

1 Plate arrays as a perfectly-transmitting negative refraction metamaterial

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4 **Abstract**

5 A closely-spaced periodic array of identical thin rigid plates illuminated by incident waves is shown
6 to act as a negative refraction metamaterial. The close-spacing assumption is used as a basis for
7 an approximation in which the region occupied by the plate array acts as an effective medium.
8 Effective matching conditions on the plate array boundary are also derived. The approximation
9 allows explicit expressions to be derived to wave scattering problems involving titled plate arrays.
10 This approximation is tested for its accuracy against an exact treatment of the problem based on
11 Bloch-Floquet theory.

Both the exact and effective medium theory predict perfect wave transmission at *all* wave frequencies through the array when the tilt angle of plates in the array is the reverse of the incident wave direction: the array acts as an all-frequency perfectly-transmitting negative-refraction medium. For certain frequencies the array is also shown to act as an all-angle perfectly-transmitting negative-refraction material.

12 *Keywords:* Staggered plates; periodic array; homogenization; metamaterial; negative refraction.

13 **1. Introduction**

14 In this paper we consider the two-dimensional problem of the scattering of waves by a staggered
15 infinite periodic array of thin parallel plates. The problem has a long history in acoustics with
16 application to blade rows in turbomachinery. More recent papers in this application area often
17 relate to circular duct geometries and include effects such as swirling flow in addition to basic mean
18 flow; for example [1]. In earlier papers the simpler two-dimensional linear blade row was considered
19 by [2], [3] and [4]. These three papers all consider periodic arrays of thin plates with stagger in the
20 presence of mean flow (equations for the pressure field include a Mach number, M). The work of
21 [3] and [4] employ the Wiener-Hopf method to derive solutions, extending earlier work of [5], [6]
22 and [7] for arrays without stagger. They sought to improve upon the simple approximate method
23 of [2] who matched solutions outside the array with a continuum model for the field inside the array
24 based on infinitesimal separation between adjacent blades.

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25 [2] highlighted that zeros of transmission at frequencies dependent on M could be found at
26 incident angles opposed to the inclination of elements in array. In the three papers cited above
27 involving stagger much of the focus of results concerns the influence of mean flow as this is pertinent
28 to the application area. However, [2] also point out that without mean flow the transmitted and
29 reflected wave amplitudes are symmetric with respect of the incident wave heading, regardless of
30 stagger. This is certainly not an intuitive result. In this paper we confirm and extend this result
31 with a different application area in mind.

32 The last 20 years has seen a vast expansion of interest in the science of so-called metamaterials.
33 These are manufactured materials having properties not usually found in nature. A metamaterial
34 typically possesses a microstructure whose effect upon the macroscopic field variables allows it to
35 exhibit complex and counter-intuitive phenomena. The design and realisation of metamaterials
36 have provided researchers with a range of new problems that can be considered. One of the key
37 demands of metamaterials in wave engineering problems such as invisibility cloaking or perfect
38 lensing is the ability to be able to redirect the path of waves without reflection and with a negative
39 refractive index. For example, see [8], [9], [10] and [11] for examples related closely to the current
40 work.

41 In this paper we bring together the ideas of closely-spaced plate arrays and metamaterials to
42 illustrate two principal effects: (i) all-frequency perfectly-transmitting negative refraction based
43 wave shifting; and (ii) all-angle perfectly-transmitting negative refraction wave shifting. We shall
44 also illustrate trapping of waves by long finite-width staggered plate arrays.

45 Two approaches are taken to the solving the staggered plate array problem. After defining
46 the problem in §2 we focus in §3 on formulating an approximation to the solution based on a
47 close-spacing assumption. This is, in essence, the approach adopted by [2]. The effect of the
48 microstructure is captured by reducing (through a formal asymptotic procedure) the wave equation
49 to allow wave motion only in directions aligned with plates in the array. This process might
50 be referred to as homogenisation/continuum modelling or effective field theory depending on the
51 context. With the addition of effective boundary conditions between the plate array and the exterior
52 domain we derive explicit solutions to a variety of problems illustrating various interesting wave
53 effects indicated in the paragraph above as well as considering edge waves trapped within the plate
54 array and their excitation by point sources in the neighbourhood of the plate array.

55 To confirm the validity of the approximation of §3, the problem of plane wave scattering,
56 without approximation, by an infinite periodic array of thin staggered plates is considered in §4.
57 Usual arguments, based on periodicity, are adopted to reduce the boundary-value problem to

58 one that lies within a fundamental periodic strip of the domain and the application of Fourier
 59 transforms lead to integral equations which can be solved accurately and efficiently using well-
 60 established approximation methods. An analysis of the structure of this solution shows that all
 61 of the key symmetry and transparency relations present in the approximation are shared by this
 62 exact treatment of the problem. This approach appears to be much simpler than the ones used by
 63 [3] and [4].

64 Results are produced throughout the paper to demonstrate various aspects of the theory and
 65 the paper is concluded in §5.

66 2. Description of the problem

67 A periodic array is comprised of thin plates each of length $2L$, separated from their neighbours
 68 by a perpendicular distance d , with centres lying along $y = 0$ and tilted at an angle δ to the positive
 69 y -axis (see Fig. 1). The array occupies the strip $-b < y < b$, $-\infty < x < \infty$ such that $b = L \cos \delta$
 70 and along the edges $y = \pm b$ the edges of adjacent plates are separated by a distance $l = d / \cos \delta$.
 71 The overlap (or stagger) between two adjacent plates in the array is $a = d \tan \delta$.

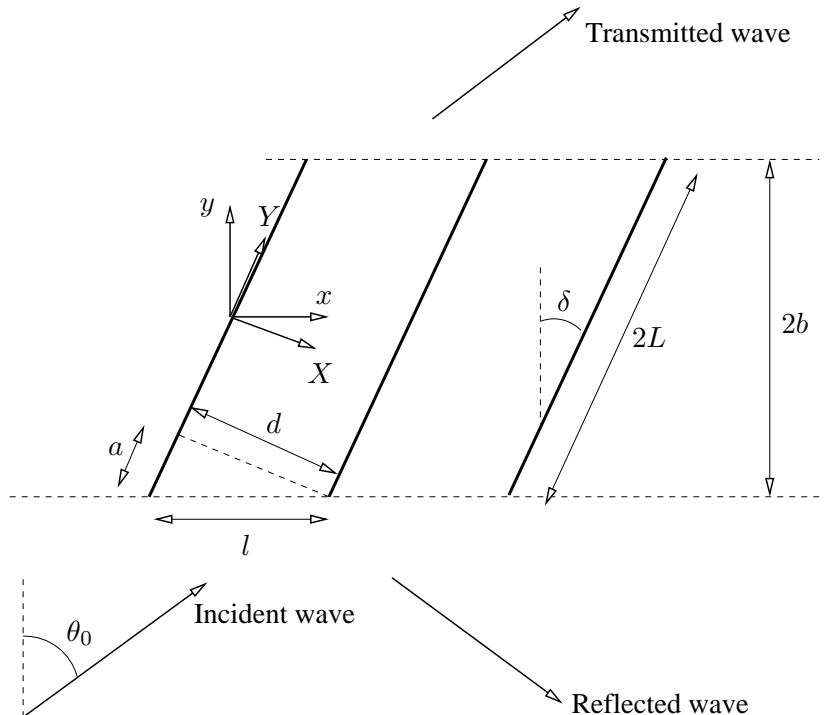


Figure 1: Definition sketch showing three thin plates within the periodic array.

72 The periodic array of plates is embedded in an infinite two-dimensional domain occupied by
 73 a homogeneous medium which supports wave propagation with phase speed c . Assuming time-

74 harmonic motion of angular frequency ω the function $\phi(x, y)$ describing the field within the medium
 75 satisfies the two-dimensional wave equation

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + k^2 \right) \phi = 0 \quad (2.1)$$

76 where $k = \omega/c$ is the wavenumber. On both sides of each plate within the array ϕ satisfies a
 77 homogeneous Neumann boundary condition. Thus the problem can be interpreted in a number
 78 of different physical settings including low-Mach number linearised acoustics, linearised surface
 79 gravity waves on a fluid of constant depth and TM-polarised waves in electromagnetics.

80 An incident plane wave making an angle θ_0 with respect to the positive y -axis arrives from
 81 infinity in $y < -b$. It is described by the function

$$\phi_{inc}(x, y) = e^{i\alpha_0 x} e^{i\beta_0 y} \quad (2.2)$$

82 where $\alpha_0 = k \sin \theta_0$, $\beta_0 = k \cos \theta_0$ are wavenumber components in the x - and y -directions.

