

Case (b). Say r_{2s} is odd and $\mu_{2s} = 1$. So

$$L_{2s} = \mathcal{H}_{2s} \oplus \mathcal{H}_{2s+1},$$

where \mathcal{H}_{2s} is unimodular and hyperbolic modulo q^t , and \mathcal{H}_{2s+1} is q -modular modulo q^t . Let \bar{C} be a maximal totally isotropic subspace of L_{2s}/qL_{2s} , and set

$$qK = \text{preimage in } L_{2s+1} \text{ of } \bar{C}.$$

Then K^q is as described in the lemma. \square

We construct descending chains of lattices K, K_{2s}, \dots, K_0 such that $K_i \in \text{gen } L_i$. We count how many K_0 contain a given vector $x \in K$, thereby obtaining formulas for $r(\text{gen } L, 2n)$.

More notation. For q fixed and r_i, μ_i as above, set $\mu = \mu_{2s}, \mu' = \mu_{2s+1}$,

$$d = \begin{cases} r_{2s}/2 & \text{if } 2|r_{2s}, \mu = 1, \\ r_{2s+1}/2 & \text{if } 2|r_{2s}, \mu_{2s} = -1, \mu' = 1, \\ (r_{2s+1} - 1)/2 & \text{if } 2 \nmid r_{2s}, \\ r_{2s}/2 - 1 & \text{if } 2|r_{2s}, \mu = -1 = \mu'; \end{cases}$$

$$\alpha = \alpha_{L,q} = \begin{cases} (q^d - 1)/[(q^{m/2} - 1 + 1)(q^{m/2-2} - 1)] & \text{if } 2|r_{2s}, \mu = -1 = \mu', \\ (q^d - 1)/(q^{m-2} - 1) & \text{if } 2 \nmid r_{2s}, \\ (q^d - 1)/[(q^{m/2} - \mu\mu')(q^{m/2-1} + \mu\mu')] & \text{otherwise;} \end{cases}$$

$$\beta = \beta_{L,q} = \begin{cases} q^d(q^{m/2-d-1} + 1)(q^{m/2-d-2} - 1)/[(q^{m/2-1} + 1)(q^{m/2-2} - 1)] & \text{if } 2|r_{2s}, \mu = -1 = \mu', \\ q^d(q^{m-2d-2} - 1)/(q^{m-2} - 1) & \text{if } 2 \nmid r_{2s}, \\ q^d(q^{m/2-d} - \mu\mu')(q^{m/2-1} + \mu\mu')/[(q^{m/2} - \mu\mu')(q^{m/2-d-1} + \mu\mu')] & \text{otherwise;} \end{cases}$$

and for $\omega = \pm 1$,

$$\gamma(\omega) = \gamma_{L,q}(\omega) = \begin{cases} (q^{m/2-d-1} + 1)/(q^{m/2-1} + 1) & \text{if } 2|r_{2s}, \mu = -1 = \mu', \\ (q^{m/2-d-1} + \omega\mu)/(q^{m/2-1} + \omega\mu) & \text{if } 2 \nmid r_{2s}, \\ (q^{m/2-d} - \mu\mu')/(q^{m/2} - \mu\mu') & \text{otherwise.} \end{cases}$$

LEMMA 3.2. *Let the notation be as above, and let χ denote the (primitive) character associated to $\theta(K; \tau)$ and to $\theta(L; \tau)$. We can construct sublattices K_{2s} of K such that $qK \subseteq K_{2s} \subseteq K$, and for all $t \in \mathbb{Z}_+$,*

$$K_{2s} \simeq \langle 1, \dots, 1, \eta_{2s} \rangle \perp q \langle 1, \dots, 1, \eta_{2s+1} \rangle \pmod{q^t},$$

where the first component has rank r_{2s} and the second component has rank r_{2s+1} . (So $K_{2s} \in \text{gen } L_{2s}$.) Set $R = \text{preimage in } K \text{ of } \text{rad } K/qK$, $R_{2s} = \text{preimage in } K_{2s} \text{ of } \text{rad } K_{2s}/qK_{2s}$. (Here we scale R_{2s} by $1/q$ in the case $2|r_{2s}$, $\mu_{2s} = 1$.) Take $x \in K - R$.

- (a) Say r_{2s} is odd or $\mu_{2s} = -1$. If $q \nmid Q(x)$, then $x \notin R_{2s}$, and the proportion of K_{2s} such that $x \in K_{2s} - R_{2s}$ is $\gamma(\omega)$, where $\omega = \chi_q(Q(x))$. If $q|Q(x)$, then the proportion of K_{2s} such that $x \in R_{2s}$ is α , and the proportion of K_{2s} such that $x \in K_{2s} - R_{2s}$ is β .
- (b) Say r_{2s} is even and $\mu_{2s} = 1$. If $q \nmid Q(x)$, then x is in none of the lattices K_{2s} , and the proportion of K_{2s} such that $qx \in R_{2s} - qK_{2s}$ is $\gamma(\pm 1)$. If $q|Q(x)$, then the proportion of K_{2s} such that $x \in K_{2s} - R_{2s}$ is α .

Notice that when $x \in K_{2s}$, we necessarily have $qx \in R_{2s}$.

Proof. Set $\mu = \mu_{2s}$, $\mu' = \mu_{2s+1}$.

- (a) Say r_{2s} is odd or $\mu = -1$. Let $r = \dim(\text{rad } K/qK)$; so

$$r = \begin{cases} 0 & \text{if } r_{2s} \text{ is even, } \mu' = 1, \\ 1 & \text{if } r_{2s} \text{ is odd,} \\ 2 & \text{if } r_{2s} \text{ is even, } \mu' = -1, \end{cases}$$

and $d = (1/2)(r_{2s+1} - r)$. To construct K_{2s} , we take a totally isotropic subspace \bar{C} of K/qK such that $\dim \bar{C} = d + r$ and $\text{rad } K/qK \subseteq \bar{C}$. Set

$$\begin{aligned} K' &= \text{preimage in } K \text{ of } \bar{C}, \\ qK_{2s} &= \text{preimage in } K' \text{ of } \text{rad } K'/qK'. \end{aligned}$$

Thus (using formulas from [1]), the number of choices we have for K_{2s} is

$$\left\{ \begin{array}{ll} \prod_{i=1}^d (q^{m/2-i+1} + 1)(q^{m/2-i} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = 1, \\ \prod_{i=1}^d (q^{m-2i} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is odd,} \\ \prod_{i=1}^d (q^{m/2-i} + 1)(q^{m/2-i-1} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = -1. \end{array} \right.$$

Take $x \in K - R$. We have $x \in R_{2s}$ if and only if $\bar{x} \in \bar{C}$, and $x \in K_{2s} - R_{2s}$ if and only if $\bar{x} \in \bar{C}^\perp$, $\bar{x} \notin \bar{C}$. When $\bar{x} \notin \bar{C}^\perp$ we have $qx \in K_{2s} - R_{2s}$. Also, $R \subseteq R_{2s}$. Thus the number of K_{2s} such that $x \in R_{2s}$ is

$$\left\{ \begin{array}{ll} \prod_{i=2}^d (q^{m/2-i+1} + 1)(q^{m/2-i} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = 1, \\ \prod_{i=2}^d (q^{m-2i} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is odd,} \\ \prod_{i=2}^d (q^{m/2-i} + 1)(q^{m/2-i-1} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = -1. \end{array} \right.$$