83 Far away from the array of plates, ϕ is assumed to satisfy

$$\phi(x, y) \sim \begin{cases} \phi_{inc}(x, y) + R e^{i\alpha_0 x} e^{-i\beta_0 y}, & \text{as } y \rightarrow -\infty \\ T e^{i\alpha_0 x} e^{i\beta_0 y}, & \text{as } y \rightarrow \infty \end{cases} \quad (2.3)$$

84 where R and T are the reflection and transmission coefficients and are the principal unknowns
 85 in the problem. The form of (2.3) holds provided kd is sufficiently small (otherwise higher-order
 86 diffraction modes are cut on) and we assume this to be the case here.

87 We also employ coordinates (X, Y) perpendicular and parallel (respectively) to the plates,
 88 related to (x, y) by

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \cos \delta & \sin \delta \\ -\sin \delta & \cos \delta \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix}. \quad (2.4)$$

89 Under this transformation (2.1) is replaced by

$$\left(\frac{\partial^2}{\partial X^2} + \frac{\partial^2}{\partial Y^2} + k^2 \right) \psi = 0 \quad (2.5)$$

90 for $\psi(X, Y) = \phi(x, y)$ with boundary conditions on the plates are expressed as

$$\psi_X(X_n^\pm, Y) = 0, \quad \text{for } -L < Y - X_n \tan \delta < L, \quad n \in \mathbb{Z} \quad (2.6)$$

91 where $X_n = nd$.

92 3. Approximation for closely-spaced plates

93 An approximation is developed under the assumption that the spacing between the plates is
 94 small in relation to the plate length: $\epsilon = d/L \ll 1$. It is also assumed that $kL = O(1)$ which implies

95 that $kd = O(\epsilon) \ll 1$. This implies the wavelength is much larger than the perpendicular distance
 96 between adjacent plates.

97 We start by considering the solution in $|y| < b$ in the domain occupied by the plate array.
 98 Every point in this domain can be written as $X = X_n + dX'$ and $Y = X_n \tan \delta + LY'$ where
 99 $X' \in (0, 1)$, $-1 + \epsilon \tan \delta < Y' < 1$ and $-\infty < n < \infty$ and the corresponding solution is referenced
 100 as $\psi(X, Y) \equiv \psi_n(X', Y')$. Under this transformation, $\psi_n(X', Y')$ satisfies, from (2.5),

$$\frac{1}{\epsilon^2} \frac{\partial^2 \psi_n}{\partial X'^2} + \left(\frac{\partial^2}{\partial Y'^2} + k^2 L^2 \right) \psi_n = 0 \quad (3.1)$$

101 for $0 < X' < 1$ in which $\partial_{X'} \psi_n = 0$ on $X' = 0, 1$ from (2.6). The local solution is expanded powers
 102 of ϵ^2 as

$$\psi_n(X', Y') \approx \psi_n^{(0)}(X', Y') + \epsilon^2 \psi_n^{(1)}(X', Y') + \dots \quad (3.2)$$

103 where $\partial_{X'} \psi_n^{(m)} = 0$ on $X' = 0, 1$ for each m . At leading order $\partial_{X'} \psi_n^{(0)} = 0$ for $0 < X' < 1$ and
 104 along with the boundary conditions this gives us $\psi_n^{(0)} \equiv \psi_n^{(0)}(Y')$.

105 At next order (3.1) reads

$$\frac{\partial^2 \psi_n^{(1)}}{\partial X'^2} + \left(\frac{\partial^2}{\partial Y'^2} + k^2 L^2 \right) \psi_n^{(0)} = 0 \quad (3.3)$$

106 for $-1 + \epsilon \tan \delta < Y' < 1$, $0 < X' < 1$. Integrating (3.3) over $0 < X' < 1$ and using the boundary
 107 conditions at $X' = 0, 1$ results in

$$\left(\frac{\partial^2}{\partial Y'^2} + k^2 L^2 \right) \psi_n^{(0)} = 0 \quad (3.4)$$

108 over $|Y'| < 1$ (to leading order in ϵ) and so it follows that

$$\psi_n^{(0)}(Y') = C_n e^{ikLY'} + D_n e^{-ikLY'} \quad (3.5)$$

109 for arbitrary coefficients C_n and D_n . The solution (3.5) is as we expect on physical grounds: at
 110 leading order the waves are confined to propagate along in each narrow channel and amplitudes in
 111 different channels are independent.

112 3.1. Plane wave scattering

113 The values of C_n and D_n in (3.5) are determined by matching the solution in each channel to
 114 the solution in the regions exterior to the plate array and we consider this now. Since the geometry
 115 is periodic in x with period l , general solutions in $|y| > b$ can be determined using Bloch-Floquet
 116 theory which requires $\phi(x + l, y) = e^{i\alpha_0 l} \phi(x, y)$ and there is only a change in phase relating to the

117 incident wave in the solution from one period to the next (this is revisited in §4). Thus, the general
 118 solution in $y < -b$ can be written

$$\phi(x, y) = e^{i\alpha_0 x} \left(e^{i\beta_0 y} + R e^{-i\beta_0 y} + \sum_{\substack{n=-\infty \\ \neq 0}}^{\infty} a_n e^{\gamma_n y} e^{2\pi n i x / l} \right) \quad (3.6)$$

119 where a_n are undetermined coefficients and $\gamma_n = \sqrt{(\alpha_0 + 2n\pi/l)^2 - k^2}$. A similar expansion holds
 120 for $y > b$. Thus, terms contained in the infinite sum contribute to oscillations on the scale of
 121 the channel width, $d = l \cos \delta$, a scale not captured by approximation developed above. The first
 122 consequence of this is that the leading-order solution in $y < -b$ is reduced from (3.6) to

$$\phi(x, y) \approx e^{i\alpha_0 x} (e^{i\beta_0 y} + R e^{-i\beta_0 y}), \quad (3.7)$$

123 coinciding everywhere with the far-field representation, (2.3). Similarly for $y > b$ we approximate
 124 the solution by

$$\phi(x, y) \approx T e^{i\alpha_0 x} e^{i\beta_0 y}. \quad (3.8)$$

125 A second consequence is that the coefficients C_n and D_n introduced in (3.5) vary spatially with n ,
 126 to leading order, over the lengthscale L and this justifies expressing the coefficients $C_n \equiv C(X_n)$
 127 and $D_n \equiv D(X_n)$ as discrete evaluations of continuous functions. Under this assumption we can
 128 approximate the solution in the array (3.5) as

$$\psi(X, Y) = \psi_n(X', Y') \approx C(X) e^{ikY} + D(X) e^{-ikY}. \quad (3.9)$$

129 Transforming this solution back into original (x, y) variables through the use of (2.4) gives

$$\phi(x, y) \approx C(x \cos \delta - y \sin \delta) e^{ik(x \sin \delta + y \cos \delta)} + D(x \cos \delta - y \sin \delta) e^{-ik(x \sin \delta + y \cos \delta)} \quad (3.10)$$

130 within $|y| < b$. Matching the field variable, ϕ , across $y = \pm b$ over $-\infty < x < \infty$ in (3.7), (3.8) with
 131 (3.10) allows us to infer that the functions introduced in (3.9) must take the form

$$C(t) = C' e^{i(\alpha_0 - k \sin \delta)t / \cos \delta}, \quad D(t) = D' e^{i(\alpha_0 - k \sin \delta)t / \cos \delta} \quad (3.11)$$

132 where $C', D' \in \mathbb{C}$ are constants. Using the definitions (3.11) in (3.10) reduces that equation to

$$\phi(x, y) \approx (C' e^{iky / \cos \delta} + D' e^{-iky / \cos \delta}) e^{i\alpha_0(x - y \tan \delta)}. \quad (3.12)$$

133 Additionally, matching the field variable ϕ across $y = -b$ and $y = b$ gives, respectively,

$$e^{-i\beta_0 b} + R e^{i\beta_0 b} = (C' e^{-ikL} + D' e^{ikL}) e^{i\alpha_0 L \sin \delta} \quad (3.13)$$

134 and

$$Te^{i\beta_0 b} = (C'e^{ikL} + D'e^{-ikL})e^{-i\alpha_0 L \sin \delta}. \quad (3.14)$$

135 Fluxes must also be matched across boundaries between neighbouring domains. Equating flux
 136 within two adjacent plates, $d\psi_Y$, at $Y = \pm L$ to the flux, $l\phi_y$, across the boundaries $y = \pm b$ between
 137 the edges of those plates into the exterior domain through small connecting right-angled triangles
 138 (see Fig. 1) with sides of length a , d and l gives

$$\beta_0(e^{-i\beta_0 b} - Re^{i\beta_0 b}) = k \cos \delta (C'e^{-ikL} - D'e^{ikL})e^{i\alpha_0 L \sin \delta} \quad (3.15)$$

139 and

$$\beta_0 T e^{i\beta_0 b} = k \cos \delta (C'e^{ikL} - D'e^{-ikL})e^{-i\alpha_0 L \sin \delta}. \quad (3.16)$$

140 It is now simply a matter of eliminating between (3.13), (3.14), (3.15) and (3.16), a process which
 141 results in the following closed-form expressions for the reflection and transmission coefficients

$$R = \frac{(\cos^2 \theta_0 - \cos^2 \delta) \sin(2kL) e^{-2ikL \cos \theta_0 \cos \delta}}{(\cos^2 \theta_0 + \cos^2 \delta) \sin(2kL) + 2i \cos(2kL) \cos \theta_0 \cos \delta} \quad (3.17)$$

142 and

$$T = \frac{2i \cos \theta_0 \cos \delta e^{-2ikL \cos(\theta_0 - \delta)}}{(\cos^2 \theta_0 + \cos^2 \delta) \sin(2kL) + 2i \cos(2kL) \cos \theta_0 \cos \delta}, \quad (3.18)$$

143 noting that $b = L \cos \delta$.

144 Several things are worthy of note here. First, the conservation of energy relation, $|R|^2 + |T|^2 = 1$,
 145 is easily verified from the expressions above. Next, various properties emerge from the relations
 146 (3.17), (3.18). Writing $R \equiv R(\theta_0, \delta, kL)$, $T \equiv T(\theta_0, \delta, kL)$ helps list those properties below.

147 (i) Symmetry, meaning

$$R(\theta_0, \delta, kL) = R(-\theta_0, \delta, kL), \quad \text{and} \quad R(\theta_0, \delta, kL) = R(\theta_0, -\delta, kL) \quad (3.19)$$

148 with the same relations applying to $|T|$.

149 (ii) Transparency, meaning that: (a)

$$R(\theta_0, \pm\theta_0, kL) = 0, \quad \begin{cases} T(\theta_0, \theta_0, kL) = 1, \\ |T(\theta_0, -\theta_0, kL)| = 1; \end{cases} \quad (3.20)$$

150 and (b)

$$R(\theta_0, \delta, n\pi/2) = 0, \quad (3.21)$$

151 with $|T| = 1$ at $kL = n\pi/2$.

152 (iii) Reciprocity in angles, meaning

$$R(\theta_0, \delta, kL) = -R(\delta, \theta_0, kL), \quad T(\theta_0, \delta, kL) = T(\delta, \theta_0, kL). \quad (3.22)$$

153 (iv) Wavenumber periodicity, meaning

$$|R(\theta_0, \theta_0, kL + n\pi/2)| = |R(\theta_0, \theta_0, kL)| \quad (3.23)$$

154 and

$$|R(\theta_0, \theta_0, \pi/2 - kL)| = |R(\theta_0, \theta_0, kL)| \quad (3.24)$$

155 with the same relations applying to $|T|$.

156 Amongst these results, most remarkable is the result (ii)(a) which states that total transmission
 157 occurs for all wavenumbers when $\theta_0 = -\delta$ and the angle of plates in the array is the reverse of the
 158 incident wave direction. An illustration of this result is given in Fig. 2 which shows the instantaneous
 159 wave field for a wave propagating at $\theta_0 = 45^\circ$ across an array of dimensionless width 2 tilted at
 160 $\delta = -45^\circ$. In the left-hand and right-hand panels, $kb = 1$ and $kb = 2$. Incoming waves are perfectly
 161 impedance matched at the boundary of the array and wave fronts are transmitted through the
 162 plate array in the reverse orientation before continuing in their original direction as they emerge
 163 at the far boundary of the array. Later figures in the paper help with this interpretation.

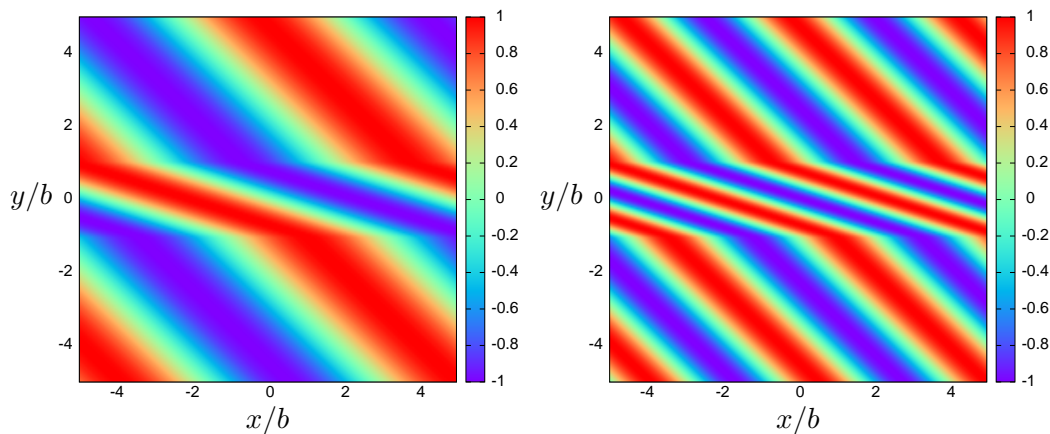


Figure 2: The instantaneous wave field for incident waves propagating at $\theta_0 = 45^\circ$ into an array of plates tilted at $\delta = -45^\circ$ for $kb = 1$ (left) and $kb = 2$ (right).

164 Fig. 3 shows the modulus of the reflection coefficient as a function of incident wave angles
 165 $\theta_0 \in [0^\circ, 90^\circ)$ for different array inclinations, δ , each for a fixed value of $kb = 2$. The results are
 166 symmetric in θ_0 (property (i) above) and we observe the vanishing of R when $\theta_0 = \delta$ (which must
 167 occur on physical grounds) and that $|R| \rightarrow 1$ as θ_0 approaches the grazing angle.

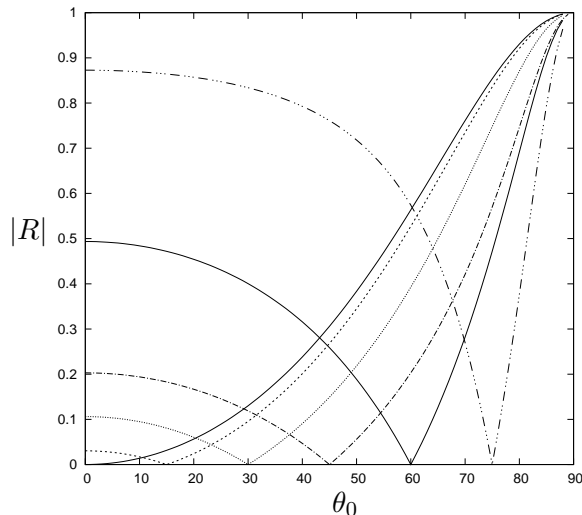


Figure 3: The variation of $|R|$ with θ_0 for $\delta = 0^\circ, 15^\circ, 30^\circ, 45^\circ, 60^\circ, 75^\circ$ when $kb = 2$.

168 3.2. Gaussian wavepacket

169 In Fig. 4 we provide an alternative illustration of the same features described in Fig. 2 by
 170 showing the evolution of a dispersive Gaussian wavepacket defined at $t = 0$ in the left-hand plot
 171 and evolving to the surface shown in the right-hand plot at $t = 6$ s later. The wave field in Fig. 4
 172 is defined to be the real part of

$$\frac{b}{\sqrt{4\sigma}} \int_{-\infty}^{\infty} \phi(x, y; k) e^{-(kb - k_0 b)^2 / (4\sigma)} e^{-i\omega t} dk \quad (3.25)$$

173 where the linear water wave dispersion relation $\omega = \sqrt{gk \tanh kh}$ has been used with $g = 9.81\text{ms}^{-2}$
 174 and h the water depth. Here, $\phi(x, y; k)$ is the solution of §3.1, the dependence upon the wavenumber
 175 k having been made explicit. For the computation of Fig. 4 we have taken $k_0 b = \pi$, $h = 0.1\text{m}$,
 176 $g = 9.81\text{ms}^{-2}$, $b = 0.1\text{m}$, $\sigma = 0.2$ and all frequency components are propagating at $\theta_0 = 45^\circ$. The
 177 integral in (3.25) is approximated by the rectangle rule and truncation of limits. Fig. 4 reinforces
 178 the perfect transmission of all frequency components of dispersive surface waves on water through
 179 a plate array tilted against the incident wave direction.