Now $\bar{x} \in \bar{C}^\perp$ if and only if $\bar{C} \subseteq \langle \bar{x} \rangle^\perp$ where $\langle \bar{x} \rangle$ denotes the space spanned by \bar{x} . When $q \nmid Q(x)$, $\langle \bar{x} \rangle^\perp = \bar{U} \perp \bar{R}$, where \bar{U} is a regular space of dimension $m - r - 1$ and discriminant $Q(x)(-1)^d \eta_{2s}$. When $q \mid Q(x)$, $\langle \bar{x} \rangle^\perp = \langle \bar{x} \rangle \perp \bar{U} \perp \bar{R}$, where \bar{U} is a regular space of dimension $m - r - 2$ and discriminant $(-1)^{d-1} \eta_{2s}$. Hence given our assumption that $\mu = -1$ when r_{2s} is even, \bar{U} is never hyperbolic. So when $q \nmid Q(x)$ and $\omega = \chi_q(Q(x))$, the number of $\bar{C} \subseteq \langle \bar{x} \rangle^\perp$ is

$$\left\{ \begin{array}{ll} \prod_{i=1}^d (q^{m-2i} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = 1, \\ \prod_{i=1}^d (q^{m/2-i} - \omega\mu)(q^{m/2-i-1} + \omega\mu)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is odd, } \mu = \mu, \\ \prod_{i=1}^d (q^{m-2i-2} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu = -1 = \mu'. \end{array} \right.$$

When $q|Q(x)$, the number of $\bar{C} \subseteq \langle \bar{x} \rangle^\perp$ such that $\bar{x} \notin \bar{C}^\perp$ is

$$\begin{cases} \prod_{i=1}^d q(q^{m/2-i} + 1)(q^{m/2-i-1} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = 1, \\ \prod_{i=1}^d q(q^{m-2i-2} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is odd,} \\ \prod_{i=1}^d q(q^{m/2-i-1} + 1)(q^{m/2-i-2} - 1)/(q^{d-i+1} - 1) & \text{if } r_{2s} \text{ is even, } \mu' = -1. \end{cases}$$

(b) Say r_{2s} is even, $\mu = 1$. So $K_{(q)}^q \simeq \langle 1, \dots, 1, \varepsilon \rangle$, and K^q/qK^q is hyperbolic if and only if $\mu' = 1$. To construct K_{2s} from K , we take a totally isotropic subspace \bar{C} of K^q/qK^q of dimension d where $d = r_{2s}/2$, and set

$$K_{2s}^q = \text{preimage in } K^q \text{ of } \bar{C}.$$

Thus the number of choices we have for K_{2s} is

$$\prod_{i=1}^d (q^{m/2-i+1} - \mu')(q^{m/2-i} + \mu')(q^{d-i+1} - 1).$$

Take $x \in K - R$. We have $x \in K_{2s} - R_{2s}$ if and only if $\bar{x} \in \bar{C}$, and $qx \in R_{2s} - qK_{2s}$ if and only if $\bar{x} \in \bar{C}^\perp$, $\bar{x} \notin \bar{C}$. When $\bar{x} \notin \bar{C}^\perp$, we have $x \notin K_{2s}$, $qx \in K_{2s} - R_{2s}$. Thus the number of K_{2s} such that $x \in K_{2s} - R_{2s}$ is

$$\begin{cases} \prod_{i=2}^d (q^{m/2-i+1} - \mu')(q^{m/2-i} + \mu')(q^{d-i+1} - 1) & \text{if } q|Q(x), \\ 0 & \text{if } q \nmid Q(x). \end{cases}$$

The number of K_{2s} such that $qx \in R_{2s} - qK_{2s}$ is

$$\begin{cases} \prod_{i=1}^d q(q^{m/2-i} - \mu')(q^{m/2-i-1} + \mu')/(q^{d-i+1} - 1) & \text{if } q|Q(x), \\ \prod_{i=1}^d (q^{m-2i} - 1)/(q^{d-i+1} - 1) & \text{if } q \nmid Q(x). \end{cases}$$

The lemma now follows. \square

LEMMA 3.3. *Let K_{2s} be as in Lemma 3.2. We can construct descending chains of lattices K_{2s}, \dots, K_0 so that $K_0 \in \text{gen } L$, and $q^s K_{2s} \subseteq K_0$. Fix such a chain and let*

$$R_{2i} = \text{preimage in } K_{2i} \text{ of } \text{rad } K_{2i}/qK_{2i},$$

$$qR_{2i+1} = \text{preimage in } K_{2i+1} \text{ of } \text{rad } K_{2i+1}/qK_{2i+1}.$$

Take $x \in K_{2s} - qK_{2s}$ and fix ℓ , $0 \leq \ell \leq s$. The chain of lattices has the following properties.

- (a) *First suppose $x \in R_{2s}$. Then $q^\ell x \in K_0$ if and only if $x \in K_{2i-1}$ for all i , $\ell < i \leq s$. Also, when $x \in R_{2i} \cap K_{2i-1}$, we necessarily have $x \in R_{2i-2} \subseteq K_{2i-2}$.*
- (b) *Suppose now $x \notin R_{2s}$. Then $q^\ell x \in K_0$ if and only if $x \in K_{2i-2}$ for all i , $\ell < i \leq s$. Also, when $x \in K_{2i}$ but $x \notin R_{2i}$, we necessarily have $x \in R_{2i-1}$.*

Proof. With K_{2s} as above and $s > 0$, we inductively define lattices K_j, R_j , $0 \leq j < 2s$, as follows. Suppose that for $i \leq s$,

$$\begin{aligned} K_{2i} &= \tilde{J}_{2i} \oplus \tilde{J}_{2i+1} \oplus J' \\ &\simeq \langle 1, \dots, 1, \eta_{2i} \rangle \perp q \langle 1, \dots, 1, \eta_{2i+1} \rangle \perp q^2 \langle \alpha_1, \alpha_2, \dots \rangle \pmod{q^t}, \end{aligned}$$

with $\eta_{2i}, \eta_{2i+1} \in \mathbb{Z} - q\mathbb{Z}$, $\alpha_j \in \mathbb{Z}$. Set

$$R_{2i} = \text{preimage in } K_{2i} \text{ of } \text{rad } (K_{2i}/qK_{2i}) = \tilde{J}_{2i+1} \oplus q\tilde{J}_{2i} \oplus J'.$$

Let \bar{M} be an (r_{2i-1}, μ_{2i-1}) -subspace of R_{2i}/qR_{2i} , and let

$$K_{2i-1} = \text{preimage in } R_{2i} \text{ of } \bar{M} + \overline{qK_{2i}} = \tilde{J}_{2i-1} \oplus q\tilde{J}_{2i} \oplus qJ_{2i+1} \oplus qJ',$$

where $\tilde{J}_{2i-1} \oplus J_{2i+1} = \tilde{J}_{2i+1}$, and $\tilde{J}_{2i-1} \simeq \langle 1, \dots, 1, \eta_{2i-1} \rangle \pmod{q}$. Since we know that $(\eta_{2i+1}/q) = (\eta_{2i-1}\varepsilon_{2i+1}/q)$, $\tilde{J}_{2i+1} \simeq \langle 1, \dots, 1, \varepsilon_{2i+1} \rangle \pmod{q}$. So by Lemma 1.1, we have

$$\begin{aligned} K_{2i-1} &= \tilde{J}_{2i-1} \oplus q\tilde{J}_{2i} \oplus qJ_{2i+1} \oplus qJ' \\ &\simeq q \langle 1, \dots, 1, \eta_{2i-1} \rangle \perp q^2 \langle 1, \dots, 1, \eta_{2i} \rangle \\ &\quad \perp q^3 \langle 1, \dots, 1, \varepsilon_{2i+1} \rangle \perp q^4 \langle \alpha_1, \alpha_2, \dots \rangle \pmod{q^t} \end{aligned}$$

(where $t > 2s + 1$). Now set

$$\begin{aligned} qR_{2i-1} &= \text{preimage in } K_{2i-1} \text{ of } \text{rad } (K_{2i-1}/qK_{2i-1}) \\ &= q\tilde{J}_{2i} \oplus q^2\tilde{J}_{2i-1} \oplus q^2J_{2i+1} \oplus q^2J'. \end{aligned}$$

Let \overline{M}' be an (r_{2i-2}, μ_{2i-2}) -subspace of R_{2i-1}/qR_{2i-1} , and let

$K_{2i-2} = \text{preimage in } R_{2i-1} \text{ of } \overline{M}' + \overline{K_{2i-1}} = \tilde{J}_{2i-2} \oplus \tilde{J}_{2i-1} \oplus qJ_{2i} \oplus J_{2i+1} \oplus qJ'$,
where

$$\begin{aligned} \tilde{J}_{2i-2} \oplus J_{2i} &= \tilde{J}_{2i}, \quad \tilde{J}_{2i-2} \simeq \langle 1, \dots, 1, \eta_{2i-2} \rangle \pmod{q}, \\ J_{2i} &\simeq \langle 1, \dots, 1, \varepsilon_{2i} \rangle \pmod{q}. \end{aligned}$$

Thus, by Lemma 1.1,

$$\begin{aligned} K_{2i-2} &\simeq \langle 1, \dots, 1, \eta_{2i-2} \rangle \perp q \langle 1, \dots, 1, \eta_{2i-1} \rangle \perp q^2 \langle 1, \dots, 1, \varepsilon_{2i} \rangle \\ &\perp q^3 \langle 1, \dots, 1, \varepsilon_{2i+1} \rangle \perp q^4 \langle \alpha_1, \alpha_2, \dots \rangle \pmod{q^4}. \end{aligned}$$

One easily verifies that the choices of K_{2i-1} and K_{2i-2} are uniquely determined by the subspaces $\overline{M} + q\overline{K_{2i}} \subseteq R_{2i}/qR_{2i}$ and $\overline{M}' + \overline{K_{2i-1}} \subseteq R_{2i-1}/qR_{2i-1}$. In fact, given a Jordan decomposition of $(K_{2i})_{(q)}$ (and hence of $(R_{2i})_{(q)}$) as above, K_{2i-1} is uniquely determined by the subspace \overline{M} of $\tilde{J}_{2s+1}/q\tilde{J}_{2s+1}$; similarly, K_{2i-2} is uniquely determined by the subspace \overline{M}' of $\tilde{J}_{2s}/q\tilde{J}_{2s}$.

To summarize, we have

$$\begin{aligned} K_{2i} &= \tilde{J}_{2i} \oplus \tilde{J}_{2i+1} \oplus \sum_{\ell=1}^{s-i} q^\ell (J_{2i+2\ell} \oplus J_{2i+2\ell+1}), \\ R_{2i} &= \tilde{J}_{2i+1} \oplus q\tilde{J}_{2i} \oplus \sum_{\ell=1}^{s-i} q^\ell (J_{2i+2\ell} \oplus J_{2i+2\ell+1}), \\ K_{2i-1} &= \tilde{J}_{2i-1} \oplus q\tilde{J}_{2i} \oplus qJ_{2i+1} \oplus \sum_{\ell=1}^{s-i} q^{\ell+1} (J_{2i+2\ell} \oplus J_{2i+2\ell+1}), \\ R_{2i-1} &= \tilde{J}_{2i} \oplus \tilde{J}_{2i-1} \oplus J_{2i+1} \oplus \sum_{\ell=1}^{s-i} q^\ell (J_{2i+2\ell} \oplus J_{2i+2\ell+1}), \\ K_{2i-2} &= \tilde{J}_{2i-2} \oplus \tilde{J}_{2i-1} \oplus \sum_{\ell=1}^{s-i+1} q^\ell (J_{2i+2\ell-2} \oplus J_{2i+2\ell-1}). \end{aligned}$$

Notice that $q^\ell K_{2s} \cap K_0 = q^\ell K_{2\ell}$ and $K_{2\ell} \subseteq K_{2\ell+2} \subseteq \dots \subseteq K_{2s}$. Hence $q^\ell x \in K_0$ if and only if K_{2i} for all $i, \ell < i \leq s$. Also $R_{2i} \cap K_{2i-2} = K_{2i-1} = R_{2i-2}$ (proving (a)). When $x \notin R_{2i}$, we necessarily have $x \notin K_{2i-1}$, but $x \in R_{2i-1}$ and $r_{2i-1} = R_{2i} \supseteq K_{2i-2}$. \square

To help us more easily count how many choices of K_{j-1} contain a given vector $x \in K_j - qK_j$, we introduce an auxiliary counting function and establish some basic identities.

Definition. Let \bar{M} be a regular $\mathbb{Z}/q\mathbb{Z}$ -quadratic space of type (c, ε) . An ordered basis $(\bar{x}_1, \dots, \bar{x}_c)$ for \bar{M} is an alternating basis if

- (i) \bar{x}_{2i} is isotropic for $1 \leq i < c/2$ and, if $2|c$ and $\varepsilon = 1$, for $i = c/2$,
- (ii) \bar{x}_{2i-1} is anisotropic ($1 \leq i \leq c/2$), and
- (iii) relative to this basis,

$$\bar{M} \simeq \begin{pmatrix} * & * \\ * & 0 \end{pmatrix} \perp \cdots \perp \begin{pmatrix} * & * \\ * & 0 \end{pmatrix} \perp \bar{A}$$

where \bar{A} is anisotropic and diagonal of dimension 0, 1, or 2.