180 We have established that the plate array acts as a perfectly-transmitting negative refraction
 181 medium and the finite width of the array provides us with a ‘metamaterial wave shifter’ of the type
 182 described by [11]. An example of the potential application of such a device is shown in the sketch
 183 in Fig. 5 in which two parallel waveguides, offset laterally and connected by a junction, contains
 184 a closely-spaced array of plates oriented at the reverse angle to the waveguide walls. Ignoring any
 185 local effects caused in practice by the finite separation of the plates in the array, plane waves of all
 186 frequencies will propagate without reflection through the junction. Note however that wave signals

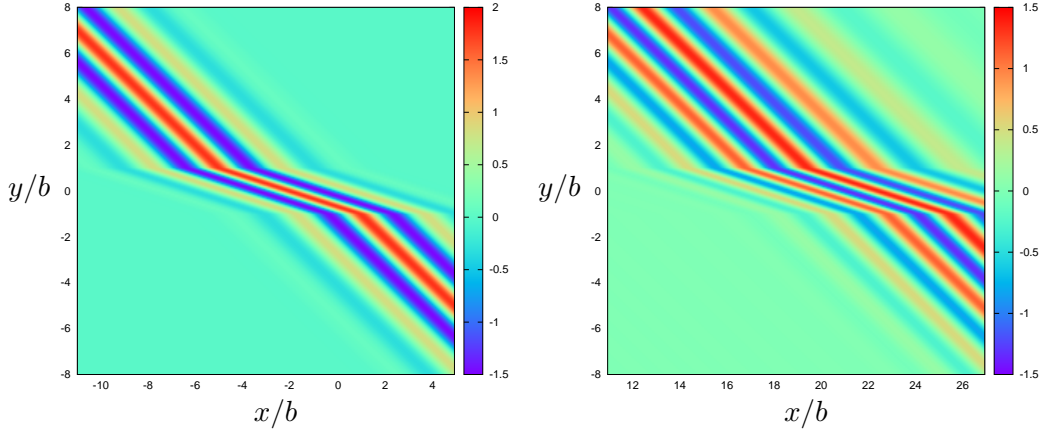


Figure 4: The instantaneous surface wave amplitude for a $\theta_0 = 45^\circ$ directed Gaussian wave packet defined in (a) at $t = 0$ and evolving to (b) at $t = 6$ s for an array of plates tilted at $\delta = -45^\circ$ in water of constant depth.

187 will not be perfectly reconstructed beyond the junction since the phase shift in the transmission
 188 coefficient is frequency dependent.

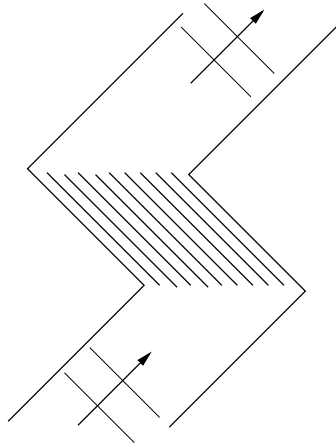


Figure 5: A schematic of an all-wavenumber perfectly-transmitting waveguide junction.

189 3.3. Gaussian beam

190 The result listed (ii)(b) in §3.1 is the well-known resonance effect due coherent interference of
 191 waves propagating between the two ends of the narrow channels in the array. In contrast to the
 192 first listed result (ii)(a) this phenomenon is independent of incident wave direction, θ_0 , and array
 193 inclination, δ . Thus the titled plate array can act as an all-angle perfectly-transmitting negative-
 194 refraction metamaterial waveshifter at specific frequencies. It also provides us with better way of
 195 visualising the negative refraction property of the plate array by illuminating it with a Gaussian
 196 beam. A Gaussian beam field can be constructed by weighting plane wave solutions over a range

197 of incident wave angles (see, for e.g. [11, §3]) according to

$$\phi_b(x, y) = \sqrt{4\pi} \int_{\theta_b - \Delta\theta_b}^{\theta_b + \Delta\theta_b} \cos(\theta_b - \theta_0) e^{-4\pi^2 \sin^2(\theta_b - \theta_0)} \phi(x, y; \theta_0) d\theta_0 \quad (3.26)$$

198 where $\phi(x, y; \theta_0)$ refers to the plane wave solution of §3.1 under an incident angle θ_0 . The principal
 199 direction of the beam is given by θ_b and $\Delta\theta_b$ provides a numerical cut-off to the Gaussian envelope
 200 chosen, for simplicity, so that only real scattering angles are selected in the integration. Again,
 201 this is computed using the rectangle rule. A snapshot of the wave amplitude of the time-harmonic
 202 solution provided by $\Re\{\phi_b(x, y)\}$ is shown in Fig. 6 for $\theta_b = 45^\circ$ and $\delta = -45^\circ$ and the wavenumber
 203 $kL = 9\pi/2$ satisfies the criterion for total transmission.

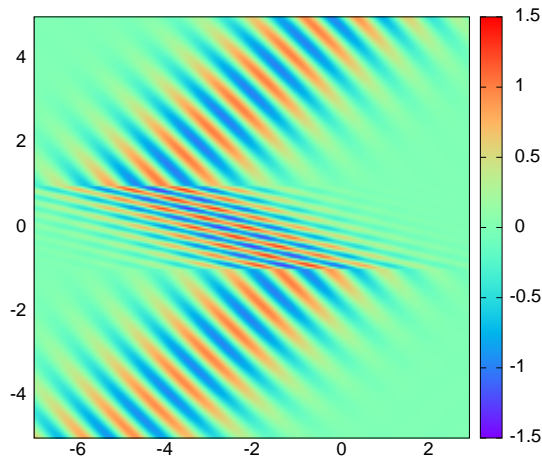


Figure 6: Perfect transmission of a Gaussian beam incidence at 45° on a staggered array $\delta = -45^\circ$ at $kL = 9\pi/2$.

204 3.4. Edge waves

205 In this section we consider the existence of localised wave modes capable of propagating along
 206 the plate array whilst decaying away from the array. Such waves are commonly referred to as
 207 trapped waves, guided waves or edge waves depending upon the physical context. Although they
 208 cannot be excited by plane incident waves considered in §3.1 the importance of such solutions is
 209 highlighted in the next section where we will show that they are excited by a wave source in the
 210 vicinity of the effective medium; a related problem in electromagnetic theory can be found in [12].

211 The solution in $|y| < b$ is still described by (3.10) but instead of incident, reflected and trans-
 212 mitted waves in $|y| > b$ the general solution in $y < -b$ is replaced by

$$\phi_e(x, y) = Ae^{\pm i\alpha_e x} e^{\gamma_e(y+b)} \quad (3.27)$$

213 and in $y > b$,

$$\phi_e(x, y) = Be^{\pm i\alpha_e x} e^{-\gamma_e(y-b)} \quad (3.28)$$

214 where A and B are to be determined and $\alpha_e > k$ while $\gamma_e = \sqrt{\alpha_e^2 - k^2}$. In the scattering problem
 215 of §3.1 $\alpha_0 = k \sin \theta_0 < k$ in modulus was used in place of α_e and the choice $\alpha_e > k$ forces the wave
 216 field to decay away from the array. This alone is insufficient to establish the existence of localised
 217 waves as these general expressions need to be matched to the general expression for the wave field
 218 in $|y| < b$.

219 There is no difference to the matching procedure used in §3.1 and matching $\phi(x, -b)$ from inside
 220 and outside the plate array using (3.10) and (3.27) gives

$$C' e^{\pm i \alpha_e b \tan \delta} e^{-i k b / \cos \delta} + D' e^{\pm i \alpha_e b \tan \delta} e^{i k b / \cos \delta} = A \quad (3.29)$$

221 where C' and D' are related to the functions C and D via (3.11) with $\pm \alpha_e$ replacing α_0 . This
 222 simplifies to

$$C' e^{-i k b / \cos \delta} + D' e^{i k b / \cos \delta} = A' \quad (3.30)$$

223 after use of the abbreviation $A = A' e^{\pm i \alpha_e b \tan \delta}$. Matching fluxes across $y = -b$ provides a second
 224 relation between the coefficients C' , D' and A' of

$$i k \cos \delta (C' e^{-i k b / \cos \delta} - D' e^{i k b / \cos \delta}) = \gamma_e A'. \quad (3.31)$$

225 Following the same process of matching ϕ and the fluxes across $y = b$ readily gives two further
 226 relations, namely

$$C' e^{i k b / \cos \delta} + D' e^{-i k b / \cos \delta} = B' \quad (3.32)$$

227 where $B = B' e^{\mp i \alpha_e b \tan \delta}$ and

$$i k \cos \delta (C' e^{i k b / \cos \delta} - D' e^{-i k b / \cos \delta}) = -\gamma_e B'. \quad (3.33)$$

228 Using $L = b / \cos \delta$ and eliminating A' and B' from (3.30), (3.31) and (3.32), (3.32) results in the
 229 system

$$\begin{pmatrix} (\gamma_e - i k \cos \delta) e^{-i k L} & (\gamma_e + i k \cos \delta) e^{i k L} \\ (\gamma_e + i k \cos \delta) e^{i k L} & (\gamma_e - i k \cos \delta) e^{-i k L} \end{pmatrix} \begin{pmatrix} C' \\ D' \end{pmatrix} = 0 \quad (3.34)$$

230 and it follows that non-trivial solutions correspond to the vanishing of the determinant which can
 231 be written as the condition

$$(\gamma_e^2 - k^2 \cos^2 \delta) \sin(2kL) + 2\gamma_e k \cos \delta \cos(2kL) = 0. \quad (3.35)$$

232 This equation is quadratic in γ_e and solutions given by either $\gamma_e = k \cos \delta \tan(kL)$ or $\gamma_e =$
 233 $-k \cos \delta \cot(kL)$. Since $\cos \delta > 0$ and we require $\gamma_e > 0$ for decay at infinity, values of kL such that
 234 $\tan(kL)$ is positive give edge waves defined by

$$\alpha_e = k(1 + \cos^2 \delta \tan^2(kL))^{1/2} \quad (3.36)$$

235 and in complementary intervals $-\cot(kL)$ is positive whence

$$\alpha_e = k(1 + \cos^2 \delta \cot^2(kL))^{1/2}. \quad (3.37)$$

We finish this section with a discussion of the special case $\delta = 0$ since the edge wave solutions derived here can be compared to the results of [13]. They considered the problem of edge waves along a periodic array of thin plates without stagger but made no assumption on the spacing between neighbouring plates. Their expression (eqn. (2.49) in [13]) for edge waves having a plane of symmetry along $y = 0$ expressed in the current notation is

$$kL = (n + \frac{1}{2})\pi + (kd/\pi) \ln 2 - \sin^{-1}(k/\alpha_e) - \sum_{m=1}^{\infty} \left[\sin^{-1} \left(\frac{k}{\alpha_e + 2m\pi/d} \right) + \sin^{-1} \left(\frac{k}{\alpha_e + 2m\pi/d} \right) - \sin^{-1} \left(\frac{kd}{m\pi} \right) \right] \quad (3.38)$$

236 ($n \in \mathbb{Z}$) and was derived using a powerful Modified Residue Calculus method. In the limit $d/L \rightarrow 0$
237 with $kL = O(1)$ (3.38) reduces to

$$\alpha_e = k(-1)^n / \cos(kL) \quad (3.39)$$

238 coinciding with our condition (3.36) for $\delta = 0$. The ambiguity in the sign of α_e is reflected in the
239 opening assumption (3.27). These represent guided modes which are symmetric about $y = 0$. For
240 modes antisymmetric about $y = 0$, the result of [13] only requires $(n + \frac{1}{2})\pi$ to be replaced by $n\pi$
241 in (3.38) and it follows that (3.39) is replaced by $\alpha_e = k(-1)^n / \sin(kL)$ which coincides with our
242 condition (3.37) when $\delta = 0$.

243 3.5. Diffraction from a source

244 We can use the description of the plate array under effective medium theory developed in §3.1
245 to solve more general wave diffraction problems. The canonical problem is radiation from a wave
246 source placed outside the plate array. We replace the incident plane wave field of §3.1 by a
247 two-dimensional outgoing wave source at $(x, y) = (0, -c)$ where $c > b$ which is given by

$$\phi_{inc}(x, y) = H_0^{(1)}(k\sqrt{x^2 + (y+c)^2}) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{e^{i\alpha x} e^{i\beta|y+c|}}{\beta} d\alpha, \quad (3.40)$$

248 using the standard Fourier transform representation of the Hankel function $H_0^{(1)}$. In the above
249 $\beta = \sqrt{k^2 - \alpha^2} = i\gamma \equiv i\sqrt{\alpha^2 - k^2}$, the former appropriate for $|\alpha| \leq k$, the latter for $|\alpha| \geq k$. For
250 $y > -c$, (3.41) is

$$\phi_{inc}(x, y) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{e^{i\beta c}}{\beta} e^{i\alpha x} e^{i\beta y} d\alpha \quad (3.41)$$

251 which is a weighted integral over plane incident waves with wavenumber components α and β in
252 the x and y directions. Thus, we can infer the total field in $y < -b$ to be the sum of the source

253 and same weighted integral over plane waves reflected from the effective medium occupying $|y| < b$
 254 and written as

$$\phi_s(x, y) = \phi_{inc}(x, y) + \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{e^{i\beta c}}{\beta} R(\sin^{-1}(\alpha/k), \delta, kL) e^{i\alpha x} e^{-i\beta y} d\alpha \quad (3.42)$$

255 whilst in $y > b$ the field is written

$$\phi_s(x, y) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{e^{i\beta c}}{\beta} T(\sin^{-1}(\alpha/k), \delta, kL) e^{i\alpha x} e^{i\beta y} d\alpha \quad (3.43)$$

256 where R and T represent the reflection and transmission coefficients defined in (3.17) and (3.18).
 257 Note that, in the notation of §3, α represents the value $k \sin \theta_0$ and so $\cos \theta_0$ thus equates to β/k .
 258 This equality extends to non-real scattering angles (that is when $|\alpha| > k$) when $\cos \theta_0$ equates to
 259 $i\gamma/k$.

260 For example, in $y < -b$ (3.42) explicitly reads

$$\phi_s(x, y) = \phi_{inc}(x, y) + \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{e^{i\beta c}}{\beta} \frac{(\beta^2 - k^2 \cos^2 \delta) \sin(2kL) e^{-2i\beta L \cos \delta}}{(\beta^2 + k^2 \cos^2 \delta) \sin(2kL) + 2i\beta k \cos(2kL) \cos \delta} e^{i\alpha x} e^{-i\beta y} d\alpha. \quad (3.44)$$

261 Careful consideration of the integrand for values of $|\alpha| > k$, when we replace $\beta = i\gamma$ (γ real),
 262 reveals that there are poles in the integrand for real values of $\alpha = \pm\alpha_e$ satisfying (3.36) or (3.37)
 263 where $\gamma = \gamma_e = k \cos \delta \tan(kL)$ or $-k \cos \delta \cot(kL)$ (respectively) and (3.35) is satisfied. Therefore
 264 the integral in (3.44) originating from the inverse Fourier integral in the definition (3.40) must
 265 be deformed from the real α -axis to avoid these poles. Physically, the energy from a wave source
 266 crossing a straight boundary consists of an appropriately-weighted spectrum of plane waves over
 267 all real and non-real scattering angles and some this energy may feed into the edge waves predicted
 268 by §3.4 and supported by the effective medium.

The sense in which the deformation around the poles is made must ensure those edge waves propagate energy away from the source. The definitions of (3.36) or (3.37) ensure that $d\alpha_e/dk > 0$ and this implies the contour should be deformed under/over at $\alpha = \pm\alpha_e$ respectively. We can shrink this deformation onto the real α -axis, thereby evaluating the contribution from vanishingly-small semi-circular indentations around the poles to give

$$\begin{aligned} \phi_s(x, y) &= \phi_{inc}(x, y) + \frac{2}{\pi} \int_0^{\pi/2} \frac{(\cos^2 \theta - \cos^2 \delta) \sin(2kL) e^{ik(c-y-2b) \cos \theta} \cos(kx \sin \theta)}{(\cos^2 \theta + \cos^2 \delta) \sin(2kL) + 2i \cos \theta \cos \delta \cos(2kL)} d\theta \\ &\quad - \frac{2i}{\pi} \int_0^{\infty} \frac{(\sinh^2 v + \cos^2 \delta) \sin(2kL) e^{-k(c-y-2b) \sinh v} \cos(kx \cosh v)}{(\sinh^2 v - \cos^2 \delta) \sin(2kL) + 2 \sinh v \cos \delta \cos(2kL)} dv \\ &\quad + \tanh v_e e^{-k(c-y-2b) \sinh v_e} e^{ik|x| \cosh v_e} \end{aligned} \quad (3.45)$$

269 where the semi-infinite integral is of Cauchy principal-value type and $\alpha_e = \cosh v_e$, $\gamma_e = \sinh v_e$ is
 270 as a result of a change of integration variable.