Let $\Psi[(c, \varepsilon): (d, \mu)]$ be the number of ways to choose $\bar{x}_1, \dots, \bar{x}_c$ such that $(\bar{x}_1, \dots, \bar{x}_c)$ is an alternating basis for some (c, ε) subspace of a fixed (d, μ) space. Let $\Psi_{\bar{x}}[(c, \varepsilon): (d, \mu)]$ be the number of such subspace bases $(\bar{x}_1, \dots, \bar{x}_c)$ with $\bar{x}_1 = \bar{x}$ if \bar{x} is anisotropic, and $\bar{x}_2 = \bar{x}$ if \bar{x} is isotropic. Note that if \bar{x} is a basis vector for a (c, ε) subspace of an (d, μ) space \bar{W} , we have $\bar{x} \notin \text{rad } \bar{W}$.

LEMMA 3.4. *Suppose \bar{W} is a (d, μ) -space, $d > 1$. Given $\omega \neq 0$, the number of solutions to $Q(\bar{w}) = \omega$ with $\bar{w} \in \bar{W}$ is*

$$\begin{cases} q^{d/2-1}(q^{d/2} - \mu) & \text{if } 2|d, \\ q^{(d-1)/2} \left(q^{(d-1)/2} + \left(\frac{\omega}{q} \right) \mu \right) & \text{otherwise.} \end{cases}$$

Proof. First suppose \bar{W} is a $(2, \mu)$ -space. As described in [1], there are $q - \mu$ symmetries of \bar{W} . Given anisotropic $\bar{x} \in \bar{W}$, one easily verifies that only the trivial symmetry fixes \bar{x} , and the action of a symmetry on \bar{x} determines its action on \bar{W} . Thus, if $Q(\bar{w}) = \omega$ has any solutions, it has exactly $q - \mu$ solutions. We know there are $(q - \mu)(1 + \mu)$ (nonzero) isotropic vectors in \bar{W} ; consequently, there must be $q - \mu$ solutions to $Q(\bar{w}) = \omega$ for any $\omega \neq 0$.

Next suppose $d > 2$; set $\ell = [(d - 1)/2]$. Write $\bar{W} = \bar{U} \perp \bar{A}$, where \bar{U} is a $(2\ell, 1)$ -space and \bar{A} is a $(d - 2\ell, \mu)$ -space. Using induction on ℓ , we count the number of solutions to $Q(\bar{u}) + Q(\bar{a}) = \omega$ with $\bar{u} \in \bar{U}$, $\bar{a} \in \bar{A}$. \square

LEMMA 3.5. (1) *Suppose that $d > c > 2$, or that $d > c = 2$ and $\mu = \varepsilon$. Letting Ψ_* denote Ψ or $\Psi_{\bar{x}}$, we have*

$$\Psi_*[(c, \varepsilon): (d, \mu)] = \Psi_*[(2, 1): (d, \mu)] \cdot \Psi[(c - 2, \varepsilon): (d - 2, \mu)].$$

(2) With $\varepsilon = \pm 1$,

$$\Psi[(1, \varepsilon): (d, \mu)] = \begin{cases} q^{d/2-1}(q-1)(q^{d/2} - \mu) & \text{if } 2|d, \\ q^{(d-1)/2}(q-1)(q^{(d-1)/2} + \varepsilon\mu) & \text{otherwise;} \end{cases}$$

$$\Psi_{\bar{x}}[(1, \varepsilon): (d, \mu)] = \begin{cases} 1 & \text{if } \left(\frac{Q(x)}{q}\right) = \varepsilon, \\ 0 & \text{otherwise.} \end{cases}$$

(3) Suppose $d > 2$, or $d = 2, \mu = 1$. Then

$$\Psi[(2, 1): (d, \mu)] = \begin{cases} q^{d-2}(q-1)^2(q^{d/2} - \mu)(q^{d/2-1} + \mu) & \text{if } 2|d, \\ q^{d-2}(q-1)^2(q^{d-1} - 1) & \text{otherwise;} \end{cases}$$

$$\Psi_{\bar{x}}[(2, 1): (d, \mu)] = \begin{cases} q^{d/2-1}(q-1)(q^{d/2-1} + \mu) & \text{if } 2|d, Q(\bar{x}) \neq 0, \\ q^{(d-3)/2}(q-1)\left(q^{(d-1)/2} - \left(\frac{Q(x)}{q}\right)\mu\right) & \text{if } 2 \nmid d, Q(\bar{x}) \neq 0, \\ q^{d-2}(q-1)^2 & \text{if } Q(\bar{x}) = 0. \end{cases}$$

(4) Suppose $d > 2$, or $d = 2, \mu = -1$. Then assuming $Q(\bar{x}) \neq 0$,

$$\Psi[(2, -1): (d, \mu)] = \begin{cases} \frac{1}{2}q^{d-1}(q-1)^2(q^{d/2} - \mu)(q^{d/2-1} - \mu) & \text{if } 2|d, \\ \frac{1}{2}q^{d-1}(q-1)^2(q^{d-1} - 1) & \text{otherwise;} \end{cases}$$

$$\Psi_{\bar{x}}[(2, -1): (d, \mu)] = \begin{cases} \frac{1}{2}q^{d/2}(q-1)(q^{d/2-1} - \mu) & \text{if } 2|d, \\ \frac{1}{2}q^{(d-1)/2}(q-1)\left(q^{(d-1)/2} - \left(\frac{Q(x)}{q}\right)\mu\right) & \text{otherwise.} \end{cases}$$

Proof. The proof of (1) follows from the observation that \bar{U}^\perp is a $(d-2, \mu)$ -space whenever \bar{U} is a $(2, 1)$ -subspace of a (d, μ) -space.

(2) follows immediately from the preceding lemma.

(3) Choosing an alternating basis $\{\bar{x}, \bar{y}\}$ for a $(2, 1)$ -subspace, we have

$$\Psi[(1, 1): (d, \mu)] + \Psi[(1, -1): (d, \mu)]$$

choices for \bar{x} . Set $\mu' = (-1/q)\mu$ if $2|d$, and $\mu' = \mu$ otherwise. Then $\langle \bar{x} \rangle^\perp$ is a

$$\left(d-1, \left(\frac{Q(x)}{q} \right) \mu' \right)$$

space, so we can use the formulas of [1] to count isotropic $\bar{y} \notin \langle \bar{x} \rangle^\perp$. Given isotropic \bar{y} (not in the radical), $\langle \bar{y} \rangle^\perp$ is a $(d-1; d-2, \mu)$ -space, so we can count anisotropic $\bar{x} \notin \langle \bar{y} \rangle^\perp$.