An analogous decomposition of the field in $y > b$ can be made to find that (3.43) can be expressed as

$$\begin{aligned} \phi_s(x, y) = & \frac{4i}{\pi} \int_0^{\pi/2} \frac{\cos \theta \cos \delta e^{ik(c+y-2b)\cos\theta} \cos\{k(x-2b\tan\delta)\sin\theta\}}{(\cos^2\theta + \cos^2\delta) \sin(2kL) + 2i \cos\theta \cos\delta \cos(2kL)} d\theta \\ & - \frac{4i}{\pi} \int_0^\infty \frac{\sinh v \cos \delta e^{-k(c+y-2b)\sinh v} \cos\{k(x-2b\tan\delta)\cosh v\}}{(\sinh^2 v - \cos^2\delta) \sin(2kL) + 2 \sinh v \cos \delta \cos(2kL)} dv \\ & + \tanh v_e e^{-k(c+y-2b)\sinh v_e} e^{ik|x-2b\tan\delta|\cosh v_e}. \end{aligned} \quad (3.46)$$

The field within the plate array can be similarly represented using the information in §3.1 to define $\phi(x, y)$ in $|y| < b$. For completeness, we provide the result of this algebraically complex calculation here as

$$\begin{aligned} \phi_s(x, y) = & \frac{2i}{\pi} \int_0^{\pi/2} \frac{\cos \theta e^{ik(c-b)\cos\theta} \cos\{k(x-(y+b)\tan\delta)\sin\theta\}}{(\cos^2\theta + \cos^2\delta) \sin(2kL) + 2i \cos\theta \cos\delta \cos(2kL)} M(\theta, y) d\theta \\ & - \frac{2i}{\pi} \int_0^\infty \frac{\sinh v \cos \delta e^{-k(c-b)\sinh v} \cos\{k(x-(y+b)\tan\delta)\cosh v\}}{(\sinh^2 v - \cos^2\delta) \sin(2kL) + 2 \sinh v \cos \delta \cos(2kL)} N(v, y) dv \\ & + \frac{1}{2} \tanh v_e e^{-k(c-b)\sinh v_e} e^{ik|x-(y+b)\tan\delta|\cosh v_e} N(v_e, y). \end{aligned} \quad (3.47)$$

271 for $|y| < b$, where

$$M(\theta, y) = (1 + \cos\theta/\cos\delta) e^{-ik(b-y)/\cos\delta} + (1 - \cos\theta/\cos\delta) e^{ik(b-y)/\cos\delta}$$

272 and

$$N(v, y) = (1 + i \sinh v/\cos\delta) e^{-ik(b-y)/\cos\delta} + (1 - i \sinh v/\cos\delta) e^{ik(b-y)/\cos\delta}.$$

273 As in the Gaussian beam problem of §3.4, when a point source is excited at a frequency which
 274 coincides with all-angle perfect transmission of the plate array (i.e. $\sin(2kL) = 0$) the only effect of
 275 the array will be to shift the position of the source on the far side of the array by the amount $2b \tan \delta$.
 276 For example in (3.45) the solution when $\sin(2kL) = 0$ in $y < -b$ is just ϕ_{inc} , the source, with no
 277 reflection from the plate array. An example of perfect transmission is illustrated in Fig. 7(a) where
 278 $kL = 4\pi$ is used for a $\delta = 60^\circ$ plate array. In contrast, Fig. 7(b) uses $kL = 5/\cos(\pi/6) \approx 5.77$ a value
 279 of no special significance for a $\delta = 30^\circ$ array and now there is partial reflection and transmission
 280 by the array whilst some of energy from the source is transported to infinity along the array itself
 281 in the form of edge waves which appear as the repeating oscillations confined to the plate array.

282 4. Exact treatment of the scattering problem

283 In this section we set about solving the problem of plane wave scattering by a periodic array of
 284 titled plates without making a close-spacing approximation.

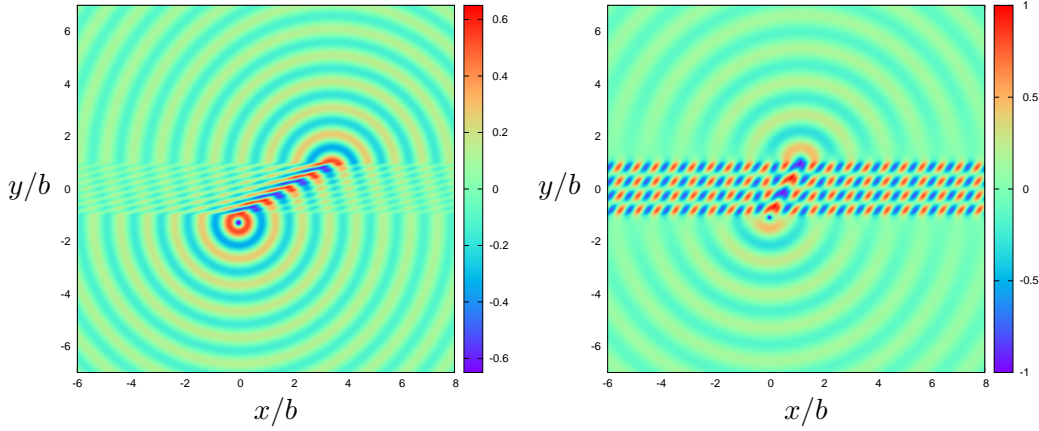


Figure 7: The instantaneous surface wave amplitudes for: (a) a $\delta = 60^\circ$ plate array with a wave source at $c/b = 1.3$ with $kL = 4\pi$; and (b) $\delta = 30^\circ$, $c/b = 1.1$ with $kL = 5.77$.

285 On account of the periodicity of the geometry and the form of the incident wave it must be that

$$\phi(x + l, y) = e^{i\alpha_0 l} \phi(x, y). \quad (4.1)$$

286 The idea is to exploit the periodicity and to solve the problem in a single fundamental strip, aligned
 287 with the array, allowing the solution outside this strip to be determined by (4.1). Thus, we are
 288 required to consider the problem in rotated (X, Y) coordinates as defined by (2.4).

289 First, from (2.2) we write $\phi_{inc}(x, y) \equiv \psi_{inc}(X, Y) = e^{iA_0^+ X} e^{iB_0^+ Y}$ where $A_0^\pm = k \sin(\theta_0 \mp \delta)$ and
 290 $B_0^\pm = k \cos(\theta_0 \mp \delta)$. Likewise, $\phi(x, y) \equiv \psi(X, Y)$, and periodicity, (4.1), under the transformed
 291 coordinates implies

$$\psi(X + d, Y + a) = e^{i\alpha_0 l} \psi(X, Y) \equiv e^{iA_0^+ d} e^{iB_0^+ a} \psi(X, Y) \quad (4.2)$$

292 where we note that $\alpha_0 l = A_0^+ d + B_0^+ a = A_0^- d - B_0^- a$. Far away from the grating the conditions
 293 (2.3) are transformed into

$$\psi(X, Y) \sim \begin{cases} e^{iA_0^+ X} e^{iB_0^+ Y} + R e^{iA_0^- X} e^{-iB_0^- Y}, & \text{as } Y \rightarrow -\infty, \\ T e^{iA_0^+ X} e^{iB_0^+ Y}, & \text{as } Y \rightarrow \infty. \end{cases} \quad (4.3)$$

294 The periodicity allows us to restrict attention to a single strip $0 < X < d$ and $-\infty < Y < \infty$. It
 295 follows that within this strip

$$\psi_X(0^+, Y) - e^{-i\alpha_0 l} \psi_X(d^-, Y + a) = 0 \quad (4.4)$$

296 for all Y since $\psi_X = 0$ on both sides of the plates. Additionally we have

$$\psi(0^+, Y) - e^{-i\alpha_0 l} \psi(d^-, Y + a) = \begin{cases} p(Y), & |Y| < L, \\ 0, & |Y| > L \end{cases} \quad (4.5)$$

297 since ψ is continuous across the boundaries $X = 0$ and $X = d$ when $|Y| > L$ but not otherwise. In
 298 (4.5) $p(Y)$ represents the jump in ψ across the plate centred at the origin.

299 We define Fourier transforms in Y , writing

$$\Psi(X, \beta) = \int_{-\infty}^{\infty} (\psi(X, Y) - \psi_{inc}(X, Y)) e^{-i\beta Y} dY. \quad (4.6)$$

300 Taking transforms of the wave equation gives solutions in $0 < X < d$ of

$$\Psi(X, \beta) = C(\beta) \cosh \gamma X + D(\beta) \cosh \gamma(X - d) \quad (4.7)$$

301 where $\gamma = \sqrt{\beta^2 - k^2} = -i\sqrt{k^2 - \beta^2}$. This general solution must satisfy the two transformed
 302 conditions (4.4) and (4.5) namely

$$\Psi_X(0, \beta) - e^{-i\alpha_0 l} e^{i\beta a} \Psi_X(d, \beta) = 0 \quad (4.8)$$

303 and

$$\Psi(0, \beta) - e^{-i\alpha_0 l} e^{i\beta a} \Psi(d, \beta) = P(\beta) \quad (4.9)$$

304 where

$$P(\beta) = \int_{-L}^L p(Y) e^{-i\beta Y} dY \quad (4.10)$$

305 acts as a proxy in for $p(Y)$ in what follows. Applying (4.8) and (4.9) to (4.7) to determine the
 306 Fourier coefficients C and D in terms of P results in

$$\Psi(X, \beta) = P(\beta) \frac{(e^{i(\alpha_0 l - \beta a)} \cosh \gamma X - \cosh \gamma(X - d))}{2(\cos(\alpha_0 l - \beta a) - \cosh \gamma d)} \quad (4.11)$$

307 and so, by the inverse transform,

$$\psi(X, Y) = \psi_{inc}(X, Y) + \int_{-\infty}^{\infty} \frac{P(\beta)(e^{i(\alpha_0 l - \beta a)} \cosh \gamma X - \cosh \gamma(X - d)) e^{i\beta Y}}{4\pi(\cos(\alpha_0 l - \beta a) - \cosh \gamma d)} d\beta \quad (4.12)$$

308 which is a representation for the function $\psi(X, Y)$ everywhere in the strip $0 < X < d$ in terms of
 309 the unknown $p(Y)$.

310 There are poles in the integrand at real values of $\beta < k$ satisfying

$$i\gamma = \sqrt{k^2 - \beta^2} = (\alpha_0 l - \beta a + n\pi)/d, \quad (4.13)$$

311 where n is an integer and the inverse contour in (4.12) must be defined appropriately to avoid these
 312 poles.

313 Provided kd is small enough (as is assumed the case here), the only solutions (4.13) occur when
 314 $n = 0$ and when $\beta = \pm B_0^\pm$ with corresponding values of $\gamma = -iA_0^\pm$. That is, there are two poles on
 315 the line of integration in the inverse transform representation of the solution in (4.12) at $\beta = \pm B_0^\pm$

316 and the contour of integration is chosen to pass above the pole at $\beta = -B_0^-$ and below the pole
 317 at $\beta = B_0^+$. This choice is made to fulfil the radiation conditions as $Y \rightarrow \pm\infty$ as stated in (4.3).
 318 Note that both $-B_0^-$ and B_0^+ can be either positive or negative depending on θ_0 and δ although
 319 $-B_0^- < B_0^+$.

320 Thus, as $Y \rightarrow \pm\infty$ we can deform the contour into the upper (lower) half β -plane and pick up
 321 the residue at $\beta = \pm B_0^\pm$ to give

$$\psi(X, Y) \sim \psi_{inc}(X, Y) + \frac{A_0^\pm P(\pm B_0^\pm)}{2kl \cos \theta_0} e^{iA_0^\pm X} e^{\pm iB_0^\pm Y} \quad (4.14)$$

322 as $Y \rightarrow \pm\infty$ and so it follows, by comparison with (4.3), that

$$T = 1 + \frac{A_0^+ P(B_0^+)}{2kl \cos \theta_0}, \quad R = \frac{A_0^- P(-B_0^-)}{2kl \cos \theta_0}. \quad (4.15)$$

323 To determine the function $p(Y)$ we impose on (4.12) the condition $\partial_X \psi(0^+, Y) = 0$ for $|Y| < L$ to
 324 obtain the integral equation

$$4\pi i A_0^+ e^{iB_0^+ Y} = \int_{-\infty}^{\infty} (E(\beta) + |\beta|) e^{i\beta Y} \int_{-L}^L p(Y') e^{-i\beta Y'} dY' d\beta, \quad |Y| < L \quad (4.16)$$

325 in which

$$E(\beta) = \frac{\gamma \sinh \gamma d}{\cosh \gamma d - \cos(\alpha_0 l - \beta a)} - |\beta| \quad (4.17)$$

326 and $E(\beta) \sim -\frac{1}{2}k^2/|\beta|$ as $|\beta| \rightarrow \pm\infty$.

327 4.1. Numerical solution

328 In order to solve (4.16) numerically we first expand the unknown $p(Y)$ in a truncated finite
 329 series as follows:

$$p(Y) \approx 4\pi i A_0^+ L \sum_{n=0}^N a_n w_n(Y/L), \quad |Y| < L \quad (4.18)$$

330 where

$$w_n(t) = \frac{e^{i\pi n/2}}{(n+1)} \sqrt{1-t^2} U_n(t) \quad (4.19)$$

331 and $U_n(\cos \theta) = \sin(n+1)\theta / \sin \theta$ is a second-kind Chebychev polynomial. This choice explicitly
 332 accounts for the anticipated square-root behaviour in the function $p(Y)$ as $|Y| \rightarrow L$ and provides
 333 the maximum simplification in the expressions that result. In particular we note the following
 334 results

$$W_n(\xi) = \int_{-1}^1 w_n(t) e^{-i\xi t} dt = \begin{cases} J_{n+1}(\xi)/\xi, & \xi \neq 0 \\ \frac{1}{2}\delta_{n0}, & \xi = 0 \end{cases} \quad (4.20)$$

335 and

$$\int_{-\infty}^{\infty} \frac{J_{n+1}(\xi) J_{m+1}(\xi)}{|\xi|} d\xi = \frac{\delta_{mn}}{n+1} \quad (4.21)$$

336 ([15, §6.538(2)])

337 Substituting (4.18) into (4.16), multiplying through by $w_m^*(Y/L)$ for $m = 0, \dots, N$ and inte-
 338 grating over $-L < Y < L$ results in the linear system of equations

$$\frac{a_m}{m+1} + \sum_{n=0}^N a_n K_{mn} = W_m(B_0^+ L) \quad (4.22)$$

339 where

$$K_{mn} = \int_{-\infty}^{\infty} \frac{LE(t/L)}{t^2} J_{n+1}(t) J_{m+1}(t) dt. \quad (4.23)$$

The integrals defining K_{mn} decay like $O(1/t^4)$ as $|t| \rightarrow \infty$ and are approximated by truncating at $t = \pm 100$. They must be calculated by evaluating the contributions from the poles at $t = \pm \beta_0^\pm L$ and leaving principal-value integrals behind. Specifically, this gives

$$K_{mn} = \int_{-\infty}^{\infty} \frac{LE(t/L)}{t^2} J_{n+1}(t) J_{m+1}(t) dt \quad (4.24)$$

$$- \frac{\pi i}{kl \cos \theta_0} \{A_0^{+2} W_n(B_0^+ L) W_m(B_0^+ L) + A_0^{-2} W_n(-B_0^- L) W_m(-B_0^- L)\}. \quad (4.25)$$

340 Once solutions of (4.22) are calculated, R and T can be approximated by using (4.18) in (4.15)

341 which leads to

$$T \approx 1 + \frac{2\pi i k L^2 \sin^2(\theta_0 - \delta)}{l \cos \theta_0} \sum_{m=0}^N a_m W_m(B_0^+ L) \quad (4.26)$$

342 and

$$R \approx \frac{2\pi i k L^2 \sin(\theta_0 - \delta) \sin(\theta_0 + \delta_0)}{l \cos \theta_0} \sum_{m=0}^N a_m W_m(-B_0^- L) \quad (4.27)$$

343 after use of the definition of A_0^\pm .

344 It is evident that $R = 0$ and $T = 1$ when $\theta_0 = \delta$, as required. Also clear is $R = 0$ when $\theta_0 = -\delta$.
 345 What is not evident from the results derived above is how solutions for an incident angle $-\theta_0$ are
 346 related to those for θ_0 .

347 4.2. A scattering matrix formulation

348 In order to address the various properties that R and T possess, but which are not evident
 349 in the form expressed in (4.26), (4.27), it helps to adopt a more general but also slightly more
 350 complicated approach to solving the problem in which waves are allowed to be incident, with
 351 arbitrary amplitudes A^\pm (say) from $y = \pm\infty$ respectively and give rise to outgoing waves of
 352 amplitudes B^\pm as $y \rightarrow \mp\infty$. The same general methodology can be applied in this case and
 353 leads to the development of a scattering matrix, S which connects the incoming and outgoing wave
 354 amplitudes by

$$\begin{pmatrix} B^- \\ B^+ \end{pmatrix} = S \begin{pmatrix} A^- \\ A^+ \end{pmatrix} \quad (4.28)$$

355 where

$$S = \begin{pmatrix} T^- & R^+ \\ R^- & T^+ \end{pmatrix} \quad (4.29)$$

356 in which R^\pm, T^\pm denote reflected and transmitted wave amplitudes due to incident waves from
 357 $y = \pm\infty$ respectively. In the previous sections R and T have been used for an incoming wave from
 358 $y = -\infty$ and so $R^- \equiv R$ and $T^- \equiv T$. The details of how this more general approach applies to
 359 the solution are omitted (but see [14] to see the method applied to a similar problem) and it can
 360 be shown that

$$S = (I - i\mu APA)^{-1}(I + i\mu APA) \quad (4.30)$$

361 where $\mu = \pi/(kl \cos \theta_0)$, I is the 2×2 identity matrix,

$$A = \begin{pmatrix} A_0^+ & 0 \\ 0 & A_0^- \end{pmatrix} \quad \text{and} \quad P = \begin{pmatrix} P^+(B_0^+) & P^-(B_0^+) \\ P^+(-B_0^-) & P^-(-B_0^-) \end{pmatrix}. \quad (4.31)$$

362 Here, $P^\pm(\beta)$ satisfy

$$\int_{-\infty}^{\infty} P^\pm(\beta) e^{i\beta Y} (E(\beta) + |\beta|) d\beta = e^{\pm i B_0^\pm Y}, \quad |Y| < L \quad (4.32)$$

363 which is a modified version of (4.15) in which the integral is of Cauchy principal-value type.

364 Useful properties of the solution can be inferred from these two integral equations. First, by
 365 taking the complex conjugate of (4.32) and then replacing Y by $-Y$ we find that the complex
 366 conjugate $\overline{P^\pm(\beta)}$ satisfy the same equation as $P^\pm(\beta)$ and hence $P^\pm(\beta)$ are real.