(4) Choosing an orthogonal basis $\{\bar{x}, \bar{y}\}$ for a $(2, -1)$ -subspace, we have

$$\Psi[(1, 1): (d, \mu)] + \Psi[(1, -1): (d, \mu)]$$

choices for \bar{x} . We choose $\bar{y} \in \langle \bar{x} \rangle^\perp$ such that $(-Q(x)Q(y)/q) = -1$; thus we have

$$\Psi_{\bar{x}}[(2, -1): (d, \mu)] = \Psi \left[\left(1, -\left(\frac{-Q(x)}{q} \right) \right) : \left(d-1, \left(\frac{Q(x)}{q} \right) \mu' \right) \right]$$

choices for \bar{y} (where μ' is as in (3)). \square

LEMMA 3.6. For $0 \leq j \leq 2s+1$, $\omega = 0, \pm 1$, set

$$r_j' = \begin{cases} m + r_{2s} & \text{if } j \text{ is even,} \\ m + r_{2s+1} & \text{if } j \text{ is odd,} \end{cases}$$

and set

$$v_j = v_j(\omega; L, q) = \begin{cases} q^{(r_j - r_j')/2} (q^{r_j/2} - \mu_j) & \text{if } 2|r_j, \omega \neq 0, \\ q^{(r_j - r_j' + 1)/2} (q^{(r_j - 1)/2} + \omega \mu_j) & \text{if } 2 \nmid r_j, \omega \neq 0, \\ q^{1 - r_j'/2} (q^{r_j/2} - \mu_j) (q^{r_j/2 - 1} + \mu_j) & \text{if } 2|r_j, \omega = 0, \\ q^{1 - r_j'/2} (q^{r_j - 1} - 1) & \text{if } 2 \nmid r_j, \omega = 0. \end{cases}$$

For $j > s$, let $v_{2j}(\omega) = v_{2s}(\omega)$, $v_{2j+1}(\omega) = v_{2s+1}(\omega)$. Choose $x \in K_{2s} - qK_{2s}$; fix $\ell \geq 0$.

(a) Say $x \in R_{2s}$; set $\omega = \chi_q(Q(x)/q)$. The proportion of chains K_{2s}, \dots, K_0 such that $q^\ell x \in K_0$ is $(v_{2\ell+1}(\omega))/(v_{2s+1}(\omega))$.

(b) Say $x \notin R_{2s}$; set $\omega = \chi_q(Q(x))$. The proportion of chains K_{2s}, \dots, K_0 such that $q^\ell x \in K_0$ is $v_{2\ell}(\omega)/v_{2s}(\omega)$.

Proof. First notice that if $r_{2s+1} = 1$, or if $r_{2s+1} = 2$ and $\mu_{2s+1} = -1$, then $q^2 \nmid Q(x)$ for $x \in R_{2s} - qK_{2s}$; similarly, if $r_{2s} = 1$, or if $r_{2s} = 2$ and $\mu_{2s} = -1$, then

$q \nmid Q(x)$ for $x \in K_{2s} - R_{2s}$. Thus $v_{2s+1}(\omega)$, $v_{2s}(\omega)$ are never zero, where

$$\omega = \begin{cases} \chi_q(Q(x)/q) & \text{if } x \in R_{2s} - qK_{2s}, \\ \chi_q(Q(x)) & \text{if } x \in K_{2s} - r_{2s}. \end{cases}$$

As argued in the proof of Lemma 3.3, K_j is determined by the choice of the (r_j, μ_j) -subspace \bar{M} of the (r_{j+2}, μ_{j+2}) -space R_{j+1}/qR_{j+1} . Now the number of alternative bases for an (r, μ) -space is $\Psi[(r, \mu): (r, \mu)]$. Hence, having chosen K_i for $j < i \leq 2s$, the number of choices for K_j is

$$\frac{\Psi[(r_j, \mu_j): (r_{j+2}, \mu_{j+2})]}{\Psi[(r_j, \mu_j): (r_j, \mu_j)]},$$

and the number of choices of K_j containing a given vector x is

$$\frac{\Psi_{\bar{x}}[(r_j, \mu_j): (r_{j+2}, \mu_{j+2})]}{\Psi_{\bar{x}}[(r_j, \mu_j): (r_j, \mu_j)]}.$$

By Lemma 3.5,

$$\frac{\Psi_{\bar{x}}[(r_j, \mu_j): (r_{j+2}, \mu_{j+2})]\Psi[(r_j, \mu_j): (r_j, \mu_j)]}{\Psi_{\bar{x}}[(r_j, \mu_j): (r_j, \mu_j)]\Psi[(r_j, \mu_j): (r_{j+2}, \mu_{j+2})]} = \frac{v_j(\omega)}{v_{j+2}(\omega)}.$$

Thus, for $x \in K_{2s}$, the proportion of chains with $q^\ell x \in K_0$ is

$$\begin{cases} \prod_{\ell < i \leq s} \frac{v_{2i-1}(\omega)}{v_{2i+1}(\omega)} & \text{if } x \in R_{2s} - qK_{2s}, \\ \prod_{\ell < i \leq s} \frac{v_{2i-2}(\omega)}{v_{2i}(\omega)} & \text{if } x \in K_{2s} - R_{2s}. \end{cases}$$

Thus, by Lemma 3.3, if $x \in R_{2s} - qK_{2s}$, then the proportion of chains with $q^\ell x \in K_0$ is

$$\prod_{\ell \leq i < s} \frac{v_{2i+1}(\omega)}{v_{2i+3}(\omega)} = \frac{v_{2\ell+1}(\omega)}{v_{2s+1}(\omega)}.$$

Similarly, if $x \in K_{2s} - R_{2s}$, then the proportion of chains with $q^\ell x \in K_0$ is

$$\prod_{\ell \leq i < s} \frac{v_{2i}(\omega)}{v_{2i+2}(\omega)} = \frac{v_{2\ell}(\omega)}{v_{2s}(\omega)}. \quad \square$$

THEOREM 3.7. *Suppose $m = \text{rank } L$ is even, $m \geq 6$, and $(-1)^{m/2} dL \equiv 1 \pmod{4}$. For $n \in \mathbb{Z}$, the average representation number is*

$$r(\text{gen } L, 2n) = \rho_{L, \infty} \prod_{q'} \rho_{L, q}(n),$$

where $\rho_{L, \infty} = (2\pi)^{m/2} / \Gamma(m/2)$, and for fixed q , $\rho_{L, q}(n)$ is defined as follows.

Write $dL = NN_1^2$, where N is square-free; define χ by

$$\chi(d) = \text{sgn}(d)^{m/2} \left(\frac{(-1)^{m/2} dL}{d} \right),$$

where $(*)$ denotes the Kronecker symbol. Thus χ is a character with conductor N . Let $v_j(\varepsilon) = v_j(\varepsilon; L, q)$ be as defined in Lemma 3.6. Then for $e = \text{ord}_q(n)$, $\varepsilon = ((n/q^e)/q)$,

$$\rho_{L, q}(n) = v_e(\varepsilon; L, q) + \sum_{0 \leq \ell \leq e-1} q^{(m/2-1)(e-\ell)} v_\ell(0; L, q).$$

Proof. If L has minimal level and discriminant, then the theorem follows immediately from Corollary 2.7. Thus, we argue by induction on the number of primes q at which L does not have minimal level and discriminant at q .