367 Next, if we multiply (4.32) respectively by the two surrogate functions $\overline{p^\pm(Y)}$ and integrate over
 368 $|Y| < L$ we find that

$$P^-(B_0^+) = \int_{-\infty}^{\infty} P^+(\beta) P^-(\beta) (E(\beta) + |\beta|) d\beta = P^+(-B_0^-) \quad (4.33)$$

369 after using the realness of P^\pm .

370 Also, we may take complex conjugates of (4.32), make the substitution $\beta \rightarrow -\beta$ in the integral
 371 and simultaneously switch $\delta \rightarrow -\delta$ (using relations $E(-\beta; -\delta) = E(\beta; \delta)$ and $B_0^\pm(-\delta) = B_0^\mp(\delta)$) to
 372 get

$$\int_{-\infty}^{\infty} P^\pm(-\beta) e^{i\beta Y} (E(\beta) + |\beta|) d\beta = e^{\mp i B_0^\mp Y}, \quad |Y| < L \quad (4.34)$$

373 and it therefore follows that $P^\pm(-\beta) = P^\mp(\beta)$. Thus, we infer that only one solution $P^+(\beta)$, say,
 374 is required and the other follows from it. In particular, we conclude that

$$P = \begin{pmatrix} P^+(B_0^+) & P^+(-B_0^+) \\ P^+(-B_0^-) & P^+(B_0^-) \end{pmatrix}, \quad (4.35)$$

375 expressed entirely in terms of P^+ and is real and symmetric. It follows from (4.30) that $\overline{S}S = 1$
 376 which can be used to prove various identities satisfied by R^\pm and T^\pm . However, as these do not
 377 include conservation of energy it turns out to be a distraction. Thus it is more straightforward to
 378 make a direct computation of the reflection and transmission coefficients from (4.30) as

$$R^+ = R^- = \frac{2i\mu A_0^+ A_0^- P_{12}}{(1 - i\mu A_0^{+2} P_{11})(1 - i\mu A_0^{-2} P_{22}) + \mu^2 A_0^{-2} A_0^{+2} P_{12}^2} \quad (4.36)$$

379 and

$$T^\pm = \frac{(1 \mp i\mu A_0^{+2} P_{11})(1 \pm i\mu A_0^{-2} P_{22}) - \mu^2 A_0^{-2} A_0^{+2} P_{12}^2}{(1 - i\mu A_0^{+2} P_{11})(1 - i\mu A_0^{-2} P_{22}) + \mu^2 A_0^{-2} A_0^{+2} P_{12}^2} \quad (4.37)$$

380 implying that $|T^-| = |T^+|$. With more work, not shown here, the conservation of energy relations
 381 can be shown to be satisfied exactly.

382 We can now readily confirm that $R^\pm = 0$ when $\theta_0 = \pm\delta$ and that $T^+ = 1$, $|T^-| = 1$ when
 383 $\theta_0 = -\delta$ and that $T^- = 1$, $|T^+| = 1$ when $\theta_0 = \delta$.

384 We can also confirm the all properties relating to angles listed in §3.1. For example, letting
 385 $\delta \rightarrow -\delta$ is geometrically equivalent to reversing the direction of the incident wave so that values
 386 of R^+ and R^- and T^+ and T^- are interchanged. It follows that $R(\theta_0, \delta, kL) = R(\theta_0, -\delta, kL)$.
 387 Similarly, negating θ_0 and δ simultaneously does not alter the problem and so $R(-\theta_0, -\delta, kL) =$
 388 $R(\theta_0, \delta, kL) = R(-\theta_0, \delta, kL)$ by the preceding result and this proves symmetry with respect to θ_0 .
 389 Finally, it is evident from (4.36) that $R(\theta_0, \delta, kL) = -R(\delta, \theta_0, kL)$.

390 The exact R and T do not share wavenumber periodicity, expressed by property (iv) in §3.1. In
 391 particular, extra channel modes and diffraction modes will eventually be cut on as the frequency
 392 increases.

393 Implementation of a numerical solution for this scattering matrix approach is virtually the same
 394 as in §4.1, but instead of (4.22) we only need to solve a real system of equations for real coefficients
 395 a_n as K_{mn} is replaced by $\Re\{K_{mn}\}$, then

$$P_{11} \approx L \sum_{n=0}^N a_n W_m(B_0^+ L), \quad P_{12} \approx L \sum_{n=0}^N a_n W_m(-B_0^+ L), \quad (4.38)$$

396 and

$$P_{22} \approx L \sum_{n=0}^N a_n W_m(B_0^- L). \quad (4.39)$$

397 define the real elements of \mathbf{P} and R^\pm , T^\pm are subsequently determined by (4.36), (4.37).

398 In Fig. 8 we compare the results of the exact problem with results from the approximation in
 399 §3.1. Although the exact results (dashed curves) in Fig. 8(a) appear to be periodic, they are not:
 400 only in the limit $d = 0$ (solid curve).

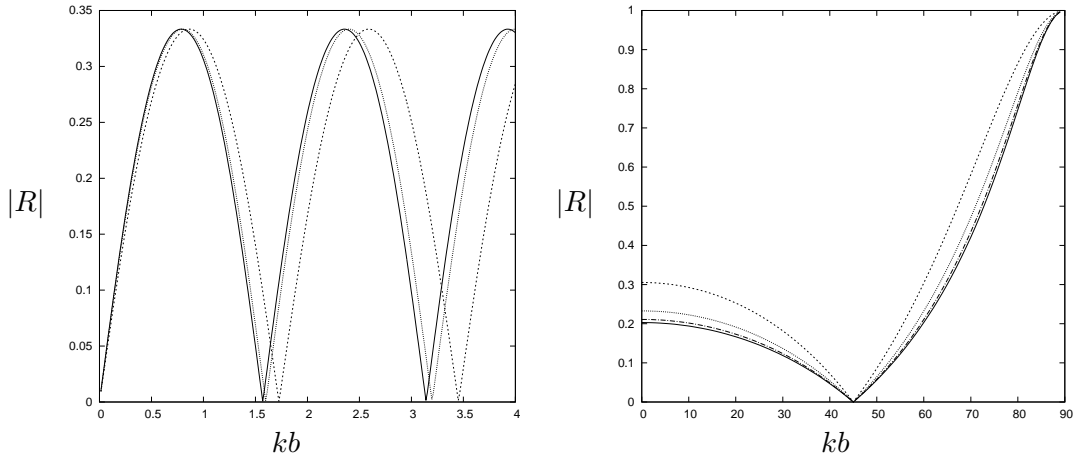


Figure 8: The convergence exact results for $|R|$ towards approximate results (solid curves) as the array spacing decreases. In the left-hand panel $|R|$ against kb for $\delta = 45^\circ$, $\theta_0 = 0$ and $d/L = 0.1, 0.01$ and solid curve computed using (3.16). In right-hand panel, exact $|R|$ as θ_0 varies for $\delta = 45^\circ$, $kb = 2$ and $d/L = 0.5, 0.1, 0.02$ (dashed curves) converging to the solid curve computed from (3.16).

5. Conclusion

Scattering of waves by an infinite periodic array of inclined (or staggered) thin parallel plates occupying a region of finite width and infinite length has been considered. An approximation has been developed based on close spacing between plates, relative to their length, within the array allowing region occupied by the plate array to be replaced by an effective medium and matching conditions on the boundary of the plate array replaced by effective matching conditions. This reduction in complexity leads to simple explicit expressions for wave scattering of plane incident waves, wave sources and for guided waves along the array.

Solutions to the exact geometrical description of the problem have been computed by formulating solutions using Bloch-Floquet theory and integral equations. These have been shown to converge to the close-spacing approximation when the separation between plates tends to zero, confirming that the approximation can be used with good accuracy for sufficiently small spacing to plate length ratios. Moreover they confirm that several key properties of the reflection and transmission coefficient are shared by the approximation and the exact treatment of the problem. This includes total transmission for all wavenumbers when the inclination of the plates within the array are opposed to the incident wave direction and for all wave angles and plate inclinations for certain specific frequencies. This allows us to use the finite width plate array as an perfectly-transmitting negative refraction material allowing perfect waveshifting across the plate array. For example, wave sources can be shifted to ‘spooft’ the location of a source through an array. Plate arrays can also be used to perfectly transmit wave energy of all frequencies through a junction in a waveguide.

421 The anisotropic scattering provided by plate arrays are being considered in other settings, using
422 the effective medium theory developed here to simplify solutions. Recently they have been used by
423 [16] as a broadbanded sound absorption device when embedded in a trapezoidal cavity attached
424 to the walls of a waveguide. They have also been used by [17] to redirect and absorb energy in
425 cylinders formed by plate arrays in a water wave setting.

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