The induction hypothesis is that the theorem holds for all lattices which have fewer than h primes at which the lattice does not have minimal level and discriminant. Let L be a lattice with h such primes. Fix such a prime q , and let K be as in Lemma 3.1. If r_{2s} is odd or $\mu_{2s} = -1$, then K has minimal level and discriminant at q , and the induction hypothesis (and the fact that the local structures of K and L agree for primes $p \neq q$) implies that

$$r(\text{gen } K, 2n) = \rho_{K, q}(n) \rho_{L, \infty} \cdot \prod_{p \neq q} \rho_{L, p}(n),$$

where $\rho_{K, q}(n)$ is as in Corollary 2.7, and $\rho_{L, p}(n)$ is as in the statement of the theorem to be proved. If r_{2s} is even and $\mu_{2s} = 1$, then K^q has minimal level and discriminant at q , and the induction hypothesis again implies that

$$r(\text{gen } K^q, 2n) = \rho_{K^q, q}(n) \rho_{L, \infty} \cdot \prod_{p \neq q} \rho_{L, p}(qn) = \chi_N(q) \rho_{K^q, q}(n) \cdot \prod_{p \neq q} \rho_{L, p}(n),$$

where $\rho_{K^q, q}(n)$ is as in Corollary 2.7, and $\rho_{L, p}(n)$ is as in the theorem to be proved.

Case 1. First consider the case that either $2 \nmid r_{2s}$ or $\mu_{2s} = -1$; so K, L lie in the same quadratic space. Assume $s \geq 1$. For $\varepsilon = \pm 1, \omega = 0, \pm 1$, set

$$B_\ell(\varepsilon) = \gamma(\varepsilon) \frac{v_{2\ell}(\varepsilon)}{v_{2s}(\varepsilon)} + (1 - \gamma(\varepsilon)) \frac{v_{2\ell-1}(0)}{v_{2s+1}(0)},$$

$$A_\ell(\omega) = \alpha \frac{v_{2\ell+1}(\omega)}{v_{2s+1}(\omega)} + \beta \frac{v_{2\ell}(0)}{v_{2s}(0)} + (1 - \alpha - \beta) \frac{v_{2\ell-1}(0)}{v_{2s+1}(0)}.$$

(Here the notation is as in Lemmas 3.2 and 3.6; we take $v_{-1}(\ast) = 0$.) Fix $\ell \geq 0$, and let $R = \text{preimage in } K \text{ of } \text{rad } K/qK$. Then from Lemmas 3.2 and 3.6, we have the following.

- (a) For $x \in K - R$ and $q \mid Q(x)$, the proportion of K_0 in K containing $q^\ell x$ is $A_\ell(\omega)$, where $\omega = ((Q(x)/q)/q)$.
- (b) For $x \in K - R$ and $q \nmid Q(x)$, the proportion of K_0 in K containing $q^\ell x$ is $B_\ell(\varepsilon)$, where $\varepsilon = (Q(x)/q)$.
- (c) For $x \in R - qK$ and $q \mid Q(x)$, the proportion of K_0 in K containing $q^\ell x$ is $v_{2\ell+1}(\varepsilon)/v_{2s+1}(\varepsilon)$, where $\varepsilon = ((Q(x)/q)/q)$.

If $q \nmid N, R = qK$. So suppose $q \mid N$. Let q' be a prime associated to q as in Proposition 2.1. Then, as discussed in the proof of Proposition 2.1, we know that

$$\theta(\text{gen } K; \tau) \Big| \frac{1}{(q')^{m/2-1} + 1} T_{q'} = \theta(\text{gen } M; \tau),$$

where $M_{(p)} \simeq K_{(p)}^{q'}$ for all primes $p \neq q'$, and $M_{(q')} \simeq K_{(q')}$. Thus, the n th Fourier coefficient of $\theta(\text{gen } M; \tau)$ is $r(\text{gen } M, 2n) = \rho_{K,\infty} \prod_p \rho_{M,p}(n)$, where our conditions on q' give us

$$\rho_{M,p}(n) = \begin{cases} \rho_{K,p}(n) & \text{for } p \nmid N \\ \rho_{K,p}(q'n) & \text{for } p \mid N \end{cases} = \begin{cases} \rho_{K,p}(qn) & \text{for } p \neq q, \\ \rho_{K,q}(q'n) & \text{for } p = q. \end{cases}$$

Then, Proposition 2.1 implies that the n th Fourier coefficient of $\theta(\text{gen } R; \tau)$ is

$$r(\text{gen } R, 2n) = \begin{cases} 0 & \text{if } q \nmid n, \\ \frac{q^{m/2-1} + 1}{q^{m/2-1}} r(\text{gen } M, 2n/q) - \frac{1}{q^{m/2-1}} r(\text{gen } K, 2n) & \text{if } q \mid n, \end{cases}$$

so

$$r(\text{gen } R, 2n) = \frac{r(\text{gen } K, 2n)}{\rho_{K,q}(n)} \rho_{R,q}(n),$$

where $\rho_{R,q}(n) = 0$ if $q \nmid n$, and for $e = \text{ord}_q(n) \geq 1$,

$$\begin{aligned} \rho_{R,q}(n)/f(q) &= \left(\frac{q^{m/2-1} + 1}{q^{m/2-1}} \rho_{K,q}(q'n/q) - \frac{1}{q^{m/2-1}} \rho_{K,q}(n) \right) / f(q) \\ &= c_K(q) + \frac{q^{m/2-1} + 1}{q^{m/2-1}} \chi_q(2q'n/q^e) \chi_{N/q}(q^{e-1}) q^{(m/2-1)(e-1)} \\ &\quad - \frac{1}{q^{m/2-1}} \chi_q(2n/q^e) \chi_{N/q}(q^e) q^{(m/2-1)e} \\ &= c_K(q) + \chi_q(2n/q^e) \chi_{N/q}(q^e) q^{(m/2-1)(e-1)} \\ &\quad \times \left(\frac{q^{m/2-1} + 1}{q^{m/2-1}} \chi_q(q') \chi_{N/q}(q) - \frac{1}{q^{m/2-1}} \right). \end{aligned}$$

Now, by our conditions on q' , we have

$$\chi_q(q') \chi_{N/q}(q) = \chi_q(q') \chi_{N/q}(q') = \chi(q') = 1;$$

so for $e \geq 1$,

$$\rho_{R,q}(n) = (c_K(q) + \chi_q(2n/q^e) \chi_{N/q}(q^e) q^{(m/2-1)(e-2)}) f(q).$$

Notice that for $e \geq 2$, $\rho_{R,q}(n) = \rho_{K,q}(n/q^2) = \rho_{qK,q}(n)$.

Let δ denote the number of K_0 in K ; we have

$$\begin{aligned} \frac{1}{\delta} \sum_{K_0 \subseteq K} \theta(K_0; \tau) &= \theta(q^{s+1}K; \tau) + \sum_{\substack{x \in K-R \\ q|Q(x)}} \sum_{0 \leq \ell \leq s} A_\ell \left(\left(\frac{Q(x)/q}{q} \right) \right) e\{Q(q^\ell x)\tau\} \\ &\quad + \sum_{\substack{x \in K \\ q \nmid Q(x)}} \sum_{0 \leq \ell \leq s} B_\ell \left(\left(\frac{Q(x)}{q} \right) \right) e\{Q(q^\ell x)\tau\} \\ &\quad + \sum_{x \in R-qK} \sum_{0 \leq \ell \leq s} \frac{v_{2\ell+1} \left(\left(\frac{Q(x)/q}{q} \right) \right)}{v_{2s+1} \left(\left(\frac{Q(x)/q}{q} \right) \right)} e\{Q(q^\ell x)\tau\} \\ &= \theta(q^t K; \tau) + \sum_{\substack{x \in K-R \\ q|Q(x)}} \sum_{0 \leq \ell \leq t-1} A_\ell \left(\left(\frac{Q(x)/q}{q} \right) \right) e\{Q(q^\ell x)\tau\} \end{aligned}$$

$$\begin{aligned}
 & + \sum_{\substack{x \in K \\ q \nmid Q(x)}} \sum_{0 \leq \ell \leq t-1} B_\ell \left(\left(\frac{Q(x)}{q} \right) \right) e\{Q(q^\ell x)\tau\} \\
 & + \sum_{x \in R - qK} \sum_{0 \leq \ell \leq t-1} \frac{v_{2\ell+1} \left(\left(\frac{Q(x)}{q} \right) \right)}{v_{2s+1} \left(\left(\frac{Q(x)}{q} \right) \right)} e\{Q(q^\ell x)\tau\}
 \end{aligned}$$

for any $t > s$. So with $e = \text{ord}_q(n)$ and $\varepsilon = ((2n/q^e)/q)$, the n th coefficient of $1/\delta \sum_{K_0 \subseteq K} \theta(K_0; \tau)$ is

$$\left\{ \begin{aligned}
 & A_t(\varepsilon)(a(K, n/q^{2t}) - a(R, n/q^{2t})) \\
 & + \frac{v_{2t+1}(\varepsilon)}{v_{2s+1}(\varepsilon)}(a(R, n/q^{2t}) - a(qK, n/q^{2t})) \\
 & + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(a(K, n/q^{2\ell}) - a(R, n/q^{2\ell})) \\
 & + \sum_{0 \leq \ell \leq t-1} \frac{v_{2\ell+1}(0)}{v_{2s+1}(0)}(a(R, n/q^{2\ell}) - a(qK, n/q^{2\ell})) \quad \text{if } e = 2t + 1, \\
 & B_t(\varepsilon)a(K, n/q^{2t}) \\
 & + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(a(K, n/q^{2\ell}) - a(R, n/q^{2\ell})) \\
 & + \sum_{0 \leq \ell \leq t-1} \frac{v_{2\ell+1}(0)}{v_{2s+1}(0)}(a(R, n/q^{2\ell}) - a(qK, n/q^{2\ell})) \quad \text{if } e = 2t.
 \end{aligned} \right.$$

Note that $a(qK, n/q^{2\ell}) = a(K, n/q^{2\ell+2})$; so $a(qK, n/q^{2t}) = 0$ when $q^{2t+1} \parallel n$. Now

$$\frac{1}{\delta} \sum_{K_0 \subseteq K} \theta(K_0; \tau) = \frac{1}{\delta} \sum_{L' \in \text{gen } L} \frac{\#\{\sigma \in O(V) : \{K : \sigma L'\} = \{K : L\}\}}{o(L')} \theta(L; \tau),$$

where $\{K : L\}$ denotes the invariant factors of L in K (see [4]), and $o(L')$ denotes the order of the orthogonal group of L' ; thus

$$\begin{aligned}
 & \sum_{\substack{M \in \text{gen } K \\ K_0 \subseteq M}} \frac{1}{o(M)} \theta(K_0; \tau) \\
 & = \sum_{L' \in \text{gen } L} \sum_{M \in \text{gen } K} \frac{\#\{\sigma \in O(V) : \{L' : \sigma q^{s+1} M\} = \{L : q^{s+1} K\}\}}{o(q^{s+1} M)} \frac{1}{o(L')} \theta(L'; \tau).
 \end{aligned}$$

Since the invariant factors $\{L: q^{s+1}K\}$ are all powers of q ,

$$\delta' = \sum_{M \in \text{gen } K} \frac{\#\{\sigma \in O(V): \{L': \sigma q^{s+1}M\} = \{L: q^{s+1}K\}\}}{o(q^{s+1}M)}$$

is determined by the structure of $L_{(q)}$; hence δ' is independent of the choice of $L' \in \text{gen } L$. So

$$\frac{\delta' \text{ mass } L}{\delta \text{ mass } K} \theta(\text{gen } L; \tau) = \frac{1}{\delta \text{ mass } K} \sum_{\substack{M \in \text{gen } K \\ K_0 \subseteq M}} \frac{1}{o(M)} \theta(K_0; \tau),$$

and for $n \neq 0$, the n th Fourier coefficient of $1/(\delta \text{ mass } K) \sum_{\substack{M \in \text{gen } K \\ K_0 \subseteq M}} (1/o(M)) \theta(K_0; \tau)$ is

$$\left\{ \begin{array}{l} A_t(\varepsilon)(r(\text{gen } K, 2n/q^{2t}) - r(\text{gen } R, 2n/q^{2t})) \\ + \frac{v_{2t+1}(\varepsilon)}{v_{2s+1}(\varepsilon)} r(\text{gen } R, 2n/q^{2t}) \\ + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(r(\text{gen } K, 2n/q^{2\ell}) - r(\text{gen } R, 2n/q^{2\ell})) \\ + \sum_{0 \leq \ell \leq t-1} \frac{v_{2\ell+1}(0)}{v_{2s+1}(0)} (r(\text{gen } R, 2n/q^{2\ell}) - r(\text{gen } K, 2n/q^{2\ell+2})) \quad \text{if } e = 2t + 1, \\ B_t(\varepsilon)r(\text{gen } K, 2n/q^{2t}) \\ + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(r(\text{gen } K, 2n/q^{2\ell}) - r(\text{gen } R, 2n/q^{2\ell})) \\ + \sum_{0 \leq \ell \leq t-1} \frac{v_{2\ell+1}(0)}{v_{2s+1}(0)} (r(\text{gen } R, 2n/q^{2\ell}) - r(\text{gen } K, 2n/q^{2\ell+2})) \quad \text{if } e = 2t. \end{array} \right.$$

Note that the zeroth coefficients of $\theta(\text{gen } L; \tau)$ and $1/(\delta \text{ mass } K) \sum_{\substack{M \in \text{gen } K \\ K_0 \subseteq M}} (1/o(M)) \times \theta(K_0; \tau)$ are both 1, so $\delta' \text{ mass } L/(\delta \text{ mass } K) = 1$. Note also that when $q^2|n'$, $\rho_{R,q}(n') = \rho_{K,q}(n'/q^2)$. Our induction hypothesis now gives us

$$r(\text{gen } L, 2n) = \rho_{K,\infty} \prod_p \rho_{K,p}(n),$$

where

$$\rho_{L,q}(n) = \begin{cases} A_t(\varepsilon)(\rho_{K,q}(n/q^{2t}) - \rho_{R,q}(n/q^{2t})) \\ \quad + \frac{v_{2t+1}(\varepsilon)}{v_{2s+1}(\varepsilon)} \rho_{R,q}(n/q^{2t}) \\ \quad + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(\rho_{K,q}(n/q^{2^\ell}) - \rho_{K,q}(n/q^{2^\ell+2})) & \text{if } e = 2t + 1, \\ B_t(\varepsilon)\rho_{K,q}(n/q^{2t}) \\ \quad + \sum_{0 \leq \ell \leq t-1} A_\ell(0)(\rho_{K,q}(n/q^{2^\ell}) - \rho_{K,q}(n/q^{2^\ell+2})) & \text{if } e = 2t. \end{cases}$$

For $q^{2^\ell+2} | n$,

$$\rho_{K,q}(n/q^{2^\ell}) - \rho_{K,q}(n/q^{2^\ell+2}) = \begin{cases} (\chi(q)q^{m/2-1})^{e-1-2^\ell} (1 + \chi(q)q^{m/2-1})f(q) & \text{if } q \nmid dK, \\ \chi_q(2n/q^e)\chi_{N/q}(q^e)q^{(m/2-1)(e-2-2^\ell)}(q^{m-2}-1)f(q) & \text{if } q | dK. \end{cases}$$

Also if $q \nmid dK$, then $R = qK$; so for $e = 2t$ or $2t + 1$,

$$\rho_{K,q}(n/q^{2t}) - \rho_{R,q}(n/q^{2t}) = \rho_{K,q}(n/q^{2t}) = \begin{cases} f(q) & \text{if } e = 2t, \\ (1 + \chi(q)q^{m/2-1})f(q) & \text{if } e = 2t + 1. \end{cases}$$

Next, for $e = 2t + 1$ and $q | dK$,

$$\alpha \rho_{K,q}(n/q^{2t}) + (1 - \alpha)\rho_{R,q}(n/q^{2t}) = \begin{cases} (\mu_{2s}q^{1-m/2} + q^{d+1-m/2}\varepsilon(\mu_{2s}\mu_{2s+1})^e)f(q) & \text{if } q \parallel dK, \\ \frac{q^{-m/2}(q^{d+1} + 1)(q^{m/2-1} - 1)}{q^{m/2-2} - 1} f(q) & \text{if } q^2 | dK. \end{cases}$$

Note that when $q \nmid dK$, we must have r_{2s} even, $\mu_{2s} = -1$, $\mu_{2s+1} = 1$, and $\chi_q = 1$; hence $\chi(q) = \mu_{2s}\mu_{2s+1} = -1$. When $q \parallel dK$, we have $\chi_q(2n/q^e) = ((2n/q^e)/q)$ and

$$\chi_{N/q}(q) = \left(\frac{q}{N_0/q} \right) = \left(\frac{(-1)^{m/2-1}N_0/q}{q} \right) = \left(\frac{(-1)^{m/2-1}dK/q}{q} \right) = \mu_{2s}\mu_{2s+1},$$

where $N = N_0 N_1^2$ with N_0 square-free (recall that $(-1)^{m/2} N \equiv 1 \pmod{4}$). Similarly, when $q^2 | dK$, $\chi_q = 1$ and

$$\chi_{N/q}(q) = \left(\frac{q}{N_0} \right) = \left(\frac{(-1)^{m/2} N_0}{q} \right) = \left(\frac{(-1)^{m/2} dK/q^2}{q} \right) = \mu_{2s} \mu_{2s+1} = 1.$$

Then straightforward computations show that $\rho_{L,q}(n)$ is as claimed.

Case 2. Now, suppose r_{2s} is even and $\mu_{2s} = 1$; so K^q is an integral lattice on V^q . Set

$$A_\ell(\omega) = \alpha \frac{v_{2\ell}(\omega)}{v_{2s}(\omega)} + \beta \frac{v_{2\ell-1}(0)}{v_{2s+1}(0)} + (1 - \alpha - \beta) \frac{v_{2\ell-2}(0)}{v_{2s}(0)},$$

and

$$B_\ell(\varepsilon) = \gamma(\varepsilon) \frac{v_{2\ell-1}(\varepsilon)}{v_{2s+1}(\varepsilon)} + (1 - \gamma(\varepsilon)) \frac{v_{2\ell-2}(0)}{v_{2s}(0)}.$$

As in the preceding case, for $\ell \geq 0$, Lemmas 3.2 and 3.6 give us the following.

(a) For $x \in K - qK$, $q|qQ(x)$, the proportion of K_0 in K containing $q^\ell x$ ($\ell \geq 0$) is $A_\ell(\omega)$, where $\omega = (Q(x)/q)$.

(b) For $x \in K - qK$, $q \nmid qQ(x)$, the proportion of K_0 in K containing $q^\ell x$ ($\ell \geq 1$) is $B_\ell(\varepsilon)$, where $\varepsilon = (qQ(x)/q)$. Thus

$$\begin{aligned} \frac{1}{\delta} \sum_{K_0 \subseteq K} \theta(K_0^q; \tau) &= \theta(q^{s+1}K^q; \tau) + \sum_{\substack{x \in K^q/qK^q \\ q|qQ(x)}} \sum_{0 \leq \ell \leq s} A_\ell \left(\left(\frac{Q(x)}{q} \right) \right) e\{qQ(q^\ell x)\tau\} \\ &+ \sum_{\substack{x \in K^q \\ q \nmid qQ(x)}} \sum_{1 \leq \ell \leq s} B_\ell \left(\left(\frac{qQ(x)}{q} \right) \right) e\{qQ(q^\ell x)\tau\}, \end{aligned}$$

where δ is the number of K_0 in K . We have $L \in \text{gen } K_0$, so an argument similar to that when r_{2s} is odd or $\mu_{2s} = -1$ gives us

$$r(\text{gen } L_0^q, n) = \begin{cases} A_t(\varepsilon)r(\text{gen } K^q, 2n/q^{2t}) \\ \quad + \sum_{0 \leq \ell < t} A_\ell(0)(r(\text{gen } K^q, 2n/q^{2\ell}) - r(\text{gen } K^q, 2n/q^{2\ell+2})) \\ \quad \quad \quad \text{if } q^{2t+1} || n, \\ B_t(\varepsilon)r(\text{gen } K^q, 2n/q^{2t}) \\ \quad + \sum_{0 \leq \ell < t} A_\ell(0)(r(\text{gen } K^q, 2n/q^{2\ell}) - r(\text{gen } K^q, 2n/q^{2\ell+2})) \\ \quad \quad \quad \text{if } q^{2t} || n, t \geq 1, 0 \quad \text{otherwise,} \end{cases}$$

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